सत्यमेव जयते

Government of India

R F D

(Results-Framework Document)
for

Department of Heavy Industry

(2013-2014)

Section 1:
Vision, Mission, Objectives and Functions

Vision

To have a globally competitive, growth oriented and profitable heavy industry; and self-reliant and growth oriented CPSEs under the Department.

Mission

To facilitate Auto, Heavy Electrical and Capital Goods Sectors to be globally competitive, growth oriented and profitable and to provide all necessary support to the CPSEs in order to improve their overall performance.

Objectives

1 Facilitating growth of Auto Sector

2 Facilitating growth of Heavy Electrical Sector

3 Facilitating growth of Capital Goods Sector

4 Supporting Skill Development Activities in Auto, Heavy Electrical and Capital Goods Sectors

5 Supporting BHEL to achieve sustained high growth and become globally competitive

6 Supporting profit making CPSEs (other than BHEL) to attain higher turnover and profits

7 Restructuring/Revival of sick and loss making CPSEs

8 Supporting Responsibility Centres (RCs) to improve their performance

Functions

1 To support, strengthen and assist PSEs with a view to attaining consistently high growth in turnover, increase in aggregate profits of profit making PSEs and reduce aggregate losses of loss making PSEs.

2 To encourage, explore all possible viable options and undertake restructuring and revival of sick/ loss making PSEs under DHI, through a comprehensive revival business plan which may also include synergic collaboration or merger of sick companies with profitable PSE, induction of joint venture strategic venture partner, failing which closure of such companies that cannot be revived.

3 Formulate and undertake initiatives, schemes, projects, policies to facilitate R&D, encourage international cooperation, create required mechanisms and project critical industry sectoral requirements relating to National policy modulation in the areas of trade, taxation, environment, manufacturing, emissions and safety (auto section) along with providing inputs for formulating the GoI stand in International multilateral fora like WP-29,WTO, etc., bilateral co-operations and agreements like FTA, ICFPA, etc.

4 To implement the department’s flagship project, National Automotive Testing R&D Infrastructure Project (NATRiP) across the country for (i) Creating core global competencies in automotive sector in India, (ii) Enhancing competitive skills for product development for deepening of automotive manufacturing in the country, (iii) Synergising India’s unique capabilities in Information Technology with the automotive sector and (iv) Facilitating seamless integration of Indian automotive industry with the world to put India strongly on the global automotive map.

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5 To implement the Automotive Mission Plan 2006-16, which lays down the joint vision of Industry and the Government for the automotive industry in 2016, which is to emerge as the destination of choice in the world for design and manufacture of automobiles and auto components with output reaching a level of US$ 145 Billion accounting for more than 10% of the GDP and providing additional employment to 25 million by 2016.

6 To administer and support BHEL, a Navratna PSE, with a view to transform it into a leading global manufacturer of power generating equipment and energy solutions so as to bridge the power infrastructure gap in the country and to transform BHEL into a globally competitive company through consistent high growth in turnover, profits, net worth, order book position, exports, manpower development and investments in latest technologies.

7 To provide financial support to PSEs for (i) funding their VRS/ VSS, (ii) investment needs for implementation of restructuring plans of sick/ loss making PSEs as sanctioned by the Government/ BIFR; and (iii) loan for payment of salary, wage and statutory dues to sick PSEs.

8 Support and implement good corporate governance and efficient functioning of PSEs under DHI through enforcement of DPE, GoI guidelines, signing of MOUs with PSE's with a view to accord greater functional autonomy, periodic review of PSE performance, appointment of Directors (Functional as well as Part-time non-official) on the Boards of PSEs under DHI and through participation of department's officers in the Boards of the PSEs as Government nominees.

9 To administer, support the growth and development of Fluid Control Research Institute (FCRI) and Automotive Research Association of India (ARAI) which are leading organisations under DHI in the area of flow measurement and automotive testing & R&D respectively.

10 Striving for growth of Industry's sectors allotted to DHI and for this purpose, to constitute and hold regular meetings of the various constituted Inter Ministerial Groups and the Development Councils under DHI in the area of Automotive & Allied Industries, Machine Tools, Heavy Electrical & Allied Industries. Textile Machinery Industry and the various sub groups of these councils.

11 To formulate and review the Demand for Grant of the Department, respond to audit observations/VIP references, monitor cases under litigation, vigilance administration of Department & PSEs, administrative tasks .

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] Facilitating growth of Auto Sector	19.00	[1.1] Growth of the sector	[1.1.1] Value of output	Rs Crore	1.50	544070	540543	539009	536709	535687
			[1.1.2] Growth over previous year	%	1.50	6.44	5.75	5.45	5.00	4.80
		[1.2] Implementation of NEMMP 2020	[1.2.1] Submission of Cabinet Note for the Scheme	Date	3.00	30/09/2013	30/10/2013	30/11/2013	31/12/2013	31/01/2014
		[1.3] Funding of R&D Projects funded through Cess Funds (DCAAI).	[1.3.1] Release of funds allocated	%	1.00	100	90	80	70	60
		[1.4] Completion of R&D Projects (due for completion by 31.03.2014)	[1.4.1] No. of projects completed	%	3.00	100	90	80	70	60
		[1.5] Technical evaluation of R&D Projects completed up to 31.03.2013.	[1.5.1] Submission of Evaluation Report	%	1.00	100	90	80	70	60
			[1.5.2] Completion of action on evaluation reports of previous years	%	1.00	100	90	80	70	60
		[1.6] Commissioning of facilities at GARC, Chennai, NATRIP	[1.6.1] Completion of Civil & Utility works of Fatigue lab & Certification lab	Date	0.50	30/09/2013	15/10/2013	31/10/2013	15/11/2013	30/11/2013
			[1.6.2] Completion of Civil & Utility works of non-technical buildings	Date	0.50	31/07/2013	31/08/2013	30/09/2013	31/10/2013	30/11/2013
			[1.6.3] Commissioning of Pedestrian Lab	Date	0.50	28/10/2013	12/11/2013	27/11/2013	13/12/2013	28/12/2013

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
			[1.6.4] Commissioning of Airbag Lab	Date	0.50	27/12/2013	11/01/2014	26/01/2014	11/02/2014	26/02/2014
		[1.7] Commissioning of new facilities at iCAT, Manesar, NATRIP	[1.7.1] Commissioning of SHED facility	Date	0.50	14/07/2013	28/07/2013	13/08/2013	13/08/2013	13/08/2013
			[1.7.2] Completion of Civil & Utility works of Fatigue lab & Certification lab	Date	0.50	30/11/2013	15/12/2013	31/12/2013	15/01/2014	31/01/2014
			[1.7.3] Commissioning of Pedestrian Lab	Date	0.50	13/07/2013	13/08/2013	28/08/2013	28/08/2013	28/08/2013
			[1.7.4] Completion of Civil & Utility works of EMC lab	Date	0.50	31/08/2013	15/09/2013	01/10/2013	16/10/2013	01/11/2013
			[1.7.5] Completion of Civil & Utility works of test tracks	Date	0.50	31/08/2013	30/09/2013	31/10/2013	30/11/2013	31/12/2013
		[1.8] Commissioning of new facilities at NATRAX, Indore, NATRIP	[1.8.1] Completion of Civil & Utility works of non-technical buildings	Date	0.50	31/07/2013	31/08/2013	30/09/2013	31/10/2013	01/12/2013
			[1.8.2] Completion of Civil & Utility works of Powertrain	Date	0.50	31/08/2013	30/09/2013	31/10/2013	15/11/2013	01/12/2013
			[1.8.3] Completion of Civil & Utility works of Vehicle Dynamics	Date	0.50	31/07/2013	31/08/2013	30/09/2013	15/10/2013	31/10/2013

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[1.9] Operationalization of iCAT, Manesar, GARC, Chennai & Silchar centres of NATRIP	[1.9.1] Revenue generation from facilities at iCAT, Manesar and GARC, Chennai(Annual Turnover)	Rs Crore	0.50	42	40	39	38	37
			[1.9.2] Conduct of Driver Training at Silchar centre (Persons trained)	No.	0.50	200	175	150	125	100
[2] Facilitating growth of Heavy Electrical Sector	11.00	[2.1] Growth of the sector	[2.1.1] Value of output	Rs Crore	1.50	142000	138240	135000	131000	129280
			[2.1.2] Growth over previous year	%	1.50	10.94	8.00	5.47	2.34	1.00
		[2.2] Finalization of Indian Electrical Equipment Industry Mission Plan 2012-22	[2.2.1] Finalization of the Mission Plan	Date	5.00	31/10/2013	30/11/2013	31/12/2013	31/01/2014	28/02/2014
		[2.3] Finalization of R&D Project for Development of Advanced Ultra Supercritical Technology for Thermal Power	[2.3.1] Submission of the proposal to EFC	Date	3.00	30/09/2013	31/10/2013	30/11/2013	31/12/2013	31/01/2014
[3] Facilitating growth of Capital Goods Sector	10.00	[3.1] Growth of the sector	[3.1.1] Value of output	Rs Crore	1.50	70000	67055	65000	63000	60000
			[3.1.2] Growth over previous year	%	1.50	21.95	16.82	13.24	9.76	4.53
		[3.2] Notification of National Policy on Capital Goods	[3.2.1] Notification of Policy	Date	4.00	31/12/2013	15/01/2014	15/02/2014	28/02/2014	15/03/2014
		[3.3] Approval for the Scheme for enhancement of Global competitiveness in Capital Goods Sector	[3.3.1] Submission of Note for CCEA	Date	1.00	31/01/2014	15/02/2014	28/02/2014	15/03/2014	31/03/2014

Section 2:
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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[3.4] Preparation of paper on team targets for increasing capacity utilization of indigenous capital goods	[3.4.1] Submission of paper to COS	Date	2.00	30/09/2013	31/10/2013	30/11/2013	31/12/2013	31/01/2014
[4] Supporting Skill Development Activities in Auto, Heavy Electrical and Capital Goods Sectors	8.00	[4.1] Setting up of Sector Specific Skill Development Council for Capital Goods	[4.1.1] Formation of the Council	Date	2.00	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014
		[4.2] Setting up of Skill Development Council for Heavy Electrical Sector	[4.2.1] Formation of the Council	Date	2.00	31/12/2013	31/01/2014	15/02/2014	28/02/2014	15/03/2014
		[4.3] Affiliation of Training Delivery Centres (TDCs) with Automotive Skill Development Council	[4.3.1] Number of TDCs	No.	1.00	10	8	7	6	5
		[4.4] Creation of National Occupational Standard for the Auto & Allied Industries	[4.4.1] Creation of Standard	Date	1.00	31/12/2013	15/01/2014	31/01/2014	28/02/2014	31/03/2014
		[4.5] Skill Development Activities for the people through CPSEs	[4.5.1] No. of persons trained in the year	No.	2.00	32000	30000	28000	26000	24000
[5] Supporting BHEL to achieve sustained high growth and become globally competitive	21.00	[5.1] Topline Growth	[5.1.1] Turnover	Rs Crore	4.00	46000	41400	36800	32200	27600
		[5.2] Power Sector Capacity addition	[5.2.1] Capacity completed	MW	3.00	10000	9000	8000	7000	6000
		[5.3] Strengthening Engg., R&D Competitiveness	[5.3.1] Total R&D Expenditure as % of PAT of year 2012-13	%	4.00	18.0	17.5	17.0	16.5	15.5

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[5.4] Capital Investment	[5.4.1] Capital expenditure	Rs crore	3.00	838	796	670	587	503
		[5.5] Supporting BHEL for procuring orders	[5.5.1] % of domestic orders	%	5.00	65	60	55	53	50
		[5.6] Growth in non-core sectors	[5.6.1] Increase in business in non-core sectors (YoY)	%	2.00	15	13	11	10	9
[6] Supporting profit making CPSEs (other than BHEL) to attain higher turnover and profits	6.00	[6.1] Structured review of CPSEs performance	[6.1.1] Percentage increase in aggregate turnover in 2013-14 (YoY)	%	2.00	10	8	5	3	0
			[6.1.2] Percentage increase in aggregate net profit for 2013-14 (YoY)	%	2.00	2.0	1.5	1.0	0.5	0
		[6.2] Improvement in the performance of CPSEs.	[6.2.1] Achievement of excellent rating in the MoU	No.	2.00	5	4	3	2	1
[7] Restructuring/Revival of sick and loss making CPSEs	9.00	[7.1] Submission of Note for seeking approval of CCEA for Revival/Restructuring of CPSEs	[7.1.1] No. of CPSEs for which CCEA Note is submitted.	No.	4.00	4	3	2	1	0
		[7.2] Providing funds for revival of CPSEs including VRS/VSS & statutory dues	[7.2.1] Progress of expenditure	% of RE	1.00	100	95	90	85	80
		[7.3] Improving performance of sick/loss making CPSEs	[7.3.1] Reduction in cash loss of MOU signing loss making CPSEs(YoY)	%	3.00	2.0	1.5	1.0	0.5	0

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[7.4] No. of additional CPSEs (those who have signed MOUs) having Turn Around	[7.4.1] Transition from cash-losses to cash profit	No. of Companies	1.00	5	4	3	2	1
[8] Supporting Responsibility Centres (RCs) to improve their performance	1.00	[8.1] Finalization of action plan for the activities of RCs for 2013-14	[8.1.1] Finalization of RFD by the RCs	Date	1.00	30/04/2013	07/05/2013	14/05/2013	21/05/2013	28/05/2013
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	2.0	05/03/2014	06/03/2014	07/03/2014	08/03/2014	11/03/2014
		Timely submission of Results for 2012-13	On-time submission	Date	1.0	01/05/2013	02/05/2013	03/05/2013	06/05/2013	07/05/2013
* Transparency/Service delivery Ministry/Department	3.00	Independent Audit of implementation of Citizens'/Clients' Charter (CCC)	% of implementation	%	2.0	100	95	90	85	80
		Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	1.0	100	95	90	85	80
* Administrative Reforms	6.00	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	1.0	100	95	90	85	80
		Implement ISO 9001 as per the approved action plan	% of implementation	%	2.0	100	95	90	85	80
		Implement Innovation Action Plan (IAP)	% of milestones achieved	%	2.0	100	95	90	85	80
		Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	1.0	27/01/2014	28/01/2014	29/01/2014	30/01/2014	31/01/2014

* Mandatory Objective(s)

Section 2:
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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
* Improving Internal Efficiency/Responsiveness.	2.00	Update departmental strategy to align with 12th Plan priorities	Timely updation of the strategy	Date	2.0	10/09/2013	17/09/2013	24/09/2013	01/10/2013	08/10/2013
* Ensuring compliance to the Financial Accountability Framework	1.00	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG .during the year.	%	0.25	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	0.25	100	90	80	70	60

* Mandatory Objective(s)

Section 3:
Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[1] Facilitating growth of Auto Sector	[1.1] Growth of the sector	[1.1.1] Value of output	Rs Crore	488985	511152	540543	581083	634833
		[1.1.2] Growth over previous year	%	8.33	4.3	5.75	7.50	9.25
	[1.2] Implementation of NEMMP 2020	[1.2.1] Submission of Cabinet Note for the Scheme	Date	--	--	30/10/2013	--	--
	[1.3] Funding of R&D Projects funded through Cess Funds (DCAAI).	[1.3.1] Release of funds allocated	%	99.35	41.25	90	93	95
	[1.4] Completion of R&D Projects (due for completion by 31.03.2014)	[1.4.1] No. of projects completed	%	0	100	90	90	90
	[1.5] Technical evaluation of R&D Projects completed up to 31.03.2013.	[1.5.1] Submission of Evaluation Report	%	0	0	90	90	90
		[1.5.2] Completion of action on evaluation reports of previous years	%	0	0	90	90	90
	[1.6] Commissioning of facilities at GARC, Chennai, NATRIP	[1.6.1] Completion of Civil & Utility works of Fatigue lab & Certification lab	Date	--	--	15/10/2013	--	--
		[1.6.2] Completion of Civil & Utility works of non-technical buildings	Date	--	--	31/08/2013	--	--

Section 3:
Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
		[1.6.3] Commissioning of Pedestrian Lab	Date	--	--	12/11/2013	--	--
		[1.6.4] Commissioning of Airbag Lab	Date	--	--	11/01/2014	--	--
	[1.7] Commissioning of new facilities at iCAT, Manesar, NATRIP	[1.7.1] Commissioning of SHED facility	Date	--	--	28/07/2013	--	--
		[1.7.2] Completion of Civil & Utility works of Fatigue lab & Certification lab	Date	--	--	15/12/2013	--	--
		[1.7.3] Commissioning of Pedestrian Lab	Date	--	--	13/08/2013	--	--
		[1.7.4] Completion of Civil & Utility works of EMC lab	Date	--	--	15/09/2013	--	--
		[1.7.5] Completion of Civil & Utility works of test tracks	Date	--	--	30/09/2013	--	--
	[1.8] Commissioning of new facilities at NATRAX, Indore, NATRIP	[1.8.1] Completion of Civil & Utility works of non-technical buildings	Date	--	--	31/08/2013	--	--
		[1.8.2] Completion of Civil & Utility works of Powertrain	Date	--	--	30/09/2013	--	--
		[1.8.3] Completion of Civil & Utility works of Vehicle Dynamics	Date	--	--	31/08/2013	--	--
	[1.9] Operationalization of iCAT, Manesar, GARC,	[1.9.1] Revenue genera-tion from facilities	Rs Crore	0	34	40	44	48

Section 3:
Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	Chennai & Silchar centres of NATRIP	at iCAT, Manesar and GARC, Chennai(Annual						
		[1.9.2] Conduct of Driver Training at Silchar centre (Persons trained)	No.	0	0	175	225	315
[2] Facilitating growth of Heavy Electrical Sector	[2.1] Growth of the sector	[2.1.1] Value of output	Rs Crore	120235	128000	138240	149300	161250
		[2.1.2] Growth over previous year	%	9.3	6.46	8.00	8.00	8.00
	[2.2] Finalization of Indian Electrical Equipment Industry Mission Plan 2012-22	[2.2.1] Finalization of the Mission Plan	Date	--	--	30/11/2013	--	--
	[2.3] Finalization of R&D Project for Development of Advanced Ultra Supercritical Technology for Thermal Power	[2.3.1] Submission of the proposal to EFC	Date	--	--	31/10/2013	--	--
[3] Facilitating growth of Capital Goods Sector	[3.1] Growth of the sector	[3.1.1] Value of output	Rs Crore	65078	57400	67055	78334	91510
		[3.1.2] Growth over previous year	%	7.94	-11.80	16.82	16.82	16.82
	[3.2] Notification of National Policy on Capital Goods	[3.2.1] Notification of Policy	Date	--	--	15/01/2014	--	--
	[3.3] Approval for the Scheme for	[3.3.1] Submission of Note for CCEA	Date	--	--	15/02/2014	--	--

Section 3:
Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	enhancement of Global competitiveness in Capital Goods Sector							
	[3.4] Preparation of paper on team targets for increasing capacity utilization of indigenous capital goods	[3.4.1] Submission of paper to COS	Date	--	--	31/10/2013	--	--
[4] Supporting Skill Development Activities in Auto, Heavy Electrical and Capital Goods Sectors	[4.1] Setting up of Sector Specific Skill Development Council for Capital Goods	[4.1.1] Formation of the Council	Date	--	--	31/01/2014	--	--
	[4.2] Setting up of Skill Development Council for Heavy Electrical Sector	[4.2.1] Formation of the Council	Date	--	--	31/01/2014	--	--
	[4.3] Affiliation of Training Delivery Centres (TDCs) with Automotive Skill Development Council	[4.3.1] Number of TDCs	No.	0	0	8	10	12
	[4.4] Creation of National Occupational Standard for the Auto & Allied Industries	[4.4.1] Creation of Standard	Date	--	--	15/01/2014	--	--
	[4.5] Skill Development Activities for the people through CPSEs	[4.5.1] No. of persons trained in the year	No.	15600	22000	30000	30000	30000
[5] Supporting BHEL to achieve sustained high growth and become globally competitive	[5.1] Topline Growth	[5.1.1] Turnover	Rs Crore	49510	50015	41400	48000	51000

Section 3:
Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	[5.2] Power Sector Capacity addition	[5.2.1] Capacity completed	MW	8138	9298	9000	9500	9500
	[5.3] Strengthening Engg., R&D Competitiveness	[5.3.1] Total R&D Expenditure as % of PAT of year 2012-13	%	20	19.2	17.5	18	19
	[5.4] Capital Investment	[5.4.1] Capital expenditure	Rs crore	1122	753	796	900	1050
	[5.5] Supporting BHEL for procuring orders	[5.5.1] % of domestic orders	%	0	0	60	60	60
	[5.6] Growth in non-core sectors	[5.6.1] Increase in business in non-core sectors (YoY)	%	0	0	13	15	20
[6] Supporting profit making CPSEs (other than BHEL) to attain higher turnover and profits	[6.1] Structured review of CPSEs performance	[6.1.1] Percentage increase in aggregate turnover in 2013-14 (YoY)	%	11.94	6.21	8	9	10
		[6.1.2] Percentage increase in aggregate net profit for 2013-14 (YoY)	%	4.46	-25.48	1.5	1.5	1.5
	[6.2] Improvement in the performance of CPSEs.	[6.2.1] Achievement of excellent rating in the MoU	No.	4	3	4	5	6
[7] Restructuring/Revival of sick and loss making CPSEs	[7.1] Submission of Note for seeking approval of CCEA for Revival/Restructuring of CPSEs	[7.1.1] No. of CPSEs for which CCEA Note is submitted.	No.	3	2	3	3	0

Section 3:
Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	[7.2] Providing funds for revival of CPSEs including VRS/VSS & statutory dues	[7.2.1] Progress of expenditure	% of RE	100	98.72	95	95	95
	[7.3] Improving performance of sick/loss making CPSEs	[7.3.1] Reduction in cash loss of MOU signing loss making CPSEs(YoY)	%	24.53	-30.44	1.5	1.5	1.5
	[7.4] No. of additional CPSEs (those who have signed MOUs) having Turn Around	[7.4.1] Transition from cash-losses to cash profit	No. of Companie s	0	0	4	5	6
[8] Supporting Responsibility Centres (RCs) to improve their performance	[8.1] Finalization of action plan for the activities of RCs for 2013-14	[8.1.1] Finalization of RFD by the RCs	Date	--	--	07/05/2013	--	--
* Efficient Functioning of the RFD System	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	--	--	06/03/2014	--	--
	Timely submission of Results for 2012-13	On-time submission	Date	--	--	02/05/2013	--	--
* Transparency/Service delivery Ministry/Department	Independent Audit of implementation of Citizens'/Clients' Charter	% of implementation	%	--	--	95	--	--
	Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	--	--	95	--	--
* Administrative Reforms	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--

* Mandatory Objective(s)

Section 3:
Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	Implement ISO 9001 as per the approved action plan	% of implementation	%	--	--	95	--	--
	Implement Innovation Action Plan (IAP)	% of milestones achieved	%	--	--	95	--	--
	Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	--	--	15/10/2013	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG .during the year.	%	--	--	90	--	--
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	--	--	90	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	--	--	90	--	--
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 4: Acronym

SI.No	Acronym	Description
1	Augmented	Capacity Augmented: Increase in installed manufacturing capacity of BHEL for various equipments being manufactured by them.
2	Capacity	Capacity Completed: Power plant commissioned by BHEL in MW and power added to the grid during the year.
3	Cess Fund	No. of Projects Funded under Cess Fund: The automobile cess fund is made available to DCAAI. One of the important areas for using this fund is aiding and promoting pre-competitive R&D in Auto Sector for the benefit of the Industry.
4	Expenditur	Phasing of Expenditure: Process of dividing the entire expenditure to be incurred by the Department into phases for the concerned financial year (the yearly expenditure is divided into four quarters or phases).
5	IMGs	Policy issues through IMGs and JWGs: Since policy matters of Auto Sector relating to emissions, safety, fiscal policy, exim policy etc. are made by a number of Ministries, DHI being the Nodal Ministry for Auto Sector has constituted IMGs for implementation of Auto Mission Plan and for resolving the issues impacting the growth of the Auto Sector. Similarly, to make DCAAI more effective, three sub JWGs have been constituted for taking ahead important initiatives.
6	Losses	Cash losses Here it means cash losses incurred by the company through operations
7	MoF	Taking up with MoF: Every year, ahead of Budget Session of Parliament, the Department takes up various issues concerning the Auto sector and other sub sectors of Capital goods sector in the form of duty concessions, tax concession for R&D activities etc. with the Ministry of Finance.
8	Net Profit	Company's profits before tax. This measure deducts all expenses from revenue including interest expenses and operating expenses, but it leaves out the payment of tax.

Section 4: Acronym

SI.No	Acronym	Description
9	Performanc	Performance evaluation of CPSEs is done by the Department on the basis of Memorandum of Understanding (MoU) signed by the CPSEs with the Department with a view to improve the performance of PSEs.
10	pluri-late	Concerns of Domestic industry at bi/multi/pluri-lateral Forum: The Indian Industry is impacted by the various developments in bi/multi/pluri-lateral forums like FTAs, negotiations under WTO etc. The Department consults the industries before finalizing its views on matters impacting the industries and the recommendations so developed or made to the various concerned Government Departments like Ministry of Commerce etc.
11	Power Proj	Structured Review of Power Projects under BHEL: Meeting with concerned BHEL officials with DHI on regular basis to review the progress of various projects being executed by BHEL in the country including various bottlenecks and problems being faced and remedial measures to be taken by the company for timely execution.
12	Report	Quality of Report: Functioning of NATRIP centres are audited for the rigorousness and quality of testing standards and processes followed by them, which is reported in quality of report.

Section 4:
Acronym

SI.No	Acronym	Description
13	Restructur	Restructuring/Revival of Sick PSEs: include making reference to BIFR/BRPSE, financial and business restructuring, fresh cash infusion, diversification, modernization, technology upgradation, formation of joint ventures, mergers/closures, change of management, cost control, etc.
14	Turnover	Here it means total production of CPSEs under DHI in value terms.
15	VRS/VSS	VRS/VSS & Statutory Dues: The Department is providing budgetary support as loan to sick/loss making PSEs towards payment of Voluntary Retirement Scheme (in case of surplus employees in operating PSEs)/Voluntary Separation Scheme (in case of closure of Companies) to its employees. Criteria on which Budgetary support as loans are extended to CPSEs are: (i) The CPSEs are still loss making under the definition given in Sick Industrial Companies (Special Provision) Act, 1985/Department of Public Enterprises Resolution dated 6.12.2004. (ii) The CPSEs are unable to pay the salary/wages due to their poor financial conditions. (iii) The revival/closure Plan is pending consideration of Government/BIFR for approval based on recommendations of BRPSE.

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.

Section 6:
Outcome/Impact of Department/Ministry

	Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
1	Growth of Auto Sector	Ministry of Finance and Planning Commission, Ministry of Petroleum, Ministry of Road, Transport & Highways, Ministry of Environment & Forests	Annual Production of vehicles	(number of vehicles)	20382026	20626227	21595659	23409694	25422927
2	Growth of Heavy Electrical Equipment Sector	Ministry of Finance and Planning Commission, Ministry of Power, Ministry of Commerce & Industry, Ministry of Coal, Ministry of New & Renewable Energy, Ministry of Environment & Forest	Annual Production	Rs. Cr	120235	128000	138240	149300	161250
3	Growth of Heavy Engineering & Machine Tools (Capital Goods) Sector	Ministry of Finance and Planning Commission, Ministry of Commerce & Industry, Ministry of Labour & Employment, Ministry of Environment & Forests, Ministry of Mines.	Annual Production	Rs. Cr	65078	57400	67055	78334	91510
4	Improved Capacity addition in power sector (by BHEL)	Timely supply of equipment	Capacity addition	MW	8138	8500	9000	9500	9500
5	Improved Performance of CPSEs other than BHEL	Profit making CPSEs under DHI	Turnover	Rs. Cr	4584.20	4868.92	5260	5680	6130
			Profit	Rs. Cr	195.50	145.68	148	150	152
6	Effective Restructuring of Sick CPSEs.	Ministry of Finance and Planning Commission, Cabinet Secretariat, BRPSE and Department of Public Enterprises	No. of CPSEs for which CCEA Note is submitted	No.	3	2	3	3	0