



R F D

(Results-Framework Document)

for

Department of Higher Education

(2012-2013)

Section 1:

Vision, Mission, Objectives and Functions

Vision

To realize India's human resource potential to its fullest in the higher education sector, with equity and excellence.

Mission

- (i) Provide greater opportunities of access to higher education with equity to all the eligible persons and in particular to the vulnerable sections.
- (ii) Expand access by supporting existing institutions, establishing new institutions, supporting State Governments and Non-Government Organizations / civil society to supplement public efforts aimed at removing regional or other imbalances that exist at present.
- (iii) Initiate policies and programmes for strengthening research and innovations and encourage institutions, public or private — to engage in stretching the frontiers of knowledge.
- (iv) Promote the quality of higher education by investing in infrastructure and faculty, promoting academic reforms, improving governance and institutional restructuring towards the inclusion of the hitherto deprived communities.

Objective

1 Access, Participation and Expansion

- To expand the higher education sector in all its modes of delivery to increase the Gross Enrolment Ratio (GER) in higher education by 10 million during the XIIth Plan.
- To expand institutional base of higher education (including technical, professional and vocational education) by creating additional capacity in existing institutions, establishing new institutions and incentivising state governments and Non-Governmental Organizations / civil society.

2 Equity and Inclusion

- To provide opportunities of higher education to socially deprived communities and remove disparities by promoting the inclusion of women, minorities and differently abled persons.
- To remove regional imbalances in access to higher education by setting up of institutions in unserved and underserved areas.

3 Quality enhancement

- To enhance plan support for infrastructure and faculty development in the institutions of higher learning and to attract talent towards careers in teaching and research.

Section 1:

Vision, Mission, Objectives and Functions

- To create conditions for knowledge generation through improved research facilities in universities and colleges.
- Consolidation and strengthening of State Institutions
- To promote collaboration with International community, foreign governments, universities/ institutions and regional and international organisations, for the advancement of universal knowledge and intellectual property rights. • To promote development of Indian languages.

4 Governance reforms

- To promote autonomy, innovation and academic reforms in institutions of higher learning.
- To undertake institutional restructuring for improving efficiency, relevance and creativity in higher education.

Functions

- 1 Enhancement of Gross Enrolment Ratio by expanding access through all modes
- 2 Promoting the participation of those sections of the society whose GER is lower than the national average
- 3 To improve quality and to promote academic reforms
- 4 Setting up of new educational institutions and also capacity expansion and improvement of the existing institutions
- 5 Use of Technology in Higher Education
- 6 Development of Vocational Education and Skill Development
- 7 Development of Indian Language
- 8 International Collaboration in the field of education

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[1] Access, Participation and Expansion • To expand the higher education sector in all its modes of delivery to increase the Gross Enrolment Ratio (GER) in higher education by 10 million during the XIth Plan. • To expand institutional base of higher education (including technical, professional and vocational education) by creating additional capacity in existing institutions, establishing new institutions and incentivising state governments and Non-Governmental Organizations / civil society.	16.00	[1.1] Establishment of Community Colleges	[1.1.1] Finalization of Concept Note	Date	1.00	30/09/2012	31/10/2012	30/11/2012	31/12/2012	31/03/2013
			[1.1.2] Identification of Colleges/Trade/Industry	Date	1.00	30/11/2012	31/12/2012	31/01/2013	28/02/2013	31/03/2013
			[1.1.3] Issue of Guidelines	Date	1.00	31/12/2012	31/01/2013	28/02/2013	15/03/2013	31/03/2013
		[1.2] Setting up of 20 new IIITs	[1.2.1] Selection of States and Partners and their site selection	number (total)	1.00	5	4	3	2	1
			[1.2.2] Formation of the Society	number	1.00	3	2	1	0	0
		[1.3] Skill Development of population in adjoining areas through Community Polytechnics	[1.3.1] Persons trained	number	2.00	120000	110000	90000	70000	50000
			[1.4] Formulation of an umbrella scheme for incentivizing States for expansion and capacity building of higher education	Date	2.00	31/12/2012	31/01/2013	28/02/2013	15/03/2013	31/03/2013

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
		[1.5] Utilization of capacity in new IITs, IIMs and NITs	[1.5.1] Commencement of full scale/capacity Academic programs in IITs	number	1.00	6	5	3	2	1
			[1.5.2] Commencement of full scale/capacity Academic programs in IIMs	number	1.00	4	3	2	1	0
			[1.5.3] Commencement of full scale/capacity Academic programs in NITs	number	1.00	5	4	2	1	0
		[1.6] Review of DPRs: IITs, ISERS, IIMs and NITs	[1.6.1] Finalization of DPRs of institutes	number	2.00	4	3	2	1	0
		[1.7] Revision of EFC Memos in respect of IITs, ISERS, IIMs and NITs	[1.7.1] Finalization of EFC documents of the institutes	number	2.00	3	2	1	0	0
[2] Equity and Inclusion	14.00	[2.1] Provision of Women Hostels in Universities, colleges and Polytechnics	[2.1.1] Operationalization of Women Hostels already sanctioned in Universities and colleges	number	1.50	50	40	30	20	10
			[2.1.2] Operationalization of Women Hostels already sanctioned in Polytechnics	number	1.00	50	40	30	20	10

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
			[2.1.3] Sanction of new women hostels in universities and colleges	number	1.00	25	20	15	10	5
		[2.2] Remedial Coaching centers for SC/ST/OBC (non-creamy layer) & Minorities	[2.2.1] New/Additional Centers in Universities and colleges	number	1.50	50	40	35	30	25
		[2.3] Coaching centers for NET/SET for SC/ST/OBC (non-creamy layer) & Minorities	[2.3.1] New/Additional Centers in universities and colleges	number	1.00	20	18	15	12	10
		[2.4] Coaching centers for facilitating Entry in Services for SC/ST/OBC (non-creamy layer) & Minorities	[2.4.1] New/Additional Centers in universities and colleges	number	1.00	40	35	30	25	20
		[2.5] Post Graduate Scholarship for SC/ST students in professional courses	[2.5.1] Utilization of Available scholarships (1000)	%	1.00	100	90	85	80	75
		[2.6] Post Doctoral Fellowship for women	[2.6.1] Utilization of Available fellowships	%	1.00	100	90	85	80	75
		[2.7] Post Doctoral Fellowship for SC/ST candidates	[2.7.1] Utilization of Available fellowships	%	1.00	100	90	85	80	75
		[2.8] Equal Opportunity Cell	[2.8.1] New cells opened in universities and colleges	number	1.00	50	45	40	35	30
		[2.9] Implementation of the Scheme for Integrating Differently Abled persons in the mainstream of Technical and Vocational Education in identified institutions (50)	[2.9.1] Differently Abled persons covered	number	1.00	2000	1900	1700	1500	1300

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[3] Quality enhancement - To enhance plan support for infrastructure and faculty development in the institutions of higher learning and to attract talent towards careers in teaching and research. - To create conditions for knowledge generation through improved research facilities in universities and colleges. - Consolidation and strengthening of State Institutions - To promote collaboration with International community, foreign governments, universities/institutions and regional and international organisations, for the advancement of universal knowledge and intellectual property rights.	45.00	[2.10] Support to Students in Higher Educational Institutions (100% of eligible loan applications)	[2.10.1] Interest subsidy provided on Educational Loans taken by students belonging to economically backward background with annual family income less than 4.5 lakhs.	%	1.00	100	90	80	70	60
		[2.11] To formulate a Scheme for credit Guarantee Fund for educationally weaker sections of the society	[2.11.1] Submission of proposal to competent authority.	Date	1.00	31/07/2012	31/08/2012	30/09/2012	31/10/2012	30/11/2012
		[3.1] National Mission on Teachers and Teaching	[3.1.1] Issue of UGC guidelines to Central Universities for setting up Schools of Education and inter-disciplinary courses for faculty development in different disciplines	Date	2.00	30/06/2012	31/07/2012	31/08/2012	30/09/2012	31/10/2012

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
• To promote development of Indian languages.			[3.1.2] Identifying 4 IIMs for establishing Regional Centres offering long duration courses in Education Management	Date	1.00	30/06/2012	31/07/2012	31/08/2012	30/09/2012	31/10/2012
			[3.1.3] Commencement of Programs	Number	1.00	2	1	0	0	0
			[3.1.4] Direction to ASCs by UGC to revise curriculum of various courses.	Date	1.00	31/05/2012	30/06/2012	31/07/2012	31/08/2012	30/09/2012
			[3.2.1] Issue of Policy Direction to UGC, AICTE, IGNOU and DEC	Date	1.00	31/12/2012	31/01/2013	28/02/2013	15/03/2013	31/03/2013
		[3.2] Implementation of Madhava Menon Committee Report for streamlining distance education system								
		[3.3] Mandatory accreditation	[3.3.1] Central Higher Education institutions applying for accreditation	number	1.00	15	12	8	6	4
		[3.4] Academic reforms	[3.4.1] Dematerialization of degrees in CEIs	number	1.00	15	12	8	6	4
		[3.5] National Mission on Education through ICT	[3.5.1] Draft of Technology –based education Policy	Date	1.50	31/01/2013	15/02/2013	28/02/2013	15/03/2013	31/03/2013
			[3.5.2] Connectivity	number	1.50	6000	5400	4800	4200	3600
			[3.5.3] Utilization of connectivity (Average bandwidth utilization in	number	1.50	30	27	24	21	18

Section 2:
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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
			Mbps by the University)							
			[3.5.4] Preparation of framework for training of Teachers, including training of Trainers, in ICT-based education.	Date	2.00	31/08/2012	30/09/2012	31/10/2012	30/11/2012	31/12/2012
		[3.6] Setting up Meta Universities through collaboration among existing institutes.	[3.6.1] Identification of sets of constituents	number	1.00	2	1	0	0	0
		[3.7] Shift to norm and entitlement based grant from demand and inspection based grants	[3.7.1] Issue of framework by UGC	Date	1.00	31/01/2013	15/02/2013	28/02/2013	15/03/2013	31/03/2013
		[3.8] Putting in place a scheme for faculty sharing	[3.8.1] Finalization of scheme	Date	1.00	30/09/2012	31/10/2012	30/11/2012	31/12/2012	31/01/2013
		[3.9] Development of innovation Universities	[3.9.1] Identification of Universities with potential for innovation	number	2.00	6	5	4	3	2
		[3.10] Establishment of five centers for Design Innovation in Central Institutions	[3.10.1] Preparation of Concept paper	Date	1.00	31/08/2012	30/09/2012	31/10/2012	30/11/2012	31/12/2012
		[3.11] Establishment of Centres of Excellence in frontier areas of Science	[3.10.2] Identification of Institutions	Date	1.00	30/09/2012	31/10/2012	30/11/2012	31/12/2012	31/01/2013
		[3.12] Web Portal for faculty resources: to enable NET/SLET qualified and Ph.D candidates (awarded after 2009) to	[3.11.1] Finalization of EFC note	Date	2.00	31/12/2012	31/01/2013	28/02/2013	15/03/2013	31/03/2013
			[3.12.1] Launch of portal	Date	1.00	31/07/2012	31/08/2012	30/09/2012	31/10/2012	30/11/2012

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		upload their details								
		[3.13] Enhancing collaboration with Foreign Educational Institutions	[3.13.1] Issue of Regulations by UGC	Date	2.00	31/12/2012	31/01/2013	15/02/2013	28/02/2013	15/03/2013
		[3.14] Formulation of new scheme of Teaching Assistantship and National Professors	[3.14.1] Submission to the EFC	Date	2.00	30/09/2012	31/10/2012	30/11/2012	31/12/2012	31/01/2013
		[3.15] Implementation of review committee recommendations regarding fellowships by the 4 research councils	[3.15.1] Issue of orders by ICHR	Date	0.50	31/12/2012	31/01/2013	28/02/2013	15/03/2013	31/03/2013
			[3.15.2] Issue of orders by ICPR	Date	0.50	31/12/2012	31/01/2013	28/02/2013	15/03/2013	31/03/2013
			[3.15.3] Issue of orders by ICSSR	Date	0.50	31/12/2012	31/01/2013	28/02/2013	15/03/2013	31/03/2013
			[3.15.4] Issue of orders by IIAS	Date	0.50	31/12/2012	31/01/2013	28/02/2013	15/03/2013	31/03/2013
		[3.16] Putting in place a regulatory framework for Private universities	[3.16.1] Issue of Regulations by UGC	Date	2.00	31/08/2012	30/09/2012	31/10/2012	30/11/2012	31/12/2012
		[3.17] Implementation of the Apprenticeship Training Scheme	[3.17.1] Graduate Engineers and Diploma holders trained	number	2.00	70000	60000	50000	40000	30000
		[3.18] Implementation of NVEQF	[3.18.1] Conduct of Workshops	number of workshops	1.00	7	6	5	4	3
		[3.19] Capacity building of Teachers under TEQIP II	[3.19.1] Conduct of Workshops	number	1.50	20	17	14	11	8
		[3.20] Review of NITs	[3.20.1] Finalization of Report	Date	2.00	30/09/2012	31/12/2012	31/01/2013	28/02/2013	31/03/2013

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value			
						Excellent 100%	Very Good 90%	Good 80%	Poor 60%
		[3.21] Strengthening of International collaboration in Education	[3.21.1] Preparation of action plan for Implementation of international collaboration arrangements.	Date	1.00	30/11/2012	31/12/2012	31/01/2013	28/02/2013
		[3.22] Mutual recognition of Qualifications among collaborating countries.	[3.22.1] Issue of guidelines by AIU	Date	1.00	31/12/2012	31/01/2013	28/02/2013	15/03/2013
		[3.23] Evaluation of Old Schemes for Language Development	[3.23.1] Identification of evaluating agencies	Date	0.50	30/06/2012	31/07/2012	31/08/2012	30/09/2012
			[3.23.2] Implementation of Evaluation Report recommendations	Date	0.50	28/02/2013	07/03/2013	15/03/2013	22/03/2013
		[3.24] Revision of Adarsh Sanskrit Mahavidhyala and Shodh Sansathan Scheme	[3.24.1] Preparation of EFC Note	Date	0.50	30/06/2012	31/07/2012	31/08/2012	30/09/2012
			[3.24.2] Approval of Competent Authority	Date	0.25	30/11/2012	31/12/2012	31/01/2013	28/02/2013
			[3.24.3] Issuance of guidelines	Date	0.25	31/12/2012	31/01/2013	28/02/2013	15/03/2013
		[3.25] Documentation and preservation of Endangered Languages	[3.25.1] Preparation of EFC Note	Date	0.50	30/09/2012	31/10/2012	30/11/2012	31/12/2012
			[3.25.2] Approval of Competent Authority	Date	0.25	31/01/2013	28/02/2013	07/03/2013	15/03/2013
			[3.25.3] Issuance of guidelines	Date	0.25	28/02/2013	07/03/2013	15/03/2013	22/03/2013
		[3.26] Bharat Bhasha Vikas Yojna for Development of non-scheduled languages	[3.26.1] Preparation of EFC Note	Date	0.50	30/09/2012	31/10/2012	30/11/2012	31/12/2012

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[4] Governance reforms • To promote autonomy, innovation and academic reforms in institutions of higher learning. • To undertake institutional restructuring for improving efficiency, relevance and creativity in higher education.	10.00	[4.1] Setting up of complaint redressal mechanism in Educational Institutions.	[3.26.2] Approval of Competent Authority	Date	0.25	31/01/2013	28/02/2013	07/03/2013	15/03/2013	31/03/2013
			[3.26.3] Issuance of guidelines	Date	0.25	28/02/2013	07/03/2013	15/03/2013	22/03/2013	31/03/2013
			[4.1.1] Appointment of Ombudsman	number of Ombudsman	2.00	10	8	6	4	3
		[4.2] Follow-up of Kakodkar Committee report.	[4.2.1] Action plan for implementation of recommendations	Date	2.00	30/09/2012	31/10/2012	30/11/2012	31/12/2012	31/01/2013
		[4.3] Report to the People on Education	[4.3.1] Release of Report	Date	1.00	31/01/2013	15/02/2013	28/02/2013	15/03/2013	31/03/2013
		[4.4] Timely dissemination of education statistics	[4.4.1] Release of Statistics of Higher and Technical Education for 2010-11.	Date	1.00	30/09/2012	30/11/2012	31/01/2013	28/02/2013	31/03/2013
			[4.4.2] Analysis of Budgeted Expenditure 2010-11	Date	0.50	30/09/2012	31/10/2012	30/11/2012	31/12/2012	31/01/2013
			[4.4.3] Analysis of Results of High School and Higher Secondary Examination 2010	Date	0.50	31/10/2012	30/11/2012	31/12/2012	31/01/2013	28/02/2013
			[4.4.4] Release of Statistics of School Education 2010-11	Date	0.50	30/09/2012	30/11/2012	31/01/2013	28/02/2013	31/03/2013

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
			[4.4.5] Release of Education at a Glance 2012	Date	1.00	28/02/2013	31/03/2013	--	--	--
			[4.5] Ensuring new campuses conform to GRIHA Guidelines	number	1.50	6	5	4	3	2
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft for Approval	On-time submission	Date	2.0	05/03/2012	06/03/2012	07/03/2012	08/03/2012	09/03/2012
		Timely submission of Results	On- time submission	Date	1.0	01/05/2012	03/05/2012	04/05/2012	05/05/2012	06/05/2012
* Administrative Reforms	6.00	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	2.0	100	95	90	85	80
		Implement ISO 9001 as per the approved action plan	Area of operations covered	%	2.0	100	95	90	85	80
* Improving Internal Efficiency / responsiveness / service delivery of Ministry / Department	4.00	Identify, design and implement major innovations	Implementation of identified innovations	Date	2.0	05/03/2013	06/03/2013	07/03/2013	08/03/2013	09/03/2013
		Implementation of Sevottam	Independent Audit of Implementation of Citizen's Charter	%	2.0	100	95	90	85	80
* Ensuring compliance to the Financial Accountability Framework	2.00		Independent Audit of implementation of public grievance redressal system	%	2.0	100	95	90	85	80
		Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	0.5	100	90	80	70	60
* Mandatory Objective(s)			Percentage of ATRS submitted within due date (6 months) from	%	0.5	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from	%	0.5	100	90	80	70	60

* Mandatory Objective(s)

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
			date of presentation of Report to Parliament by PAC during the year.							
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	0.5	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	0.5	100	90	80	70	60

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
[1] Access, Participation and Expansion of higher education (including technical, professional and vocational education) by creating additional capacity in existing institutions, establishing new institutions and incentivising state governments and Non-Governmental Organizations / civil society.	[1.1] Establishment of Community Colleges	[1.1.1] Finalization of Concept Note	Date	--	--	31/10/2012	--	--
		[1.1.2] Identification of Colleges/Trade/Industry	Date	--	--	31/12/2012	--	--
		[1.1.3] Issue of Guidelines	Date	--	--	31/01/2013	--	--
	[1.2] Setting up of 20 new IIITs	[1.2.1] Selection of States and Partners and their site selection	number (total)	--	--	4	--	--
		[1.2.2] Formation of the Society	number	--	--	2	--	--
	[1.3] Skill Development of population in adjoining areas through Community Polytechnics	[1.3.1] Persons trained	number	100000	--	110000	--	--
	[1.4] Formulation of an umbrella scheme for incentivizing States for expansion and capacity building of higher education	[1.4.1] Preparation of Cabinet Note	Date	--	--	31/01/2013	--	--
	[1.5] Utilization of capacity in new IITs, IIMs and NITs	[1.5.1] Commencement of full scale/capacity Academic programs in IITs	number	--	--	5	--	--
		[1.5.2] Commencement of full scale/capacity Academic programs in IIMs	number	--	--	3	--	--
		[1.5.3] Commencement of full scale/capacity Academic programs in NITs	number	--	--	4	--	--
	[1.6] Review of DPRs: IITs, IISERs, IIMs and NITs	[1.6.1] Finalization of DPRs of institutes	number	--	--	3	--	--
	[1.7] Revision of EFC Memos in respect of IITs, IISERs, IIMs and NITs	[1.7.1] Finalization of EFC documents of the institutes	number	--	--	2	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
[2] Equity and Inclusion - To provide opportunities of higher education to socially deprived communities and remove disparities by promoting the inclusion of women, minorities and differently abled persons. - To remove regional imbalances in access to higher education by setting up of institutions in unserved and underserved areas.	[2.1] Provision of Women Hostels in Universities, colleges and Polytechnics	[2.1.1] Operationalization of Women Hostels already sanctioned in Universities and colleges	number	--	--	40	--	--
		[2.1.2] Operationalization of Women Hostels already sanctioned in Polytechnics	number	--	--	40	--	--
		[2.1.3] Sanction of new women hostels in universities and colleges	number	--	--	20	--	--
	[2.2] Remedial Coaching centers for SC/ST/OBC (non-creamy layer) & Minorities	[2.2.1] New/Additional Centers in Universities and colleges	number	--	--	40	--	--
	[2.3] Coaching centers for NET/SET for SC/ST/OBC (non-creamy layer) & Minorities	[2.3.1] New/Additional Centers in universities and colleges	number	--	--	18	--	--
	[2.4] Coaching centers for facilitating Entry in Services for SC/ST/OBC (non-creamy layer) & Minorities	[2.4.1] New/Additional Centers in universities and colleges	number	--	--	35	--	--
	[2.5] Post Graduate Scholarship for SC/ST students in professional courses	[2.5.1] Utilization of Available scholarships (1000)	%	--	--	90	--	--
	[2.6] Post Doctoral Fellowship for women	[2.6.1] Utilization of Available fellowships	%	--	--	90	--	--
	[2.7] Post Doctoral Fellowship for SC/ST candidates	[2.7.1] Utilization of Available fellowships	%	--	--	90	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
	[2.8] Equal Opportunity Cell	[2.8.1] New cells opened in universities and colleges	number	--	--	45	--	--
	[2.9] Implementation of the Scheme for Integrating Differently Abled persons in the mainstream of Technical and Vocational Education in identified institutions (50)	[2.9.1] Differently Abled persons covered	number	1900	--	1900	--	--
	[2.10] Support to Students in Higher Educational Institutions (100% of eligible loan applications)	[2.10.1] Interest subsidy provided on Educational Loans taken by students belonging to economically Backward background with annual family income less than 4.5 lakhs.	%	100	--	90	--	--
	[2.11] To formulate a Scheme for credit Guarantee Fund for educationally weaker sections of the society	[2.11.1] Submission of proposal to competent authority.	Date	--	--	31/08/2012	--	--
[3] Quality enhancement	[3.1] National Mission on Teachers and Teaching	[3.1.1] Issue of UGC guidelines to Central Universities for setting up Schools of Education and inter-disciplinary courses for faculty development in different disciplines	Date	--	--	31/07/2012	--	--
		To enhance plan support for infrastructure and faculty development in the institutions of higher learning and to attract talent towards careers in teaching and research.						

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
- To create conditions for knowledge generation through improved research facilities in universities and colleges. - Consolidation and strengthening of State Institutions - To promote collaboration with International community, foreign governments, universities/institutions and regional and international organisations, for the advancement of universal knowledge and intellectual property rights. - To promote development of Indian languages.								
		[3.1.2] Identifying 4 IIMs for establishing Regional Centres offering long duration courses in Education Management	Date	--	--	31/07/2012	--	--
		[3.1.3] Commencement of Programs	Number	--	--	1	--	--
		[3.1.4] Direction to ASCs by UGC to revise curriculum of various courses.	Date	--	--	30/06/2012	--	--
	[3.2] Implementation of Madhava Menon Committee Report for streamlining distance education system	[3.2.1] Issue of Policy Direction to UGC, AICTE, IGNOU and DECI	Date	--	--	31/01/2013	--	--
	[3.3] Mandatory accreditation	[3.3.1] Central Higher Education institutions applying for accreditation	number	--	--	12	--	--
	[3.4] Academic reforms	[3.4.1] Dematerialization of degrees in CEIs	number	--	--	12	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
	[3.5] National Mission on Education through ICT	[3.5.1] Draft of Technology –based education Policy	Date	--	--	15/02/2013	--	--
		[3.5.2] Connectivity	number	--	--	5400	--	--
		[3.5.3] Utilization of connectivity (Average bandwidth utilization in Mbps by the University)	number	--	--	27	--	--
		[3.5.4] Preparation of framework for training of Teachers, including training of Trainers, in ICT-based education.	Date	--	--	30/09/2012	--	--
	[3.6] Setting up Meta Universities through collaboration among existing institutes.	[3.6.1] Identification of sets of constituents	number	--	--	1	--	--
		[3.7.1] Issue of framework by UGC	Date	--	--	15/02/2013	--	--
		[3.8.1] Finalization of scheme	Date	--	--	31/10/2012	--	--
	[3.9] Development of innovation Universities	[3.9.1] Identification of Universities with potential for innovation	number	--	--	5	--	--
		[3.10.1] Preparation of Concept paper	Date	--	--	30/09/2012	--	--
	[3.10] Establishment of five centers for Design Innovation in Central Institutions							

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
		[3.10.2] Identification of Institutions	Date	--	--	31/10/2012	--	--
	[3.11] Establishment of Centres of Excellence in frontier areas of Science	[3.11.1] Finalization of EFC note	Date	--	--	31/01/2013	--	--
	[3.12] Web Portal for faculty resources: to enable NET/SLET qualified and Ph.D candidates (awarded after 2009) to upload their details	[3.12.1] Launch of portal	Date	--	--	31/08/2012	--	--
	[3.13] Enhancing collaboration with Foreign Educational Institutions	[3.13.1] Issue of Regulations by UGC	Date	--	--	31/01/2013	--	--
	[3.14] Formulation of new scheme of Teaching Assistantship and National Professors	[3.14.1] Submission to the EFC	Date	--	--	31/10/2012	--	--
	[3.15] Implementation of review committee recommendations regarding fellowships by the 4 research councils	[3.15.1] Issue of orders by ICHR	Date	--	--	31/01/2013	--	--
		[3.15.2] Issue of orders by ICPR	Date	--	--	31/01/2013	--	--
		[3.15.3] Issue of orders by ICSSR	Date	--	--	31/01/2013	--	--
		[3.15.4] Issue of orders by IIAS	Date	--	--	31/01/2013	--	--
	[3.16] Putting in place a regulatory framework for Private universities	[3.16.1] Issue of Regulations by UGC	Date	--	--	30/09/2012	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
	[3.17]Implementation of the Apprenticeship Training Scheme	[3.17.1] Graduate Engineers and Diploma holders trained	number	62127	--	60000	--	--
	[3.18]Implementation of NVEQF	[3.18.1] Conduct of Workshops	number of workshops	--	--	6	--	--
	[3.19]Capacity building of Teachers under TEQIP II	[3.19.1] Conduct of Workshops	number	--	--	17	--	--
	[3.20]Review of NITs	[3.20.1] Finalization of Report	Date	--	--	31/12/2012	--	--
	[3.21]Strengthening of International collaboration in Education	[3.21.1] Preparation of action plan for Implementation of international collaboration arrangements.	Date	--	--	31/12/2012	--	--
	[3.22]Mutual recognition of Qualifications among collaborating countries.	[3.22.1] Issue of guidelines by AIU	Date	--	--	31/01/2013	--	--
	[3.23]Evaluation of Old Schemes for Language Development	[3.23.1] Identification of evaluating agencies	Date	--	--	31/07/2012	--	--
		[3.23.2] Implementation of Evaluation Report recommendations	Date	--	--	07/03/2013	--	--
	[3.24]Revision of Adarsh Sanskrit Mahavidhyala and Shodh Sansathan Scheme	[3.24.1] Preparation of EFC Note	Date	--	--	31/07/2012	--	--
		[3.24.2] Approval of Competent Authority	Date	--	--	31/12/2012	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
[4] Governance reforms • To promote autonomy, innovation and academic reforms in institutions of higher learning. • To undertake institutional restructuring for improving efficiency, relevance and creativity in higher education.	[3.25] Documentation and preservation of Endangered Languages	[3.24.3] Issuance of guidelines	Date	--	--	31/01/2013	--	--
		[3.25.1] Preparation of EFC Note	Date	--	--	31/10/2012	--	--
		[3.25.2] Approval of Competent Authority	Date	--	--	28/02/2013	--	--
		[3.25.3] Issuance of guidelines	Date	--	--	07/03/2013	--	--
		[3.26.1] Preparation of EFC Note	Date	--	--	31/10/2012	--	--
	[4.1] Setting up of complaint redressal mechanism in Educational Institutions.	[3.26.2] Approval of Competent Authority	Date	--	--	28/02/2013	--	--
		[3.26.3] Issuance of guidelines	Date	--	--	07/03/2013	--	--
		[4.1.1] Appointment of Ombudsman	number of Ombudsmen	--	--	8	--	--
		[4.2.1] Action plan for implementation of recommendations	Date	--	--	31/10/2012	--	--
		[4.3.1] Release of Report	Date	08/08/2010	03/03/2012	15/02/2013	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
	[4.4] Timely dissemination of education statistics	[4.4.1] Release of Statistics of Higher and Technical Education for 2010-11.	Date	--	--	30/11/2012	--	--
		[4.4.2] Analysis of Budgeted Expenditure 2010-11	Date	--	--	31/10/2012	--	--
		[4.4.3] Analysis of Results of High School and Higher Secondary Examination 2010	Date	--	--	30/11/2012	--	--
		[4.4.4] Release of Statistics of School Education 2010-11	Date	--	--	30/11/2012	--	--
		[4.4.5] Release of Education at a Glance 2012	Date	--	--	31/03/2013	--	--
	[4.5] Ensuring new campuses conform to GRIHA Guidelines	[4.5.1] Master Plans for new Central Educational Institutions conforming to GRIHA Guidelines	number	--	--	5	--	--
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date	05/03/2010	07/03/2011	06/03/2012	--	--
	Timely submission of Results	On-time submission	Date	02/05/2011	--	03/05/2012	--	--
* Administrative Reforms	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--
	Implement ISO 9001 as per the approved action plan	Area of operations covered	%	--	--	95	--	--
* Mandatory Objective(s)								

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Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
	Identify, design and implement major innovations	Implementation of identified innovations	Date	--	--	06/03/2013	--	--
* Improving Internal Efficiency / responsiveness / service delivery of Ministry / Department	Implementation of Sevottam	Independent Audit of Implementation of Citizen's Charter	%	--	--	95	--	--
		Independent Audit of implementation of public grievance redressal system	%	--	--	95	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	--	--	90	--	--
	Timely submission of ATRs to the PAC Sect. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	--	--	90	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	--	--	90	--	--
* Mandatory Objective(s)	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRs disposed off during the year.	%	--	--	90	--	--

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Higher education is of vital importance for the country, as it is a powerful tool to build knowledge based society of the 21st Century. Improvement of access along with equity and excellence, the adoption of state-specific strategies, enhancing the relevance of higher education through curriculum reforms, vocationalisation, networking, information technology and distance education; along with reforms in governance structures are some of the main policy initiatives of the government in the higher education sector. The other important policy initiatives in higher education are programmes for general development of universities and colleges; special grants for the construction of hostels for women; scholarships to students, scheme to provide interest subsidy on educational loans for professional courses to ensure that nobody is denied professional education because he or she is poor and making interventions to attract and retain talent in the teaching profession in the higher and technical education. Emphasis has been laid on expansion with equity, use of Information & Communication Technology (ICT) and promotion of quality education.

India is a nation of young people - out of a population of above 1.2 billion, 672 million people are in the age-group 15 to 64 years, which is usually treated as the “working age population”. It is predicted that India will see a sharp decline in the dependency ratio over the next 30 years, which will constitute a major demographic dividend for India. In the year 2001, 11% of population of the country was in age group of 18-24 years which has gone up to 12% by the end of XIth Five Year Plan. This large population should be considered as an invaluable asset of human resources and should be provided the necessary skills so as to empower them to contribute to our national economy as also to the development of the entire world.

The Approach Paper to XII Five Year Plan in Para 10.20 says that “There must be a strategic shift from mere expansion to improvement in quality higher education. For this, the focus should be not only on larger enrollment, but also on the quality of the expansion. During the Twelfth-Plan period, an additional enrolment of 10 million could be targeted in higher education equivalent to 3 million additional seats for each age cohort entering the higher education system. This would significantly increase the GER bringing it broadly in line with the global average”

The Approach Paper to XII Five Year Plan in Para 10.27 also mentions the need to create an ecosystem that encourages research and innovation in a self-sustaining manner. We must bring back the ‘lost’ research culture of Indian Universities so as to create new knowledge and improve teaching standards. Collaborative research, setting up industry incubation parks in Universities and institutions providing more research fellowships, promoting innovation through interdisciplinary research in new and emerging fields, strengthening Inter-University centers etc., need to be emphasized in the Twelfth Plan. This would require more funding for university-based research and funding policies that create right incentives for quality research and promote collaboration among institutions. Related to this is the issue of faculty shortages which can be tackled through innovative ways

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such as technology-enabled learning and collaborative information and communication technologies (ICT). A complete overhaul of the Academic Staff Colleges that are used to provide refresher courses for teachers is also necessary. Initiatives to improve the quality and availability of teachers in higher education need to be launched in a mission mode. The Information & Communication Technology (ICT) revolution worldwide has facilitated ready access to wide information and diverse knowledge. Our institutions of higher learning also need to create and protect intellectual property. A National Mission on Education through ICT has already been launched on 3rd February 2009 which will cost nearly Rs.5000 crores in the next three years and will provide internet connectivity to over 20,000 degree colleges and over 10,000 departments in the Universities.

An important challenge before us in the higher education sector is to bring Governance Reforms not only in the institutions of higher learning but also in the regulatory structures of the higher education system. There is also a challenge of maintaining quality and excellence while ensuring rapid expansion of the higher education system. The higher education system is also faced with the challenge to attract and retain good faculty in adequate numbers to meet the demand of the rapidly expanding higher education system. Efforts also need to be made to restore the respect for teaching profession and the status of the teacher must come first and above everyone in the society as has been the tradition of our country.

The description of Success Indicators of the Results Framework Document are as follows:-

S. No	Success Indicator	Description/Definition
1.	Community Colleges	This is a new concept, which is being introduced in the Indian Education system to meet the twin requirements of education with skill development. The success indicator will be setting up of these Colleges, in collaboration with existing institutions such as Colleges and Polytechnics.
2.	Setting up of 20 IIITs	The Central Government will identify 3 States for establishment of IIITs, alongwith the requisite number of Industry Partners. The location of the Institute would also be finalized by the duly constituted Site Selection Committees.
3.	Skill Development of population in adjoining area through Community Polytechnics	The Scheme of Community Development through Polytechnics (CDTP), aims at providing non formal, short term, employment oriented skill development programmes, through AICTE approved Polytechnics, to various sections of the community, particularly the rural, unorganized & disadvantaged sections of the society, to enable them to obtain gainful self / wage employment. Duration of training usually ranges from three to six months. These courses will be offered by the Polytechnics in their premises, as

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S. No	Success Indicator	Description/Definition
		well as through extension centres, to be set up in nearby locations, from where, these courses can be offered to the local community. No fee is to be charged from the trainees under this Scheme and there is no restriction of age and qualification
4.	Formulation of umbrella scheme for incentivizing States for expansion and capacity building of higher education	This is a new scheme envisaged during the XII Plan. However, as there is delay in finalization of XII Plan, which ideally should have commenced on 1st April, 2012, therefore this umbrella scheme can be finalized only after the formal approval of the XII Plan
5.	Commencement of full scale/capacity Academic Programmes in new IITs, IIMs and NITs	Seven new IITs, seven new IIMs and ten new NITs were established during the XI Plan Period. In order to consolidate these new institutions. The success indicator will be commencement of full scale/full capacity academic programmes during 2012-13.
6.	Review of DPR: IITs, NITs, IISERs and IIMs	DPRs for all the four category of Institutions will be reviewed for taking up the revision of cost estimates during 2012-13.
7.	Revision of EFC Memos in respect of IITs, NITs, IISERs and IIMs	Once the DPRs of the above institutions become available, the EFC notes would be attempted for circulation amongst all the stakeholder, namely, Planning Commission & Department Of Expenditure for their views/comments so that the EFC note could be finalized for approval of the competent authority.
8.	Operationalization of Women Hostels already sanctioned in Universities, colleges and Polytechnics.	In order to increase access of girl students for seeking the education they desire would require provision of hostel Sanction of new women hostels in universities and colleges.
9.	New/Additional Centres in Universities and colleges for Remedial Coaching for SC/ST/OBC (non-creamy layer) & Minorities	With a view to contributing towards social equity and socioeconomic mobility of the under privileged sections of the society, a scheme of Remedial Coaching at the Under-Graduate (UG) and Post-Graduate (PG) level for students belonging to the SC/ST/OBC (non-creamy layer) & Minorities has been in operation since 1994. The main objectives of the scheme are:
		1. To improve the academic skills and linguistic proficiency of the students in various subjects.
		2. To raise the level of comprehension of basic subjects so as to provide a stronger foundation for further academic work.
		3.

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S. No	Success Indicator	Description/Definition
		4. To strengthen their knowledge, skills and attitude in such subjects, where quantitative and qualitative techniques and laboratory work are involved, so that necessary guidance and training provided under the programme may enable the students to come-up to the level necessary for pursuing higher studies efficiently. 5. To improve overall performance of these students in the examinations.
10.	New/Additional Centres in Universities and colleges for NET/SLET for SC/ST/OBC (noncreamy layer) & Minorities	In order to assist SC/ST/OBC (non-creamy layer) & Minorities candidates for recruitment as lecturers in Universities and Colleges, a scheme of Coaching Classes for qualified SC/ST/OBC (non-creamy layer) & Minorities to prepare them for National Eligibility Test (NET), which is an essential eligibility condition for becoming a lecturer in universities or colleges is under implementation. Under this scheme, coaching classes are organized in selected universities for which grant on 100% basis is made available. Effort is being made to approve at least one centre in each State, which can accept the responsibility for NET Coaching and has sufficient number of willing faculty members to provide coaching. The classes in the coaching centre are to be arranged by arranging teachers on honorarium basis.
11.	New/Additional Centres in Universities and colleges for entry in services for SC/ST/OBC (non-creamy layer) & Minorities	In order to gain employment in group A, B & C including all India and State Services, the Scheme of Coaching Classes for SC/ST/OBC (non-creamy layer) & Minorities for entry in Services has been introduced in selected universities and colleges. The classes in the Coaching Centres are to be arranged by arranging teachers on honorarium basis.
12.	Utilization of available scholarship for Post Graduate scholarship of SC/ST in professional courses.	This scheme has been initiated keeping in view the social background of the candidates from deprived sections of the society and to provide them an opportunity to undertake postgraduate level studies for SC/ST student. The objective of the scheme is to provide financial assistance to SC/ST students to pursue postgraduate level studies in Professional subjects at recognized Indian Universities/institutes/colleges. The scholarship amount is R5,000/-per month for M.Tech. Courses and R 3,000/- per month for other courses. The contingency for the above scheme is R 15,000/- and R 10,000/-per annum respectively. The number of slots available under this scheme are 1000 per annum.

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S. No	Success Indicator	Description/Definition
13.	Utilization of available fellowship for Post Doctoral fellowship for women	The scheme is being implemented for the unemployed women candidates holding Ph.D degree in their respective subject areas with an aim to accelerate the talented instincts of the women candidates to carry out the advanced studies and research. At present, the number of slots available under the scheme is 100 per year. The tenure of the award is five years with no provision for further extension. The upper age limit for the candidate is 55 years as on 1st July on the year of application. The selected candidates with fresh Ph.D. degrees are paid R 25,000/-p.m. and those with five years experience after Ph.D. are paid R 30,000/-p.m. The Fellowship also carries a contingency grant of R 50,000/- p.a. for a full tenure of 5 years.
14.	Utilization of available fellowship for Post Doctoral fellowship for SC/ST candidates.	The UGC initiated the scheme of post-doctoral fellowship for SC/ST candidates who have obtained a doctorate degree and have published research work to their credit. The objective of the scheme is to provide an opportunity to SC/ST candidates to undertake post doctoral research in Science, Engineering & Technology, humanities, social sciences at universities, colleges and institutes of National importance established by Central/State Government. The number of slots available under this scheme are 100 per year. Fellowship @ R 16,000/- p.m. fixed for two years and Contingency @ R 30,000/- p.a. for two years , apart from other benefits of Departmental Assistance, Readers assistance and HRA, are given.
15.	Equal Opportunity Cell	With a view to enhance the employability and success of deprived sections, by emphasizing on learning and creating an opportunity for them to be in the mainstream, UGC has decided to create Equal Opportunity Cells (EOCs) in all universities which have been declared fit to receive grants under section 12-B of the UGC Act, 1956. The cell takes up programmes of sensitizing university/college community on problems faced by SC/ST students in higher education.
16.	Implementation of the Scheme for Integrating Differently Abled Persons in the mainstream of Technical and Vocational Education Implementation of the Scheme for Integrating Differently	In pursuance of Hon'ble Prime Minister's Independence Day speech on 15th August 2007 announcing the launch of a Mission on Vocational Education and Skill Development, Planning Commission had proposed that the Skill Development Mission would comprise four Sub-Missions including one on Polytechnics. The Sub-Mission on Polytechnics as proposed by the Planning Commission has the following components; (i) Establishment of New

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S. No	Success Indicator	Description/Definition
	Abled Persons in the mainstream of Technical and Vocational Education	Polytechnics, (ii) Strengthening of Existing Polytechnics (iii) Expansion of Community Polytechnic Scheme, (iv) support to Engineering Colleges for Diploma Courses and (v) Construction of Women's Hostel in Polytechnics. The Persons with Disabilities (Equal Opportunities, Protection of Rights and Full Participation) Act, 1995 provides for free education to the disabled children till attaining the age of 18 years, to promote integration of students, disabilities in normal schools to equip the special school for children with disabilities with vocational training activities. The Department of Higher Education through various organizations like UGC, Central Universities, AICTE have taken several initiatives to promote higher/professional education among the persons with disabilities. These initiative include providing, enabling environment, human resource development and promoting higher education to the persons with disabilities
17.	Interest subsidy scheme on Education Loan for needy students	Government of India is implementing a Central Sector Scheme to provide full interest subsidy during the period of moratorium on loans taken by students belonging to economically weaker sections from scheduled banks under the Educational Loan Scheme of the Indian Banks' Association for pursuing any of the approved courses of studies in technical and professional streams from recognized institutions in India.
18.	Formulation of scheme for Credit Guarantee Fund for educationally weaker sections	In order to ensure that no student who is eligible to be admitted should be deprived of higher education for financial reasons, Finance Minister in his Budget speech on 16th March, 2012 announced for formulation of a scheme of Credit Guarantee Fund for educationally weaker sections. Government will stand as guarantor for students loans taken for pursuing higher education.
19.	National Mission on Teachers and Teaching	Hon'ble President in her address to Parliament on 12th March 2012 made announcements for the launch of National Mission for Teachers aimed at improving teacher education and faculty development. The Mission is proposed to address the entire gamut of issues relating to teachers, teachers education and quality of teaching, such as, teacher/faculty shortages and vacancies; recruitment policies; capacity building of teachers for improvement in qualification, pedagogic skills, technology enabled teaching; continuous training and retraining; preservice and in-service training; teacher absenteeism and accountability; revamping Academic Staff Colleges; academic growth and development of university teachers and engineering/technical teachers.

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S. No	Success Indicator	Description/Definition
20.	Implementation of the Madhava Menon Committee Report for Streamlining of Distance Education	Government had constituted a Committee under the Chairmanship of Prof. N R Madhava Menon to suggest measures to regulate the standards of education being imparted through the Distance Mode. The Terms of Reference of the Committee were as under:- * To harmonize the legal position in respect of distance education programmes in various disciplines, as they concern the UGC Act, AICTE Act and IGNOU Act • To recommend framework for approval of Distance Education Courses/institutions within functional jurisdiction of UGC, AICTE and DEC in dealing with the subject matters of distance education • To recommend outcome benchmarks for distance education systems which will facilitate equivalence with conventional modes • To recommend guidelines for processing of the approval of technical programmes through distance and mixed mode • To suggest ways towards enhanced contribution of Distance Education to reach the targeted Gross Enrolment Ratio (GER) of 30% by 2020 • The Madhav Menon Committee Report has already been submitted to the Government. The success indicator would be the implementation of the key recommendations as accepted by the Government.
21.	Mandatory Accreditation (Number of Central Higher Education institutions applying for accreditation)	Although the legislative proposal to provide for mandatory accreditation is still under consideration of the Parliament, the Central Higher Educational Institutions will be encouraged to voluntarily opt for accreditation of their institutions.
22.	Dematerialization of Certificates of Educational Qualifications	The Government is contemplating the establishment of a national database of academic qualifications created and maintained in an electronic format by an identified, registered depository. This would provide immense benefit to institutions, students, alumni and employers by enabling online access of academic qualifications, eliminating the need for persons to approach educational institutions for obtaining transcripts or for verification as well as reduce the need for institutions to preserve records related to academic performance of students for number of years. The system could also eliminate fraudulent practices such as forging of certificates and mark sheets through facilitating online verification.
23.	National Mission on Education through ICT (i) Draft of Technology-based education policy	National Mission on Education through ICT is currently under implementation and around 400 Universities and more than 18,000 Colleges have been provided connectivity. The Success indicator of this Mission is the usage of this

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S. No	Success Indicator	Description/Definition
	(ii) Connectivity (iii) Utilization of connectivity (Average bandwidth utilization in Mbps by the University) (iv) Preparation of framework for training of Teachers, including training of Trainers in ICT based education.	connectivity and e-content generated under this Mission.
24.	Establishment of Meta University	This again is a new concept in the Indian education system where two or more universities would be collaborating, by way of student and staff mobility as also mobility of academic credits to foster greater synergies between universities.
25.	Shift to norm and entitlement based grant from demand and inspection based grants (issue of framework by UGC)	The framework from UGC will achieve the objective of using norms and entitlements instead of demand and inspection based grants disbursement. This will ensure an objective method of grant disbursement with lesser scope of discretion and linked to outcome related to quality of education.
26.	Finalization of scheme for faculty sharing	UGC would be tasked to finalize a scheme for sharing of faculty resources within institutions under MHRD as also with research labs under CSIR and similar other organization.
27.	Identification of universities with potential for innovation for Development of Innovation Universities	The Department would identify existing universities who have potential for development as Innovation Universities.
28.	Establishment of five centers for Design Innovation in Central Institutions (i) Preparation of concept paper (ii) Identification of Institutions	Designs Innovation Centers are proposed during the XII Five Year Plan. Since the XII Five Year Plan is yet to be finalized, presently five such centers will be approved in existing institutions.
29.	Establishment of Centres of Excellence in Frontier Areas of Science	The draft EFC Memo would be prepared and circulated for views/comments of all the concerned Ministries/ Departments.

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S. No	Success Indicator	Description/Definition
30.	Launch of webportal for faculty resources to enable NET/SET qualified and Ph.D candidates (awarded after 2009) to upload their details	This will achieve the objective of quickly connecting the academic institutions with prospective faculty members to impart momentum to the process of recruitment. This activity will be undertaken through UGC and the Success indicator is the launch of the portal by the UGC.
31.	Issue of Regulations by UGC by UGC for enhancing collaboration with Foreign Educational Institutions.	This activity will be undertaken through UGC and the Success indicator is the issue of the Regulation by UGC.
32.	Submission to EFC for formulation of scheme of Teaching Assistantship and National Professorship.	The scheme of Teaching Assistantship will enable a postgraduate student to simultaneously work as a prospective faculty. This will lower the age of entry to the academic profession and provide faculty like resources to vacant position. National Professorship will support academic development, enhance teaching and research qualities and encourage universities to develop their own strength.
33.	Implementation of review committee recommendations regarding fellowships by the 4 Research councils- (Issue of orders by ICHR, ICPR, ICSSR, IIAS)	The Success Indicator is the issue of order by the respective Research Councils.
34.	Issue of Regulation by UGC for putting in place a regulatory framework for Private Universities.	This activity will be undertaken through UGC and the success indicator is the launch of the portal by the UGC.
35.	Apprentice Training Scheme - Graduate Engineers and Diploma Holders trained.	The Success Indicator is the number of apprentice trained.
36.	Conduct of workshops for implementation of NVEQF	The Success Indicator is the numbers of workshops held.
37.	Implementation of Technical Education Quality Improvement Programme-(TEQIP) II	In order to enhance the quality in Technical Education, Department has implemented a Technical Quality Improvement Programme with the assistance from the World Bank to improve the quality of education and enhance the capacities of the technical institutions to become dynamic, demand-driven, quality conscious and competitive at

Section 4

Description and definition of Success Indicators and proposed measurement methodology

S. No	Success Indicator	Description/Definition
		national and international levels. The proposed reforms include capacity building in Governance, faculty development, examination reforms, regular curriculum revision, introduction of semester system, focus on research and giving autonomy with the accountability. To cover these areas, the project proposes to conduct 40 workshops during the year.
38.	Finalization of Report for review of NITs	The success indicator is the finalization of the Review Report.
39.	Preparation of action plan for implementation of international collaboration arrangements	The Success Indicator is the finalizations of the action plan for implementation of the existing international collaboration arrangements.
40.	Issue of guidelines by AIU for mutual recognition of Qualification among collaborating countries.	This activity will be undertaken through AIU and the Success indicator will be the issue of guidelines by AIU.
41.	Revision of Adarsh Sanskrit Mahavidhyala and Shod Sansthan Scheme	The Success Indicator will be the revision of the scheme as per the timelines indicated in the RFD.
42.	Documentation and preservation of Endangered Languages	The Success Indicator will be issue of guidelines as per the timelines indicated in the RFD.
43.	Bharat Bhasha Vikas Yojna for Development of non-scheduled languages	The Success Indicator will be issue of guidelines as per the timelines indicated in the RFD.
44.	Appointment of Ombudsman	The Success Indicator will be number of Ombudsman appointed in Central Educational Institutions.
45.	Action plan for Implementation of recommendations of Kakodkar Committee	With a view to ensuring autonomy and future of IITs as world class institutions of research and higher learning, the Kakodkar Committee, constituted by the Ministry of Human Resource Development, has made some major recommendations, viz: - Scaling up of Ph.D students from less than 1000 Ph. D graduates per year to 10,000 Ph. D graduated by 2020-25. - To bring UG:PG ratio close to 1:1. - Government to financially support research at IITs. - Enabling Ministries to set up R &D labs in IITs to drive Technology Development relevant to the nation. - To put in place a fee regime which takes care of operating cost with necessary safeguards for students from weaker sections. - Scaling up of quality faculty, besides strengthening of part

Section 4

Description and definition of Success Indicators and proposed measurement methodology

S. No	Success Indicator	Description/Definition
		time/ adjunct faculty from the industry, visiting faculty, etc. - A plan to create at least 1,00,000 equality engineering graduates per year through page : 48 of 63 Central Government funded institutions. The Success Indicator will be the finalizations of action plan for implementation of the recommendation of the Kakodkar Committee.
46.	Report to the People on Education	As a follow-up of Hon'ble President's address to Parliament, Ministry is Human Resource Development is required to prepare Five Annual Reports to the People on Education outlining the broad areas of priority of the Government to generate a national debate. Two reports in a series of five have been uploaded on the website of the Ministry. The success indicator will be preparation of the third Report as per time lines indicated in the RFD.
47.	Timely dissemination of education statistics	The Success Indicators are the timely release of educational statistics as per the timelines indicated in the RFD.
48.	Master Plans for new Central Educational Institutions conforming to GRIHA Guidelines	The Success Indicator will be the number of Master Plans prepared for new Central Educational Institutions which are conforming to GRIHA guidelines.

The Acronyms used in this document are as under:-

AICTE	- All India Council for Technical Education
AIU	- Association of Indian Universities
ASC	- Academic Staff College
ATNs	- Action Taken Notes
ATRs	- Action Taken Reports
C &AG	- Comptroller and Auditor General of India
CEIs	- Central Educational Institutions
DECI	- Distance Education Council of India
DPRs	- Detailed Project Reports
EFC	- Expenditure Finance Committee
GER	- Gross Enrolment Ratio
GRIHA	- Green Rating For Integrated Habitat Assessment
ICHR	- Indian Council of Historical Research
ICPR	- Indian Council of Philosophical Research
ICSSR	- Indian Council of Social Science Research

Section 4

Description and definition of Success Indicators and proposed measurement methodology

ICT	- Information & Communication Technology
IGNOU	- Indira Gandhi National Open University
IIAS	- Indian Institute of Advance Studies
IIITs	- Indian Institutes of Information Technology
IIMs	- Indian Institutes of Management
IISERs	- Indian Institutes of Scientific Education and Research
IITs	- Indian Institutes of Technology
ISO	- International Organization for Standardization
M.Tech	- Master of Technology
MbPS	- Megabits per second
NET	- National Eligibility Test
NITs	- National Institutes of Technology
NVEQF	- National Vocational Educational Qualifications Framework
OBC	- Other Backward Class
PAC	- Public Accounts Committee
PG	- Post Graduate
Ph.D	- Doctor of Philosophy
RFD	- Results Framework Document
SC	- Scheduled Castes
SCERTs	- State Councils of Educational Research and Training
SET	- State Eligibility Test
SLET	- State Level Eligibility Test
ST	- Scheduled Tribes
TEQIP	- Technical Education Quality Improvement Programme
UG	- Under Graduate
UGC	- University Grants Commission

The success indicators in Table I are basically steps which are to be taken towards achieving the action point listed, which put together will result in the larger objectives identified by the Department of Higher Education i.e. (1) Access, Participation and Expansion, (2) Equity and Inclusion (3) Quality enhancement and (4) Governance Reforms.

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Apex Regulatory Organisations, Universities, Colleges, Industry	Finalization of Concept Note	Consultation with the stakeholders	Higher Education reforms require consensus building amongst the stakeholders	Full support and commitment	It would hamper the achievement and delay the implementation of the scheme
	Identification of Colleges/Trade/ Industry				
	Issue of Guidelines				
State Governments	Selection of States and Partners and their site selection	Cooperation from the States and also from Industry Partner	State and Industry partners should come forward to share their contribution under PPP mode.	Full support and commitment	It would hamper the achievement and delay the implementation of the scheme.
	Formation of the Society	-	-	-	Scheme can not be implemented without formation of society for its implementation
	Persons trained	Cooperation of State Government	-	Full support and commitment	It would hamper the achievement and delay the implementation of the schemes

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Planning Commission, Ministry of Finance	Preparation of Cabinet Note	Approval from these authorities	To formulate the scheme	Full Support	The scheme will not be implemented
IITs, IIMs, NITs	Commencement of full scale/capacity Academic programmes in new IITs	Start of the programmes to the capacity	Programme being run by the institute	Full support	It would hamper the achievement
	Commencement of full scale/capacity Academic programmes in new IIMs	Start of the programmes to the capacity	Programme being run by the institute	Full support	It would hamper the achievement
	Commencement of full scale/capacity Academic programmes in new NITs	Start of the programmes to the capacity	Programme being run by the institute	Full support	It would hamper the achievement
IITs, IIMs, NITs	Finalization of DPRs of institutes	Submission of DPRs	For finalization	Full support	It would hamper the achievement
Planning Commission, Ministry of Finance	Finalization of EFC documents of the institutes	Their approval	For finalization of EFC	Full support	It would hamper the achievement
State Governments, Universities, colleges and Polytechnics	Operationalization of Women Hostels already sanctioned in Universities and colleges	Cooperation from all stakeholders	For the operationalization of Women Hostels	Full support	The operationalization would be hampered

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
	Operationalization of Women Hostels already sanctioned in Polytechnics				
Universities and colleges	Sanction of new women hostels in universities and colleges.	Proposals from the universities and colleges	The sanction of the hostels is dependent upon the proposal from the stakeholders	Full support	The implementation of the scheme would be hampered.
UGC, Universities and colleges	New/Additional Centers in Universities and colleges	Proposals from the universities and colleges	Financial assistance for opening New/Additional Centers can be released based on the proposals from Universities and colleges	Full support	The implementation of the scheme would be hampered
UGC, Universities and colleges	New/Additional Centers in universities and colleges	Proposals from the universities and colleges	Financial assistance for opening New/Additional Centers can be released based on the proposals from Universities and colleges	Full support	The implementation of the scheme would be hampered
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Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
UGC, Universities, colleges and SC/ST candidates	Utilization of Available scholarships (1000)	Request for the scholarship	The release of scholarship is dependent upon application	Full	The available slots would not be utilized
UGC, Universities, colleges and eligible women	Utilization of Available fellowships	Request for fellowship	The release of fellowship is dependent upon application	Full	The available slots would not be utilized
UGC, Universities, colleges and eligible SC/ST candidates	Utilization of Available fellowships	Request for the scholarship	The release of scholarship is dependent upon application	Full	The available slots would not be utilized
UGC, Universities and colleges	New cells opened in universities and colleges	Request for financial assistance	Financial assistance for opening New cells is based on the proposals from Universities and colleges	Full	The opening of new Cells would be hampered.
Technical and Vocational Institutions	Differently Abled persons covered	Inclusion of Differently Abled persons in the mainstream	Technical and Vocational Institution should accommodate them	Full support and commitment	It would hamper the achievement.

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Commercial Banks and State Governments	Interest subsidy provided on Educational Loans taken by students belonging to economically Backward background with annual family income less than 4.5 lakhs	Cooperation from Commerci al Banks for processing loans applications and State Government authorities for issuing income certificate	Loans are to be sanctioned by Commercial Banks and income certificate are to be given by designated State authorities	Full support and commitment	It would hamper the achievement.
Ministry of Finance	To formulate a Scheme for Credit Guarantee Fund for educationally weaker sections of the society	The scheme has been announced by Finance Minister in his Budget Speech	-	-	-
IIMs	Identifying 4 IIMs for establishing Re gional Centres offering long duration courses in Education Management	-	-	-	It would hamper the achievement
IIMs	Commencemen t of Programs	Cooperation from IIMs	For commence of programme	Full support and commitment	It would hamper the achievement
UGC, ASC	Direction to ASCs by UGC to revise curriculum of various courses.	Cooperation from UGC and ASCs	Identification of courses for revision of curriculum	Full support and commitment	It would hamper the achievement

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
-	Issue of Policy Direction to UGC, AICTE, IGNOU and DECI	-	-	-	It would hamper the achievement
Central Higher Education institutions and National Board of Accreditation	Central Higher Education institutions applying for accreditation	Request from Central Higher Education institutions for accreditation	The Accreditation of institution is voluntary and not mandatory	Full support and commitment	It would hamper the achievement
Central Educational Institutions	Dematerialization of degrees in CEIs	-	-	-	It would hamper the achievement
Ministry of Telecommunica tions & IT, Universities, State Governments	(i) Draft of Technology -based education Policy	Timely comments	It involves a policy decision	Full support and commitment	It would hamper the achievement
	(ii) Connectivity (iii) Utilization of connectivity (Average bandwidth utilization in Mbps by the University)		To implement the National Mission through ICT	Full support and commitment	It would hamper the achievement
Universities and Higher Education Institutions	Preparation of framework for training of Teachers, including training of Trainers, in ICT- based education.	Participation by Universities and Higher Education Institutions	To implement the National Mission through ICT	Full support and commitment	It would hamper the achievement

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
UGC	Issue of framework by UGC	Norms and guidelines governing grants disbursal	To improve quality of higher education with a transparent and objective system based on norms and entitlements.	Coverage of entire amount of plan grants released by UGC	Absence of mechanism to improve quality of higher education
UGC, AICTE	Launch of portal	Web portal	It is an essential mechanism for ensuring real time dissemination of information between academic institutions and prospective faculty members	Reliable and scalable user friendly portal available on 24x7 basis	Absence of a mechanism for quickly connecting academic institutions with prospective faculty members. Resolution of problem of faculty shortage will be further delayed.
UGC	Issue of Regulations by UGC	Regulations by UGC	Essential for laying down a uniform framework for universities/col leges to avail services of foreign educational institutions with safeguards.	All collaborations with foreign educational institutions	Foreign educational institutions will conduct their operations without adequate safeguards for Indian students and faculty.

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
UGC	Submission to the EFC	Detailed scheme based on which the EFC will be formulated	Essential for tapping post-graduate students for faculty positions and for enhancing teaching and research quality	Full provision of funds as per EFC	A vast resource of post-graduate students will not be tapped for faculty positions to ameliorate faculty shortage. National level stimulus to disciplines with dedicated alumni will be delayed.
State Government, AICTE, Technical Institutions	Graduate Engineers and Diploma holders trained	-	-	Full support	It would hamper the achievement and delay the implementation of the scheme
Countries signed MoU with Ministry of HRD	Preparation of action plan for Implementation of international collaboration arrangements	Specific action plans for different countries for education and research collaboration	For stimulating the collaborations in a focused manner on the mutually agreed action points	Action points like research in science and technology, skill development etc for specific countries	Performance on specific action points as mutually agreed with the different countries will not be achieved.
AIU	Issue of guidelines by AIU	Guidelines from AIU	-Essential for Mutual recognition of Qualifications among collaborating countries	Full support	It would hamper the achievement of activity
Evaluation agencies identified by the	Identification of evaluating agencies				

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Department	Implementation of Evaluation Report recommendations	Evaluation Report	To undertake evaluation of the scheme	Full support	The evaluation of the scheme would be hampered
State Governments, Planning Commission , Ministry of Finance and various Ministries	Preparation of EFC Note Approval of Competent Authority Issuance of guidelines	Timely receipt of approval and comments from various Ministries and Departments	It involves policy decision	Full support	The implementation of the scheme would be hampered.

State Governments, Planning Commission , Ministry of Finance and various Ministries	Preparation of EFC Note Approval of Competent Authority Issuance of guidelines	Timely receipt of approval and comments from various Ministries and Departments	It involves policy decision	Full support	The implementation of the scheme would be hampered.
State Governments, Planning Commission , Ministry of Finance	Preparation of EFC Note Approval of Competent Authority Issuance of guidelines	Timely receipt of approval and comments from various Ministries and Departments	It involves policy decision	Full support	The implementation of the scheme would be hampered.

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Central Educational Institutions	Appointment of Ombudsman	Cooperation from Central Educational Institutions required	To put in place an effective grievance redressal system in Central Educational Institutions	Full support	The implementation would be hampered.
Department of School Education & Literacy and Planning Commission	Release of Report	To prepare a report to the people on education	To get feed back from the stake holders on the report		
Department of School Education & Literacy, State Governments and Educational Institutions	Release of Statistics of Higher and Technical Education for 2010-11.	States and educational institutions should give information	For data base	Full support	-do-
	Analysis of "Results of High School and Higher Secondary Examination 2010"	States and educational institutions should give information	For data base	Full support	-do-
	Release of Statistics of School Education 2010-11	States and educational institutions should give information	For data base	Full support	-do-

Section 5: Specific Performance Requirements from other Departments

Departments/ Ministries/ Organizations	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
	Release of "Education at a Glance 2012"	States and educational institutions should give information	For data base	Full support	-do-

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
1 Improved enrolment	State Governments, UGC, AICTE and Educational Institutions	Increase in GER in Higher Education	number					
2 Increased access to information technology	Central Educational Institutions	Number of Institutions with access to internet connectivity	number					
3 Improved quality of Higher Education	UGC, AICTE and Educational Institutions	% of Educational Institutions fully accredited	%					
		% of Educational Institutions fully compliant with National Standards for Accreditation	%					
		Average rating assigned by the National accreditation bodies	Grade					
4 Improvement in equity and inclusive Education	Central Educational Institutions	% of differently-abled persons enrolled in Higher Education	%					
		% of persons belonging to OBCs and minorities enrolled in Higher Education	%					
		% of persons belonging to SCs/STs enrolled in Higher Education	%					