



Government of India

R F D

(Results-Framework Document)
for

Department of Higher Education
(2013-2014)

Section 1: Vision, Mission, Objectives and Functions

Vision

To realize India's human resource potential to its fullest in the higher education sector, with equity and excellence.

Mission

(i) Provide greater opportunities of access to higher education with equity to all the eligible persons and in particular to the vulnerable sections. (ii) Expand access by supporting existing institutions, establishing new institutions, supporting State Governments and Non-Government Organizations / civil society to supplement public efforts aimed at removing regional or other imbalances that exist at present. (iii) Initiate policies and programmes for strengthening research and innovations and encourage institutions – public or private -- to engage in stretching the frontiers of knowledge. (iv) Promote the quality of higher education by investing in infrastructure and faculty, promoting academic reforms, improving governance and institutional restructuring towards the inclusion of the hitherto deprived communities.

Objectives

- 1 Access, Participation and Expansion • To expand the higher education sector in all its modes of delivery to increase the Gross Enrolment Ratio (GER) in higher education by 10 million during the XII Plan. • To expand institutional base of higher education (including technical, professional and vocational education) by creating additional capacity in existing institutions, establishing new institutions and incentivising state governments and Non-Governmental Organizations / civil society.
- 2 Equity and Inclusion (i)To provide opportunities of higher education to socially deprived communities and remove disparities by promoting the inclusion of women, minorities and differently abled persons. (ii)To remove regional imbalances in access to higher education by setting up of institutions in unserved and underserved areas.
- 3 Quality Enhancement (i)To enhance plan support for infrastructure and faculty development in the institutions of higher learning and to attract talent towards careers in teaching and research. (ii)To create conditions for knowledge generation through improved research facilities in universities and colleges. (iii)Consolidation and strengthening of State Institutions. (iv)To promote collaboration with International community, foreign governments, universities/institutions and regional and international organizations, for the advancement of universal knowledge and intellectual property rights. (v)To promote development of Indian languages
- 4 Research & Innovation
- 5 Governance Reforms and Leadership. (i)To promote autonomy, innovation and academic reforms in institutions of higher learning. (ii)To undertake institutional restructuring for improving efficiency, relevance and creativity in higher education. (iii) Ensuring compliance to the Financial Accountability Framework
- 6 Globalization Reforms in Higher Educational Sector Convergence of interdisciplinary studies-research & innovation Better governance Internationalization of Education
- 7 Efficient functioning of the RFD System Improving Internal Efficiency/Responsiveness/Transparency/Service delivery of Ministry/Department

Functions

Section 1: Vision, Mission, Objectives and Functions

- 1 Enhancement of Gross Enrolment Ratio by expanding access through all modes;
- 2 Promoting the participation of those sections of the society whose GER is lower than the national average;
- 3 To improve quality and to promote academic reforms;
- 4 Setting up of new educational institutions and also capacity expansion and improvement of the existing institutions;
- 5 Use of Technology in Higher Education;
- 6 Development of Vocational Education and Skill Development
- 7 Development of Indian Language;
- 8 International Collaboration in the field of education

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[1] Access, Participation and Expansion - To expand the higher education sector in all its modes of delivery to increase the Gross Enrolment Ratio (GER) in higher education by 10 million during the XII Plan. - To expand institutional base of higher education (including technical, professional and vocational education) by creating additional capacity in existing institutions, establishing new institutions and incentivising state governments and Non-Governmental Organizations / civil society.	20.00	[1.1] Launch of RUSA	[1.1.1] Consultations with State Govts. & experts to finalise the RUSA document	Date	5.00	31/05/2013	30/06/2013	31/07/2013	31/08/2013	30/09/2013
			[1.1.2] Submission of Cabinet Note	Date	3.00	31/10/2013	30/11/2013	31/12/2013	31/01/2014	28/02/2014
			[1.1.3] Release of Funds	Date	2.00	30/12/2013	30/01/2014	28/02/2014	15/03/2014	30/03/2014
		[1.2] Setting up of new polytechnics	[1.2.1] Operationalization of New Polytechnics	Number	1.00	50	40	30	25	20
		[1.3] Upgradation of existing polytechnics	[1.3.1] Achieving upgradation of existing Polytechnics	Number	1.00	50	40	30	25	20
		[1.4] Establishment of Community Colleges	[1.4.1] Operationalization of Community Colleges	Number	4.00	60	50	45	40	35
		[1.5] Skill Development of population in adjoining areas through	[1.5.1] Persons trained	Number	2.00	150000	120000	100000	80000	60000

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[2] Equity and Inclusion (i) To provide opportunities of higher education to socially deprived communities and remove disparities by promoting the inclusion of women, minorities and differently abled persons. (ii) To remove regional imbalances in access to higher education by setting up of institutions in unserved and underserved areas.	13.00	Community Polytechnics	[1.5.2] Concurrent Evaluation of gainful employment	Date	2.00	30/11/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014
		[2.1] Provision of Women Hostels in Universities, colleges and Polytechnics	[2.1.1] Operationalization of new Women Hostels	Number	2.00	110	100	90	80	70
		[2.2] Remedial Coaching centers for SC/ST/OBC (non-creamy layer) & Minorities	[2.2.1] New/Additional Centres in Universities and colleges	Number	1.00	50	40	35	30	25
		[2.3] Coaching centers for NET/SET for SC/ST/OBC (non-creamy layer) & Minorities	[2.3.1] New/Additional Centres in universities and colleges	Number	1.00	20	18	15	12	10
		[2.4] Coaching centres for facilitating Entry in Services for SC/ST/OBC (non-creamy layer) & Minorities	[2.4.1] New/Additional Centres in universities and colleges	Number	1.00	40	35	30	25	20
		[2.5] Post Graduate Scholarship for SC/ST students in professional	[2.5.1] DBT of scholarships	Percentage	1.00	100	90	85	80	75

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		courses								
		[2.6] Post Doctoral Fellowship for women	[2.6.1] DBT of fellowships	Percentage	1.00	100	90	85	80	75
		[2.7] Post Doctoral Fellowship for SC/ST candidates	[2.7.1] DBT of fellowships	Percentage	1.00	100	90	85	80	75
		[2.8] Equal Opportunity Cell	[2.8.1] New cells opened in universities and colleges	Number	1.00	50	45	40	35	30
		[2.9] Implementation of the Scheme for Integrating Differently Abled persons in the mainstream of Technical and Vocational Education	[2.9.1] Differently Abled persons covered	Number	2.00	2000	1900	1700	1500	1300
		[2.10] Support to students through interest subsidy on Educational Loans	[2.10.1] Disbursal of funds Rs.1100 Crores to eligible students.	Rupees in Crore	2.00	1100	800	600	400	200
[3] Quality Enhancement (i) To enhance plan support for infrastructure and faculty development in the institutions of higher learning and to attract talent towards careers in teaching and research. (ii) To create conditions for knowledge generation through improved research facilities in universities and colleges. (iii) Consolidation and strengthening of State	32.00	[3.1] National Mission on Teachers and Teaching	[3.1.1] Transforming Existing Academic Staff Colleges (ASCs) into model Academic Staff Colleges	Number	1.00	10	8	7	5	3

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
Institutions. (iv) To promote collaboration with International community, foreign governments, universities/institutions and regional and international organizations, for the advancement of universal knowledge and intellectual property rights. (v) To promote development of Indian languages										
			[3.1.2] Setting up Schools of Education	Number	1.00	5	3	2	1	0
			[3.1.3] Summer and Winter Schools	Number	1.00	35000	30000	25000	20000	17000
			[3.1.4] Regional Centres for Education Management	Number	1.00	4	3	2	1	0
			[3.1.5] ICT Empowerment of Teachers	Number	1.00	10000	8000	7000	5000	3000
		[3.2] Capacity building of Teachers under TEQIP II	[3.2.1] Conduct of Workshops	Number	1.00	20	17	14	11	8
			[3.2.2] Performance Assessment of trained teachers	Date	1.00	30/11/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014
		[3.3] Faculty Development Abroad	[3.3.1] Approval of scheme of deputing faculty in institutions abroad	Date	1.00	30/09/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value					
						Excellent	Very Good	Good	Fair	Poor	
						100%	90%	80%	70%	60%	
			[3.3.2] Approval of scheme for inviting faculty from institutions abroad	Date	1.00	30/09/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014	
			[3.4.1] Short term training for translators	Number	1.00	250	230	210	190	170	
			[3.5.1] Filing of applications by all eligible Central Higher Education institutions for accreditation	Date	1.00	30/08/2013	30/09/2013	30/10/2013	30/11/2013	30/12/2013	
			[3.5.2] Opening of Regional offices of NAAC and NBA by 31.12.2013	Number	1.00	4	3	2	1	0	
			[3.6.1] Utilization of connectivity(Average bandwidth utilization in Mbps by the University)	Number	4.00	30	27	24	21	18	
			[3.7.1] Issue of Guidelines by UGC	Date	1.00	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014	
			[3.8.1] Finalization of UGC Scheme	Date	1.00	31/10/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014	
			[3.9.1] Issue of framework by UGC	Date	1.00	30/11/2013	31/12/2013	28/02/2014	15/03/2014	31/03/2014	

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[3.10] Promotion of Research and Innovation	[3.10.1] Identification of Centres with Potential for Innovation	Number	2.00	5	4	3	2	1
		[3.11] Regulation of Private Universities	[3.11.1] Notification of regulation relating to Private Universities	Date	4.00	31/10/2013	30/11/2013	31/12/2013	31/01/2014	28/02/2014
		[3.12] Regulation of deemed universities	[3.12.1] Review and submission of report on regulations relating to Deemed Universities	Date	1.00	31/07/2013	30/09/2013	31/12/2013	29/02/2014	31/03/2014
		[3.13] Review of Category B institutions by Task Force	[3.13.1] To complete the review process by Task Force and submission of report	Number	1.00	8	6	4	2	1
		[3.14] Establishment of Over-Archiving Council for Social Sciences	[3.14.1] Finalization & circulation of concept note	Date	1.00	31/07/2013	31/08/2013	30/09/2013	31/10/2013	30/11/2013
		[3.15] Review of AIU	[3.15.1] Submission of report	Date	1.00	30/11/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014
		[3.16] Creating an echo-system of Research in Educational Institutions.	[3.16.1] Publications in Peer Reviewed Journals and Creation of IPPs	Number	1.00	500	400	300	200	100
			[3.16.2] Filing of Patent applications and patents available within Central Institutions	Number	1.00	500	400	300	200	100

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[4] Research & Innovation	6.00		[3.16.3] Creation of IPR Centre	Date	1.00	31/12/2013	31/01/2014	15/02/2014	28/02/2014	31/03/2014
		[4.1] Establishment of Design Innovation Centre	[4.1.1] Establishment of Centres	Number	3.00	5	4	3	2	1
		[4.2] Establishment of Centers of Excellence in frontier areas of Research	[4.2.1] Finalization of Draft EFC Note	Date	1.00	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014
[5] Governance Reforms and Leadership. (i) To promote autonomy, innovation and academic reforms in institutions of higher learning. (ii) To undertake institutional restructuring for improving efficiency, relevance and creativity in higher education. (iii) Ensuring compliance to the Financial Accountability Framework	9.00		[4.2.2] Release of funds to identified Centres	Date	2.00	28/02/2014	07/03/2014	15/03/2014	21/03/2014	31/03/2014
		[5.1] Creation of New Institutional arrangements for development of academic leadership	[5.1.1] Setting up of a Centre for Policy Research and Leadership Development in Higher Education	Date	3.00	30/11/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014
		[5.2] Industry Academia Linkage	[5.2.1] Setting up of the Council for Industry Academia Collaboration	Date	1.00	31/01/2014	15/02/2014	28/02/2014	15/03/2014	31/03/2014
		[5.3] Education Statistics	[5.3.1] Release of Statistics of Higher and Technical Education for 2011-12 based on AISHE.	Date	0.50	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[6] Globalization Reforms in Higher Educational Sector Convergence of interdisciplinary studies-research & innovation Better governance Internationalization of Education	5.00	[5.3.2] Release of "Education at a Glance 2013"	[5.3.2] Release of "Education at a Glance 2013"	Date	0.50	30/11/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014
		[5.4] Ensuring new campuses conform to GRIHA Guidelines	[5.4.1] Adoption of GRIHA compliant Master Plans	Percentage	1.00	100	90	80	70	60
		[5.5] Timely submission of ATNs on Audit Paras of C & AG	[5.5.1] Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	Percentage	0.50	100	90	80	70	60
		[5.6] Early disposal of pending ATNs on Audit Paras of C & AG Reports presented to Parliament before 31.03.2013	[5.6.1] Percentage of outstanding ATNs disposed off during the year.	Percentage	1.50	100	90	80	70	60
		[5.7] Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.03.2013	[5.7.1] Percentage of outstanding ATNs disposed off during the year.	Percentage	1.00	100	90	80	70	60
		[6.1] Creating opportunities for international collaboration (USA, UK & Australia Phase I)	[6.1.1] Follow up of action points	Date	1.50	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014
		[6.2] Strategy Papers for USA, Canada, Africa, Australia and New Zealand	[6.2.1] Strategy papers	Date	1.50	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
		[6.3] Operationalising web based Student Helpline	[6.3.1] Operationalising the helpline	Date	2.00	30/09/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	2.0	05/03/2014	06/03/2014	07/03/2014	08/03/2014	11/03/2014
		Timely submission of Results for 2012-13	On-time submission	Date	1.0	01/05/2013	02/05/2013	03/05/2013	06/05/2013	07/05/2013
		Independent Audit of implementation of Citizens' Charter (CCC)	% of implementation	%	2.0	100	95	90	85	80
* Transparency/Service delivery Ministry/Department	3.00	Independent Audit of Implementation of Public Grievance Redressal System	% of implementation	%	1.0	100	95	90	85	80
		Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	1.0	100	95	90	85	80
		Implement ISO 9001 as per the approved action plan	% of implementation	%	2.0	100	95	90	85	80
		Implement Innovation Action Plan (IAP)	% of milestones achieved	%	2.0	100	95	90	85	80
* Improving Internal Efficiency/Responsiveness.	2.00	Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	1.0	27/01/2014	28/01/2014	29/01/2014	30/01/2014	31/01/2014
		Update departmental strategy to align with 12th Plan priorities	Timely update of the strategy	Date	2.0	10/09/2013	17/09/2013	24/09/2013	01/10/2013	08/10/2013
* Ensuring compliance to the Financial Accountability Framework	1.00	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by	%	0.25	100	90	80	70	60

* Mandatory Objective(s)

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
			CAG .during the year.							
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRs disposed off during the year.	%	0.25	100	90	80	70	60

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[1] Access, Participation and Expansion - To expand the higher education sector in all its modes of delivery to increase the Gross Enrolment Ratio (GER) in higher education by 10 million during the XII Plan. - To expand institutional base of higher education (including technical, professional and vocational education) by creating additional capacity in existing institutions, establishing new institutions and incentivising state governments and Non-Governmental Organizations / civil society.	[1.1] Launch of RUSA	[1.1.1] Consultations with State Govts. & experts to finalise the RUSA document	Date	--	--	30/06/2013	--	--
		[1.1.2] Submission of Cabinet Note	Date	--	--	30/11/2013	--	--
		[1.1.3] Release of Funds	Date	--	--	30/01/2014	--	--
	[1.2] Setting up of new polytechnics	[1.2.1] Operationalization of New Polytechnics	Number	--	--	40	--	--
	[1.3] Upgradation of existing polytechnics	[1.3.1] Achieving upgradation of existing Polytechnics	Number	--	--	40	--	--
	[1.4] Establishment of Community Colleges	[1.4.1] Operationalization of Community Colleges	Number	--	--	50	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[2] Equity and Inclusion (i) To provide opportunities of higher education to socially deprived communities and remove disparities by promoting the inclusion of women, minorities and differently abled persons. (ii) To remove regional imbalances in access to higher education by setting up of institutions in unserved and underserved areas.	[1.5] Skill Development of population in adjoining areas through Community Polytechnics	[1.5.1] Persons trained	Number	--	--	12000	--	--
		[1.5.2] Concurrent Evaluation of gainful employment	Date	--	--	31/12/2013	--	--
	[2.1] Provision of Women Hostels in Universities, colleges and Polytechnics	[2.1.1] Operationalization of new Women Hostels	Number	--	--	70	--	--
	[2.2] Remedial Coaching centers for SC/ST/OBC (non-creamy layer) & Minorities	[2.2.1] New/Additional Centres in Universities and colleges	Number	--	--	40	--	--
	[2.3] Coaching centers for NET/SET for SC/ST/OBC (non-creamy layer) & Minorities	[2.3.1] New/Additional Centres in universities and colleges	Number	--	--	18	--	--
	[2.4] Coaching centres for facilitating Entry in	[2.4.1] New/Additional Centres in	Number	--	--	35	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	Services for SC/ST/OBC (non-creamy layer) & Minorities	universities and colleges						
	[2.5] Post Graduate Scholarship for SC/ST students in professional courses	[2.5.1] DBT of scholarships	Percentage	--	--	90	--	--
	[2.6] Post Doctoral Fellowship for women	[2.6.1] DBT of fellowships	Percentage	--	--	90	--	--
	[2.7] Post Doctoral Fellowship for SC/ST candidates	[2.7.1] DBT of fellowships	Percentage	--	--	90	--	--
	[2.8] Equal Opportunity Cell	[2.8.1] New cells opened in universities and colleges	Number	--	--	45	--	--
	[2.9] Implementation of the Scheme for Integrating Differently Abled persons in the mainstream of Technical and Vocational Education	[2.9.1] Differently Abled persons covered	Number	--	--	1900	--	--
	[2.10] Support to students through interest subsidy on Educational Loans	[2.10.1] Disbursal of funds Rs. 1100 Crores to eligible students.	Rupees in Crore	--	--	800	--	--
	[3.1] National Mission on Teachers and Teaching	[3.1.1] Transforming Existing Academic Staff Colleges (ASCs) into model Academic Staff Colleges	Number	--	--	8	--	--
	[3] Quality Enhancement (i) To enhance plan support for infrastructure and faculty development in the institutions of higher learning and to attract talent							

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
towards careers in teaching and research. (ii) To create conditions for knowledge generation through improved research facilities in universities and colleges. (iii) Consolidation and strengthening of State Institutions. (iv) To promote collaboration with International community, foreign governments, universities/institutions and regional and international organizations, for the advancement of universal knowledge and intellectual property rights. (v) To promote development of Indian languages								
		[3.1.2] Setting up Schools of Education	Number	--	--	3	--	--
		[3.1.3] Summer and Winter Schools	Number	--	--	30000	--	--
		[3.1.4] Regional Centres for Education Management	Number	--	--	3	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	[3.2] Capacity building of Teachers under TEQIP II	[3.1.5] ICT Empowerment of Teachers	Number	--	--	8000	--	--
		[3.2.1] Conduct of Workshops	Number	--	--	17	--	--
	[3.3] Faculty Development Abroad	[3.2.2] Performance Assessment of trained teachers	Date	--	--	31/12/2013	--	--
		[3.3.1] Approval of scheme of deputing faculty in institutions abroad	Date	--	--	31/12/2013	--	--
		[3.3.2] Approval of scheme for inviting faculty from institutions abroad	Date	--	--	31/12/2013	--	--
		[3.4.1] Short term training for translators	Number	--	--	230	--	--
	[3.5] Mandatory accreditation	[3.5.1] Filing of applications by all eligible Central Higher Education institutions for accreditation	Date	--	--	30/09/2013	--	--
		[3.5.2] Opening of Regional offices of NAAC and NBA by 31.12.2013	Number	--	--	3	--	--
	[3.6] National Mission on Education through ICT	[3.6.1] Utilization of connectivity/Average bandwidth utilization in Mbps	Number	--	--	27	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
		by the University)						
	[3.7] Guidelines on Meta University	[3.7.1] Issue of Guidelines by UGC	Date	--	--	31/01/2014	--	--
	[3.8] Universities for Research & Innovation	[3.8.1] Finalization of UGC Scheme	Date	--	--	31/12/2013	--	--
	[3.9] Shift to norm based funding from demand and inspection based funding	[3.9.1] Issue of framework by UGC	Date	--	--	31/12/2013	--	--
	[3.10] Promotion of Research and Innovation	[3.10.1] Identification of Centres with Potential for Innovation	Number	--	--	4	--	--
	[3.11] Regulation of Private Universities	[3.11.1] Notification of regulation relating to Private Universities	Date	--	--	30/11/2013	--	--
	[3.12] Regulation of deemed universities	[3.12.1] Review and submission of report on regulations relating to Deemed Universities	Date	--	--	30/09/2013	--	--
	[3.13] Review of Category B institutions by Task Force	[3.13.1] To complete the review process by Task Force and submission of report	Number	--	--	6	--	--
	[3.14] Establishment of Over-Archiving Council for Social Sciences	[3.14.1] Finalization & circulation of concept note	Date	--	--	31/08/2013	--	--
	[3.15] Review of AIU	[3.15.1] Submission of report	Date	--	--	31/12/2013	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	[3.16] Creating an echo-system of Research in Educational Institutions.	[3.16.1] Publications in Peer Reviewed Journals and Creation of IPRs	Number	--	--	400	--	--
		[3.16.2] Filing of Patent applications and patents available within Central Institutions	Number	--	--	400	--	--
		[3.16.3] Creation of IPR Centre	Date	--	--	31/01/2014	--	--
[4] Research & Innovation	[4.1] Establishment of Design Innovation Centre	[4.1.1] Establishment of Centers	Number	--	--	4	--	--
	[4.2] Establishment of Centers of Excellence in frontier areas of Research	[4.2.1] Finalization of Draft EFC Note	Date	--	--	31/01/2014	--	--
		[4.2.2] Release of funds to identified Centres	Date	--	--	15/02/2014	--	--
[5] Governance Reforms and Leadership. (i) To promote autonomy, innovation and academic reforms in institutions of higher learning. (ii) To undertake institutional restructuring for improving efficiency, relevance and creativity in higher	[5.1] Creation of New Institutional arrangements for development of academic leadership	[5.1.1] Setting up of a Centre for Policy Research and Leadership Development in Higher Education	Date	--	--	31/12/2013	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
education. (iii) Ensuring compliance to the Financial Accountability Framework								
	[5.2] Industry Academia Linkage	[5.2.1] Setting up of the Council for Industry Academia Collaboration	Date	--	--	15/02/2014	--	--
	[5.3] Education Statistics	[5.3.1] Release of Statistics of Higher and Technical Education for 2011-12 based on AISHE.	Date	--	--	31/01/2014	--	--
		[5.3.2] Release of "Education at a Glance 2013"	Date	--	--	31/12/2013	--	--
	[5.4] Ensuring new campuses conform to GRIHA Guidelines	[5.4.1] Adoption of GRIHA compliant Master Plans	Percentage	--	--	90	--	--
	[5.5] Timely submission of ATNs on Audit Paras of C & AG	[5.5.1] Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	Percentage	--	--	90	--	--
	[5.6] Early disposal of pending ATNs on Audit Paras of C & AG Reports presented to Parliament before 31.03.2013	[5.6.1] Percentage of outstanding ATNs disposed off during the year.	Percentage	--	--	90	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[6] Globalization Reforms in Higher Educational Sector. Convergence of interdisciplinary studies-research & innovation. Better governance. Internationalization of Education	[5.7] Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.03.2013	[5.7.1] Percentage of outstanding ATNs disposed off during the year.	Percentage	--	--	90	--	--
	[6.1] Creating opportunities for international collaboration (USA, UK & Australia Phase 1)	[6.1.1] Follow up of action points	Date	--	--	31/01/2014	--	--
	[6.2] Strategy Papers for USA, Canada, Africa, Australia and New Zealand	[6.2.1] Strategy papers	Date	--	--	31/01/2014	--	--
	[6.3] Operationalising web based Student Helpline	[6.3.1] Operationalising the helpline	Date	--	--	31/12/2013	--	--
* Efficient Functioning of the RFD System	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	--	--	06/03/2014	--	--
	Timely submission of Results for 2012-13	On-time submission	Date	--	--	02/05/2013	--	--
* Transparency/Service delivery Ministry/Department	Independent Audit of implementation of Citizens'/Clients' Charter	% of implementation	%	--	--	95	--	--
	Independent Audit of implementation of Public Grievance Redressal	% of implementation	%	--	--	95	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	System							
* Administrative Reforms	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--
	Implement ISO 9001 as per the approved action plan	% of implementation	%	--	--	95	--	--
	Implement Innovation Action Plan (IAP)	% of milestones achieved	%	--	--	95	--	--
	Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	--	--	15/10/2013	--	--
* Improving Internal Efficiency/Responsiveness.	Update departmental strategy to align with 12th Plan priorities	Timely updation of the strategy	Date	--	--	17/09/2013	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG .during the year.	%	--	--	90	--	--
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	--	--	90	--	--
* Mandatory Objective(s)		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to	%	--	--	90	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	Parliament before 31.3.2012.							
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRs disposed off during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 4: Acronym

Sl.No	Acronym	Description
1	AICTE	All India Council for Technical Education
2	AIU	Association of Indian Universities
3	ASC	Academic Staff College
4	ATNs	Action Taken Notes
5	ATRs	Action Taken Reports
6	C and AG	Comptroller and Auditor General of India
7	CEIs	Central Educational Institutions
8	CIL	Central Institute of Language
9	DBT	Department of Bio-Technology
10	DBT	Direct Benefit Transfer
11	DECI	Distance Education Council of India
12	DPPs	Detailed Project Reports
13	DST	Department of Science and Technology

Section 4: Acronym

Sl.No	Acronym	Description
14	EFC	Expenditure Finance Committee
15	EOI	Expression of Interest
16	GER	Gross Enrolment Ratio
17	GRIHA	Green Rating For Integrated Habitat Assessment
18	ICAR	Indian Council of Agricultural Research
19	ICSSR	Indian Council of Social Science Research
20	ICT	Information & Communication Technology
21	IIAS	Indian Institute of Advance Studies
22	IPR	Intellectual Property Rights

Section 4: Acronym

Sl.No	Acronym	Description
23	ISO	International Organization for Standardization
24	Mbps	Megabits per second
25	MEAR	Ministry of External Affairs
26	M. Tech	Master of Technology
27	NAAC	National Assessment and Accreditation Council
28	NBA	Nation Board of Accreditation
29	NET	National Eligibility Test
30	NITs	National Institutes of Technology
31	NMTT	National Mission on Teachers' and Teaching

Section 4: Acronym

Sl.No	Acronym	Description
32	NTM	National Translation Mission
33	OBC	Other Backward Class
34	OBC	
35	PAC	Public Accounts Committee
36	PG	Post Graduate
37	Ph.D	Doctor of Philosophy
38	PPP	Public Private Partnership
39	RFD	Results Framework Document
40	RUSA	Rashtriya Uchatar Shiksha Abhiyan

Section 4:
Acronym

Sl.No	Acronym	Description
41	SC	Scheduled Castes
42	SCERT's	State Councils of Educational Research and Training
43	ST	Scheduled Tribes
44	TEQIP	Technical Quality Improvement Programme
45	UG	Under Graduate
46	UGC	University Grants Commission

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
1	[1.1.1] Consultations with State Govts. & experts to finalise the RUSA document	The bulk of enrollment in higher education takes place in universities and colleges supported by the state governments. An umbrella scheme of Rashtriya Uchchatar Shiksha Abhiyan(RUSA) will be launched in the XII Five Year Plan to address the needs of State institutions so as to strengthen them and enhance their quality. RUSA will also address a major challenge on regional imbalances in higher education.	The bulk of enrollment in higher education takes place in universities and colleges supported by the state governments. An umbrella scheme of Rashtriya Uchchatar Shiksha Abhiyan(RUSA) will be launched in the XII Five Year Plan to address the needs of State institutions so as to strengthen them and enhance their quality. RUSA will also address a major challenge on regional imbalances in higher education.		
2	[1.4.1] Operationalization of Community Colleges	Community Colleges will provide modular credit-based courses with entry and exit flexibility that conforms to the National Qualifications Framework. Their curricula will include an appropriate mix of academic and vocational skills and will be aligned to national occupational standards determined by employer-led sector skill councils. The assessment of vocational skills and training	Community Colleges will provide modular credit-based courses with entry and exit flexibility that conforms to the National Qualifications Framework. Their curricula will include an appropriate mix of academic and vocational skills and will be aligned to national occupational standards determined by employer-		

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
2	[1.4.1] Operationalization of Community Colleges	provided by Community Colleges will be done in accordance with assessment protocols developed by sector skill councils. Community Colleges will be located in habitations with large potential student population	led sector skill councils. The assessment of vocational skills and training provided by Community Colleges will be done in accordance with assessment protocols developed by sector skill councils. Community Colleges will be located in habitations with large potential student population		
3	[1.5.1] Persons trained	The Scheme of Community Development through Polytechnics (CDTP), aims at providing non formal, short term, employment oriented skill development programmes, through AICTE approved Polytechnics, to various sections of the community, particularly the rural, unorganized & disadvantaged sections of the society, to enable them to obtain gainful self / wage employment. Duration of training usually ranges from three to six months. These courses will be offered by the Polytechnics in their premises, as well as through	The Scheme of Community Development through Polytechnics (CDTP), aims at providing non formal, short term, employment oriented skill development programmes, through AICTE approved Polytechnics, to various sections of the community, particularly the rural, unorganized & disadvantaged sections of the society, to enable them to obtain gainful self / wage employment. Duration of training		

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
3	[1.5.1] Persons trained	extension centres, to be set up in nearby locations, from where, these courses can be offered to the local community. No fee is to be charged from the trainees under this Scheme and there is no restriction of age and qualification	usually ranges from three to six months. These courses will be offered by the Polytechnics in their premises, as well as through extension centres, to be set up in nearby locations, from where, these courses can be offered to the local community. No fee is to be charged from the trainees under this Scheme and there is no restriction of age and qualification		
4	[2.1.1] Operationalization of new Women Hostels	The success indicator is in numbers of polytechnics granted financial assistance.	The success indicator is in numbers of polytechnics granted financial assistance.		
5	[2.2.1] New/Additional Centres in Universities and colleges	In order to gain admission in professional courses, the Scheme of Coaching Classes for SC/ST/OBC (non-creamy layer) & Minorities for entry in Services has been introduced in selected universities and colleges. The classes in the Coaching Centres are to be arranged by arranging	In order to gain admission in professional courses, the Scheme of Coaching Classes for SC/ST/OBC (non-creamy layer) & Minorities for entry in Services has been introduced in selected universities and colleges. The classes in the		

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
5	[2.2.1] New/Additional Centres in Universities and colleges	teachers on honorarium basis.	Coaching Centres are to be arranged by arranging teachers on honorarium basis.		
6	[2.3.1] New/Additional Centres in universities and colleges	In order to gain employment in group A, B & C including all India and State Services, the Scheme of Coaching Classes for SC/ST/OBC (non-creamy layer) & Minorities for entry in Services has been introduced in selected universities and colleges. The classes in the Coaching Centres are to be arranged by arranging teachers on honorarium basis.	In order to gain employment in group A, B & C including all India and State Services, the Scheme of Coaching Classes for SC/ST/OBC (non-creamy layer) & Minorities for entry in Services has been introduced in selected universities and colleges. The classes in the Coaching Centres are to be arranged by arranging teachers on honorarium basis.		
7	[2.4.1] New/Additional Centres in universities and colleges	In order to assist SC/ST/OBC (non-creamy layer) & Minorities candidates for recruitment as lecturers in Universities and Colleges, a scheme of Coaching Classes for qualified SC/ST/OBC (non-creamy layer) & Minorities to prepare them for National Eligibility Test (NET), which is an	In order to assist SC/ST/OBC (non-creamy layer) & Minorities candidates for recruitment as lecturers in Universities and Colleges, a scheme of Coaching Classes for qualified SC/ST/OBC (non-creamy layer) &		

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success Indicator	Description	Definition	Measurement	General Comments
7	[2.4.1] New/Additional Centres in universities and colleges	essential eligibility condition for becoming a lecturer in universities or colleges is under implementation. Under this scheme, coaching classes are organized in selected universities for which grant on 100% basis is made available. Effort is being made to approve at least one centre in each State, which can accept the responsibility for NET Coaching and has sufficient number of willing faculty members to provide coaching. The classes in the coaching centre are to be arranged by arranging teachers on honorarium basis.	Minorities to prepare them for National Eligibility Test (NET), which is an essential eligibility condition for becoming a lecturer in universities or colleges is under implementation. Under this scheme, coaching classes are organized in selected universities for which grant on 100% basis is made available. Effort is being made to approve at least one centre in each State, which can accept the responsibility for NET Coaching and has sufficient number of willing faculty members to provide coaching. The classes in the coaching centre are to be arranged by arranging teachers on honorarium basis.		

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
8	[2.5.1] DBT of scholarships	Direct Benefit Transfer through Aadhar Payment Bridge would be ensured so that there seamless transfer of funds directly to the bank accounts of the beneficiary.	Direct Benefit Transfer through Aadhar Payment Bridge would be ensured so that there seamless transfer of funds directly to the bank accounts of the beneficiary.		
9	[2.6.1] DBT of fellowships	Direct Benefit Transfer through Aadhar Payment Bridge would be ensured so that there seamless transfer of funds directly to the bank accounts of the beneficiary.	Direct Benefit Transfer through Aadhar Payment Bridge would be ensured so that there seamless transfer of funds directly to the bank accounts of the beneficiary.		
10	[2.7.1] DBT of fellowships	Direct Benefit Transfer through Aadhar Payment Bridge would be ensured so that there seamless transfer of funds directly to the bank accounts of the beneficiary.	Direct Benefit Transfer through Aadhar Payment Bridge would be ensured so that there seamless transfer of funds directly to the bank accounts of the beneficiary.		
11	[2.8.1] New cells opened in universities and colleges	With a view to enhance the employability and success of deprived sections, by emphasizing on learning and creating an opportunity for them to be in the mainstream, UGC has decided to create Equal Opportunity Cells (EOCs) in all universities which have been	With a view to enhance the employability and success of deprived sections, by emphasizing on learning and creating an opportunity for them to be in the mainstream, UGC has decided to create Equal Opportunity		

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
11	[2.8.1] New cells opened in universities and colleges	declared fit to receive grants under section 12-B of the UGC Act, 1956. The cell takes up programmes of sensitizing university/college community on problems faced by SC/ST students in higher education.	Cells (EOCs) in all universities which have been declared fit to receive grants under section 12-B of the UGC Act, 1956. The cell takes up programmes of sensitizing university/college community on problems faced by SC/ST students in higher education.		
12	[2.9.1] Differently Abled persons covered	In pursuance of Hon'ble Prime Minister's Independence Day speech on 15th August 2007 announcing the launch of a Mission on Vocational Education and Skill Development, Planning Commission had proposed that the Skill Development Mission would comprise four Sub-Missions including one on Polytechnics as proposed by the Planning Commission has the following components; (i) Establishment of New Polytechnics, (ii) Strengthening of Existing Polytechnics (iii) Expansion of Community Polytechnic Scheme, (iv) support	In pursuance of Hon'ble Prime Minister's Independence Day speech on 15th August 2007 announcing the launch of a Mission on Vocational Education and Skill Development, Planning Commission had proposed that the Skill Development Mission would comprise four Sub-Missions including one on Polytechnics as proposed by the Planning Commission has the following components; (i)		

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
12	[2.9.1] Differently Abled persons covered	to Engineering Colleges for Diploma Courses and (v) Construction of Women's Hostel in Polytechnics. The Persons with Disabilities (Equal Opportunities, Protection of Rights and Full Participation) Act, 1995 provides for free education to the disabled children till attaining the age of 18 years, to promote integration of students, disabilities in normal schools to equip the special school for children with disabilities with vocational training activit	Establishment of New Polytechnics, (ii) Strengthening of Existing Polytechnics (iii) Expansion of Community Polytechnic Scheme, (iv) support to Engineering Colleges for Diploma Courses and (v) Construction of Women's Hostel in Polytechnics. The Persons with Disabilities (Equal Opportunities, Protection of Rights and Full Participation) Act, 1995 provides for free education to the disabled children till attaining the age of 18 years, to promote integration of students, disabilities in normal schools to equip the special school for children with disabilities with vocational training activit		

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success Indicator	Description	Definition	Measurement	General Comments
13	[2.10.1] Disbursal of funds Rs.1100 Crores to eligible students.	Government of India is implementing a Central Sector Scheme to provide full interest subsidy during the period of moratorium on loans taken by students belonging to economically weaker sections from scheduled banks under the Educational Loan Scheme of the Indian Banks' Association for pursuing any of the approved courses of studies in technical and professional streams from recognized institutions in India.	Government of India is implementing a Central Sector Scheme to provide full interest subsidy during the period of moratorium on loans taken by students belonging to economically weaker sections from scheduled banks under the Educational Loan Scheme of the Indian Banks' Association for pursuing any of the approved courses of studies in technical and professional streams from recognized institutions in India.		
14	[3.1.1] Transforming Existing Academic Staff Colleges (ASCs) into model Academic Staff Colleges	A National Mission on Teachers and Teaching will be launched to address, current and urgent issues such as supply of qualified teachers, attracting talent into teaching profession and raising the quality of teaching in schools and colleges. It is also envisaged that the Teacher Mission would pursue long term goal of building a strong professional cadre of	A National Mission on Teachers and Teaching will be launched to address, current and urgent issues such as supply of qualified teachers, attracting talent into teaching profession and raising the quality of teaching in schools and colleges. It is		

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success Indicator	Description	Definition	Measurement	General Comments
14	[3.1.1] Transforming Existing Academic Staff Colleges (ASCs) into model Academic Staff Colleges	teachers by setting performance standards and creating top class institutional facilities for innovative teaching and professional development of teachers. The Mission would focus in a holistic manner dealing with the whole sector of education without fragmenting the programmes based on levels and sectors as school, higher, technical etc. It is considered that programmes dealing with teachers in all sectors and levels of education should grow and function in a mutually supportive manner.	also envisaged that the Teacher Mission would pursue long term goal of building a strong professional cadre of teachers by setting performance standards and creating top class institutional facilities for innovative teaching and professional development of teachers. The Mission would focus in a holistic manner dealing with the whole sector of education without fragmenting the programmes based on levels and sectors as school, higher, technical etc. It is considered that programmes dealing with teachers in all sectors and levels of education should grow and function in a mutually supportive manner.		

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success Indicator	Description	Definition	Measurement	General Comments
15	[3.3.1] Approval of scheme of deputing faculty in institutions abroad	This will facilitate faculty to engage more deeply with institutions and faculty around the world in areas ranging from teaching – learning to research and outreach.	This will facilitate faculty to engage more deeply with institutions and faculty around the world in areas ranging from teaching – learning to research and outreach.		
16	[3.4.1] Short term training for translators	To promote development of Indian languages, one of the goals of NTM is certification and training of translators in different areas and long-term goals is to establish translation as a viable profession and give rise to translation industry.	To promote development of Indian languages, one of the goals of NTM is certification and training of translators in different areas and long-term goals is to establish translation as a viable profession and give rise to translation industry.		
17	[3.5.1] Filing of applications by all eligible Central Higher Education institutions for accreditation	Although the legislative proposal to provide for mandatory accreditation is still under consideration of the Parliament, the Central Higher Educational Institutions will be encouraged to voluntarily opt for accreditation of their institutions.	Although the legislative proposal to provide for mandatory accreditation is still under consideration of the Parliament, the Central Higher Educational Institutions will be encouraged to voluntarily opt for accreditation of their institutions.		

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
18	[3.6.1] Utilization of connectivity(Average bandwidth utilization in Mbps by the University)	National Mission on Education through ICT is currently under implementation and around 400 Universities and more than 18,000 Colleges have been provided connectivity. The Success indicator of this Mission is the usage of this connectivity and e-content generated under this Mission.	National Mission on Education through ICT is currently under implementation and around 400 Universities and more than 18,000 Colleges have been provided connectivity. The Success indicator of this Mission is the usage of this connectivity and e-content generated under this Mission.		
19	[3.7.1] Issue of Guidelines by UGC	This again is a new concept in the Indian education system where two or more universities would be collaborating, by way of student and staff mobility as academic credits to foster greater synergies between universities.	This again is a new concept in the Indian education system where two or more universities would be collaborating, by way of student and staff mobility as also mobility of academic credits to foster greater synergies between universities.		
20	[3.8.1] Finalization of UGC Scheme	A Bill to provide a regulatory framework for the establishment and incorporation of universities for Research and Innovation which would be the fount of making India the Global Knowledge hub and set bench	A Bill to provide a regulatory framework for the establishment and incorporation of universities for Research and Innovation which would be the fount of		

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
20	[3.8.1] Finalization of UGC Scheme	marks for excellence has been introduce in the parliament on 21.05.2012 and has been refer to Parliament Standing Committee.	making India the Global Knowledge hub and set bench marks for excellence has been introduce in the parliament on 21.05.2012 and has been refer to Parliament Standing Committee.		
21	[3.9.1] Issue of framework by UGC	The framework from UGC will achieve the objective of using norms and entitlements instead of demand and inspection based grants disbursement. This will ensure an objective method of grant disbursement with lesser scope of discretion and linked to outcome related to quality of education.	The framework from UGC will achieve the objective of using norms and entitlements instead of demand and inspection based grants disbursement. This will ensure an objective method of grant disbursement with lesser scope of discretion and linked to outcome related to quality of education.		
22	[3.11.1] Notification of regulation relating to Private Universities	The activity will be undertaken through UGC and the success indicator is issue of Regulations by UGC relating to Private Universities.	The activity will be undertaken through UGC and the success indicator is issue of Regulations by UGC relating to Private Universities.		

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
23	[3.12.1] Review and submission of report on regulations relating to Deemed Universities	Regulations to replace the guidelines on Deemed to be Universities for regulating in an orderly manner, the process of declaration of institution as deemed to be Universities and preventing institutions of dubious quality from being so declared have been brought out to maintain quality of higher education imparted by institutions deemed to be Universities deemed to be consistent with the of ideals of the concept of a University.	Regulations to replace the guidelines on Deemed to be Universities for regulating in an orderly manner, the process of declaration of institution as deemed to be Universities and preventing institutions of dubious quality from being so declared have been brought out to maintain quality of higher education imparted by institutions deemed to be Universities deemed to be consistent with the of ideals of the concept of a University.		
24	[3.13.1] To complete the review process by Task Force and submission of report	The success indicator will be to complete the review process by Task Force and submission of Report.	The success indicator will be to complete the review process by Task Force and submission of Report.		
25	[3.14.1] Finalization & circulation of concept note	The success indicator is to finalize and circulate the concept note.	The success indicator is to finalize and circulate the concept note.		

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
26	[3.15.1] Submission of report	The Association of Indian Universities needs to be strengthened. For this a detail review of the Association will be undertaken.	The Association of Indian Universities needs to be strengthened. For this a detail review of the Association will be undertaken.		
27	[4.1.1] Establishment of Centers	Design Innovation Centres and Innovation Platforms will be set up in existing institutions.	Design Innovation Centres and Innovation Platforms will be set up in existing institutions.		
28	[4.2.1] Finalization of Draft EFC Note	The draft EFC note would be prepared and circulated for views/comments of all the concerned Ministries/ Departments.	The draft EFC note would be prepared and circulated for views/comments of all the concerned Ministries/ Departments.		
29	[5.1.1] Setting up of a Centre for Policy Research and Leadership Development in Higher Education	The success indicator will be identification of (i) institute for leadership development and (ii) Centres for higher education data collection.	The success indicator will be identification of (i) institute for leadership development and (ii) Centres for higher education data collection.		
30	[5.3.1] Release of Statistics of Higher and Technical Education for 2011-12 based on AISHE	On Time Release	On Time Release		

**Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology**

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
31	[5.4.1] Adoption of GRIHA compliant Master Plans	Ensure green environments campuses.	Ensure green environments campuses.		
32	[5.5.1] Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	As per stipulated timelines.	As per stipulated timelines.		
33	[5.6.1] Percentage of outstanding ATNs disposed off during the year.	As per stipulated timelines.	As per stipulated timelines.		
34	[5.7.1] Percentage of outstanding ATNs disposed off during the year.	As per stipulated timelines.	As per stipulated timelines.		

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
Central Government		Responsibility Centre / Attached office	National Institutes of Technology	[1.2.1] Operationalization of New Polytechnics	To utilize the funds	Polytechnics will not be operationalized	All polytechnics to be set up	Achievement will be hampered
		Ministry	Ministry of Human Resource Development	[3.9.1] Issue of framework by UGC	Norms and guidelines governing grants disbursement	To improve quality of higher education with a transparent and objective system based on norms and entitlements.	Coverage of entire amount of plan grants released by UGC	Absence of mechanism to improve quality of higher education
				[3.12.1] Review and submission of report on regulations relating to Deemed Universities	To receive the Final Report	Essential to regulate Deemed Universities.	Full Support	Lack of effectiveness in regulating Deemed Universities
				[3.13.1] To complete the review process by Task Force and submission of report				
			Ministry of Planning	[4.1.1] Establishment of Centers [4.2.1] Finalization of Draft EFC Note [4.2.2] Release of funds to identified Centres	Proposals for setting up new design Innovation Centres	To create a climate for a new innovative discipline of design education		Lack of achievement will put us on a back – burner in the study of design education and Innovation.
			Ministry of Law and Justice	[3.16.1] Publications in Peer Reviewed Journals and Creation of IPRs	Larger number of Research Papers, Patent Applications	To encourage Students, faculties and institutions to take-up research related activities		Lack of achievement will result in Poor performance on the research front.

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
				[3.16.2] Filing of Patent applications and patents available within Central Institutions				
				[3.16.3] Creation of IPR Centre				
			Ministry of Human Resource Development	[3.7.1] Issue of Guidelines by UGC	Guidelines by UGC	Essential for laying down a uniform framework So that Potential Universities can apply	Full support and commitment	It would hamper the achievement
				[3.8.1] Finalization of UGC Scheme	Regulations by UGC	Essential for laying down a uniform framework for universities/colleges to avail services of foreign educational institutions with safeguards.	All collaborations with foreign educational institutions	Foreign educational institutions will conduct their operations without adequate safeguards for Indian students and faculty
				[3.11.1] Notification of regulation relating to Private Universities				
				[5.1.1] Setting up of a Centre for Policy Research and Leadership Development in Higher Education	Proposal for setting up or identifying Centre	To develop capacity for Leadership with Inter-Institutional Linkages for Policy Research	Full Support	We will fail to achieve our target for capacity building in leadership and promoting policy research
				[5.2.1] Setting up of the Council for Industry Academia Collaboration	Proposal for setting up of a Council	Industry Academic Linkages are important to enhance employability skills of Students		Failure to achieve our target will result in students with lower employable skills.
				[5.4.1] Adoption of GRIHA compliant Master Plans	Proposal from the Institutions	For Environment friendly and Green Campuses		Failure to achieve will defeat sustainable use of natural resources.

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
				[6.1.1] Follow up of action points	Action taken by concerned institutions	To have healthy collaboration		Failure to achieve will affect goals of International Collaboration
			Ministry of Finance	[5.5.1] Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year. [5.6.1] Percentage of outstanding ATNs disposed off during the year. [5.7.1] Percentage of outstanding ATNs disposed off during the year.	To take action	To ensure timely disposal of important work related to ATNs.		It would hamper the achievement
			Ministry of Human Resource Development	[6.2.1] Strategy papers [6.3.1] Operationalising the helpline	Identified areas of educational collaboration Creation of Web-based portal for real time students assistance	To have healthy collaboration To facilitate foreign students studying in India and ensure their welfare		Failure to achieve will affect goals of International Collaboration Failure to achieve will result in lowering confidence of foreign students which will affect enrolment of foreign students in our educational institutions

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
			Ministry of Finance	[1.1.1] Consultations with State Govts. & experts to finalise the RUSA document [1.1.2] Submission of Cabinet Note [1.1.3] Release of Funds	Consultation with stakeholders	Higher Education reforms require consensus building amongst the stakeholders	Full support and commitment	It would hamper the achievement and delay the implementation of the scheme
			Ministry of Human Resource Development	[3.5.1] Filing of applications by all eligible Central Higher Education institutions for accreditation [3.5.2] Opening of Regional offices of NAAC and NBA by 31.12.2013 [2.8.1] New cells opened in universities and colleges [2.9.1] Differently Abled persons covered [3.1.1] Transforming Existing Academic Staff Colleges (ASCs) into model Academic	Request from Central Higher Education institutions for accreditation Proposals from the universities and colleges Request for the scholarship	The Accreditation of institution is voluntary and not mandatory Financial assistance for opening New/Additional Centres can be released based on the proposals from Universities and colleges The release of scholarship is dependent upon application	Full support	It would hamper the achievement The implementation of the scheme would be hampered The available slots would not be utilized

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
				Staff Colleges [3.1.2] Setting up Schools of Education [3.1.3] Summer and Winter Schools [3.1.4] Regional Centres for Education Management [3.1.5] ICT Empowerment of Teachers [3.4.1] Short term training for translators	Consultation with the stakeholders Cooperation from UGC, Universities and Colleges	To formulate Scheme Request for proposal	 Full support and commitment	The implementation of the scheme would be hampered It would hamper the achievement
			Ministry of Finance	[2.10.1] Disbursal of funds Rs.1100 Crores to eligible students.	Request for fellowship	The release of fellowship is dependent upon application	Full support	The available slots would not be utilized
			Ministry of Human Resource Development	[1.2.1] Operationalization of New Polytechnics [2.2.1] New/Additional Centres in Universities and colleges	Cooperation from the States and also from Industry Partner Proposal from the Universities and colleges	State and Industry partners should come forward to share their contribution under PPP mode. Financial assistance for opening new/Additional Centres can be released based on the proposals from Universities and colleges.	Full support and commitment Full Support	It would hamper the achievement and delay the implementation of the scheme. The implementation of the scheme would be hampered.

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
				[2.3.1] New/Additional Centres in universities and colleges				
				[2.4.1] New/Additional Centres in universities and colleges				
				[3.2.1] Conduct of Workshops	Request for financial assistance	Financial assistance for opening New cells is based on the proposals from Universities and colleges	Full support	The opening of new Cells would be hampered.
				[3.2.2] Performance Assessment of trained teachers				
				[3.3.1] Approval of scheme of deputing faculty in institutions abroad	Request for approval	For finalization	Full support and commitment	It would hamper the achievement.
				[3.3.2] Approval of scheme for inviting faculty from institutions abroad				
				[1.3.1] Achieving upgradation of existing Polytechnics	Approval from these authorities	To formulate the scheme	Full Support	The scheme will not be implemented
			Ministry of Finance	[2.5.1] DBT of scholarships	Proposals from the universities and colleges	Financial assistance for opening New/Additional Centres can be released		The implementation of the scheme would be hampered.

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
State Government	All States	others	Ministry of Finance	[2.5.1] DBT of scholarships	Proposals from the universities and colleges	based on the proposals from Universities and colleges		The implementation of the scheme would be hampered.
				[2.6.1] DBT of fellowships				
				[2.7.1] DBT of fellowships				
				[5.3.1] Release of Statistics of Higher and Technical Education for 2011-12 based on AISHE. [5.3.2] Release of "Education at a Glance 2013" [3.6.1] Utilization of connectivity (Average bandwidth utilization in Mbps by the University)	Data from concerned Institutions and State Governments Timely comments	To develop a database for proper planning To implement the National Mission through ICT	 Full support and commitment	Failure to achieve will result in lack of data or improper data which will hamper future planning. It would hamper the achievement
State Government	All States	others	Ministry of Finance	[2.1.1] Operationalization of new Women Hostels	Cooperation from all stakeholders	For the operationalization of Women Hostels	Full Support	The operationalization would be hampered
				[1.5.1] Persons trained	Approval from these authorities	For finalization of EFC		It would hamper the achievement
				[1.5.2] Concurrent Evaluation of gainful employment [1.4.1] Operationalization of Community Colleges		For finalization		

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
1 Enhancing enrolment and widening Participation	NUEPA, UGC, AICTE and Educational Institutions	Increase in Enrolment Capacity and expansion of PG and Doctoral Programmes	%					
2 Improving Academic Quality of Higher Education	RUSA, UGC, AICTE and Educational Institutions	% of Educational Institutions fully accredited	%					
		% of Educational Institutions fully compliant with National Standards for Accreditation	%					
		Average rating assigned by the National accreditation bodies	%					
3 Promote Research and Innovation	NUEPA, UGC, AICTE and Educational Institutions	Promotion of Research and Innovation	Date					
		Establishment of Centres of Excellence in frontier areas of Research	Date					
		Review of Doctoral Education with the view to safeguard credibility of PHD's	Date					
		Creating a system for Research Evaluation and Establishment of a Center for Research Evaluation	Date					
		Finalization to the Cabinet Note subsequent to the PSC report	Date					

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
4 Promote Equity in Access	Ministry of Social Justice, Ministry of Tribal Affairs Ministry of Minorities Affairs, JNU and UGC,	% of differently-abled persons enrolled in Higher Education	%					
		% of persons belonging to OBCs and minorities enrolled in Higher Education	%					
		% of persons belonging to SCs/STs enrolled in Higher Education	%					
5 Governance Reforms		Setting up of a Centre for Policy Research and Leadership Development in Higher Education	Date					
		Setting up of the Council for Industry Academia Collaboration	Date					
		Release of Statistics of Higher and Technical Education for 2011-12 based on AISHE.	Date					
		Release of "Education at a Glance 2013"	Date					
		Adoption of GRIHA compliant Master Plans	%					
		Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%					

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
		Percentage of outstanding ATNs disposed off during the year.	%					
		Percentage of outstanding ATNs disposed off during the year.	%					
		Setting up of a Centre for Policy Research and Leadership Development in Higher Education	Date					
		Follow up of action points	Date					
		Strategy papers	Date					
		Operationalising the helpline	Date					
6 Globalization	International collaboration USA, Canada, Africa, Australia and New Zealand.							

