



Government of India

R F D

(Results-Framework Document)
for

Ministry of Drinking Water and Sanitation
(2013-2014)

Section 1: Vision, Mission, Objectives and Functions

Vision

Safe drinking water and improved sanitation for all, at all times, in rural India.

Mission

To ensure all rural households have access to and use safe and sustainable drinking water and improved sanitation facilities by providing support to States in their endeavour to provide these basic facilities and services.

Objectives

- 1 Enable all rural households to have access to and use adequate safe drinking water
- 2 Enable all households to have access to and use toilets;
- 3 Ensure all government schools and anganwadis have functional toilets, urinals and access to safe drinking water;
- 4 Ensuring Sustainability of Drinking Water Sources and Systems
- 5 Provide enabling environment for Panchayati Raj Institutions, Gram Panchayats/Village Water & Sanitation Committees, other local communities, Self Help Groups and other groups to manage their own drinking water sources and systems, and sanitation in their villages
- 6 Provide access to information through online reporting mechanism with information placed in public domain to bring in transparency and informed decision making process.
- 7 Enable rural communities to monitor and keep surveillance on their drinking water sources;

Functions

- 1 Planning, providing financial and technical support to the States and monitoring of Centrally sponsored programmes for safe drinking water & Sanitation in rural areas.
- 2 Conduct periodic performance reviews with all States
- 3 Supporting R&D initiatives, IEC and HRD activities for all stakeholders in drinking water and sanitation sector;
- 4 Providing support to States in the wake of natural calamities to mitigate drinking water and sanitation problems in rural areas
- 5 Building partnerships and synergizing efforts with other sector partners, organizations, UN and bilateral agencies, NGOs, R&D institutions, Key Resource Centers and civil society in our common endeavour to ensure access to safe drinking water and sanitation for rural communities
- 6 Enabling States in resource mobilization from external funding agencies.

Section 1: Vision, Mission, Objectives and Functions

- 7 Technical support to States through seminars, interactions, documentation of best practices and innovations
- 8 Provide inputs and comments to other Departments / Ministries in EFC/Cabinet Note or in meetings for formulation of their policies.
- 9 Formulate and review the Demand for Grant of the Department, respond to Audit observations, VIP references, and administrative functions of the Ministry
- 10 Enabling recognition and award to Panchayats for excellent work in rural drinking water and sanitation.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] Enable all rural households to have access to and use adequate safe drinking water	16.50	[1.1] Release of BE plan allocation under NRDWP	[1.1.1] Time frame for funds released 40% - 30 Sept, 67% - 31 Dec, 85 % - 28 Feb, 99% - 31 Mar	%	4.00	99	90	80	70	60
		[1.2] Coverage of Partially covered habitations	[1.2.1] Number of partially covered habitations with adequate safe water supply	No	4.00	75000	68000	61000	54000	47000
		[1.3] Coverage of Quality-affected habitations	[1.3.1] Number of Quality-affected habitations covered with adequate safe water supply	No	3.00	22000	19000	18000	16000	14000
		[1.4] Coverage of population with Piped Water Supply	[1.4.1] Rural population covered with piped water supply	Nos. in crore	1.50	3.25	3.00	2.75	2.50	2.25
		[1.5] Coverage of Habitations with Piped Water Supply	[1.5.1] Rural Habitations covered with Piped Water Supply	Nos	1.50	40000	38000	36000	34000	32000
		[1.6] Coverage of Households with Piped Water Supply	[1.6.1] Provision of individual pipe connections	No in Lakhs	2.00	3.00	2.75	2.50	2.25	2.00
		[1.7] Coverage of Habitations in IAP districts with Solar Power based Piped Water Supply	[1.7.1] No of habitations covered in IAP districts with Solar based piped water supply	No	0.50	2500	2300	2100	1900	1700
[2] Enable all households to have access to and use toilets;	10.00	[2.1] Construction of household toilets	[2.1.1] Number of toilets constructed	No in Lakhs	2.00	60	50	40	35	30

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[3] Ensure all government schools and anganwadis have functional toilets, urinals and access to safe drinking water;	5.00	[2.2] Construction of Sanitary complex	[2.2.1] No of Sanitary complex constructed	Nos	2.00	5000	4500	4000	3500	3000
		[2.3] Adoption of Community Saturation approach for Nirmal Gram	[2.3.1] No of GPs attaining Nirmal Status	No	2.00	20000	18000	16000	14000	12000
		[2.4] Release of BE plan allocation under NGP & NBA	[2.4.1] Time frame for funds released 40% - 30 Sept, 67% - 31st Dec, 85% - 28 Feb, 99% - 31 Mar	%	4.00	99	90	80	70	60
		[3.1] Construction of Toilet blocks - No of School units covered	[3.1.1] Number of schools units covered	No in Lakh	2.00	0.70	0.63	0.56	0.49	0.42
[4] Ensuring Sustainability of Drinking Water Sources and Systems	4.00	[3.2] Construction of toilet blocks - No of Anganwadis covered	[3.2.1] Number of Anganwadis covered	No	2.00	30000	25000	20000	15000	10000
		[3.3] Number of Schools covered with water supply	[3.3.1] Number of schools covered	No	1.00	5000	4500	4000	3500	3000
		[4.1] Construction of sustainability structures.	[4.1.1] Number of sustainability structures constructed	No	2.00	20000	18000	16000	14000	12000
		[4.2] Handing over of rural piped water supply schemes to panchayats.	[4.2.1] Number of piped water supply schemes handed over to Panchayats	No	1.00	15000	14000	13000	12000	11000
		[4.3] Training of VWSC and PRI members in managing drinking	[4.3.1] Number of VWSC and PRI members trained	No	1.00	200000	175000	150000	125000	100000

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
		water schemes.								
[5] Provide enabling environment for Panchayati Raj Institutions, Gram Panchayats/Village Water & Sanitation Committees, other local communities, Self Help Groups and other groups to manage their own drinking water sources and systems, and sanitation in their villages	39.50	[5.1] As per table for Water Supply Programme (Table 2)	[5.1.1] Aggregate Score as per Table 2	Score	19.50	96	90	80	70	60
		[5.2] As per Table for Sanitation Programme (Table 3)	[5.2.1] Aggregate Score as per Table 3	Score	20.00	96	90	80	70	60
		[6.1] Online Data entry for Annual Plan Targets (All components) by 31st May 2013. Percentage of States entering data as per schedule.	[6.1.1] To be done by 31st May 2013	%	1.00	80	70	60	55	50
[6] Provide access to information through online reporting mechanism with information placed in public domain to bring in transparency and informed decision making process.	4.00	[6.2] RWS - Regular update of progress by 17th of each month. Percentage of Districts entering data as per schedule.	[6.2.1] To be done by 17th of following month	%	1.50	80	70	60	55	50
		[6.3] Sanitation - Regular update of progress by 17th of each month. Percentage of Districts entering data as per schedule.	[6.3.1] To be done by 17th of following month	%	1.50	80	70	60	55	50
[7] Enable rural communities to monitor and keep surveillance on their drinking water sources;	6.00	[7.1] Training of Village level persons for water quality testing.	[7.1.1] Number of persons trained	No	1.00	100000	90000	80000	70000	60000

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value			
						Excellent 100%	Very Good 90%	Good 80%	Poor 60%
		[7.2] Number of water samples tested for quality at district and sub divisional levels.	[7.2.1] Number of quality tests done	No in Lakhs	1.00	10	9	8	6
		[7.3] No of Habitations where atleast one source is tested	[7.3.1] No of Habitations where source testing is done through laboratory testing	No in Lakh	2.00	4.00	3.75	3.50	3.00
		[7.4] Number of water samples tested for quality at Panchayat / village levels	[7.4.1] Number of quality tests done	No in Lakhs	1.00	15	14	13	11
		[7.5] Number of sub-divisional laboratories set up.	[7.5.1] Number of labs set up	No	1.00	200	175	150	100
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	2.0	05/03/2014	06/03/2014	07/03/2014	11/03/2014
		Timely submission of Results for 2012-13	On-time submission	Date	1.0	01/05/2013	02/05/2013	03/05/2013	07/05/2013
* Transparency/Service delivery Ministry/Department	3.00	Independent Audit of implementation of Citizens'/Clients' Charter (CCC)	% of implementation	%	2.0	100	95	90	80
		Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	1.0	100	95	90	80
* Administrative Reforms	6.00	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	1.0	100	95	90	80
		Implement ISO 9001 as per the approved action plan	% of implementation	%	2.0	100	95	90	80

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Implement Innovation Action Plan (IAP)	% of milestones achieved	%	2.0	100	95	90	85	80
		Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	1.0	27/01/2014	28/01/2014	29/01/2014	30/01/2014	31/01/2014
* Improving Internal Efficiency/Responsiveness.	2.00	Update departmental strategy to align with 12th Plan priorities	Timely updation of the strategy	Date	2.0	10/09/2013	17/09/2013	24/09/2013	01/10/2013	08/10/2013
* Ensuring compliance to the Financial Accountability Framework	1.00	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG .during the year.	%	0.25	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	0.25	100	90	80	70	60

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[1] Enable all rural households to have access to and use adequate safe drinking water	[1.1] Release of BE plan allocation under NRDWP	[1.1.1] Time frame for funds released 40% - 30 Sept, 67% - 31 Dec, 85 % - 28 Feb, 99% - 31 Mar	%	100	99.90	99	99	99
	[1.2] Coverage of Partially covered habitations	[1.2.1] Number of partially covered habitations with adequate safe water supply	No	112898	117399	75000	70000	65000
	[1.3] Coverage of Quality-affected habitations	[1.3.1] Number of Quality-affected habitations covered with adequate safe water supply	No	22122	19397	22000	20000	18000
	[1.4] Coverage of population with Piped Water Supply	[1.4.1] Rural population covered with piped water supply	Nos. in crore	--	4.83	3.25	3.25	3.25
	[1.5] Coverage of Habitations with Piped Water Supply	[1.5.1] Rural Habitations covered with Piped Water Supply	Nos	--	--	40000	45000	50000
	[1.6] Coverage of Households with Piped Water Supply	[1.6.1] Provision of individual pipe connections	No in Lakhs	--	--	3.0	4.0	5.0
	[1.7] Coverage of Habitations in IAP districts with Solar Power based Piped Water Supply	[1.7.1] No of habitations covered in IAP districts with Solar based piped water supply	No	--	--	2500	5000	2500
[2] Enable all households to have access to and use toilets;	[2.1] Construction of household toilets	[2.1.1] Number of toilets constructed	No in Lakhs	88.06	4813000	60	60	60

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[3] Ensure all government schools and anganwadis have functional toilets, urinals and access to safe drinking water;	[2.2] Construction of Sanitary complex	[2.2.1] No of Sanitary complex constructed	Nos	--	--	5000	5000	5000
	[2.3] Adoption of Community Saturation approach for Nirmal Gram	[2.3.1] No of GPs attaining Nirmal Status	No	2857	20000	20000	20000	20000
	[2.4] Release of BE plan allocation under NGP & NBA	[2.4.1] Time frame for funds released 40% -30 Sept, 67% - 31st Dec, 85% - 28 Feb, 99% - 31 Mar	%	100	99	99	99	99
	[3.1] Construction of Toilet blocks - No of School units covered	[3.1.1] Number of schools units covered	No in Lakh	1.22	0.80	0.70	0.80	0.80
[4] Ensuring Sustainability of Drinking Water Sources and Systems	[3.2] Construction of toilet blocks - No of Anganwadis covered	[3.2.1] Number of Anganwadis covered	No	28409	30000	30000	--	--
	[3.3] Number of Schools covered with water supply	[3.3.1] Number of schools covered	No	29605	22000	5000	5000	--
	[4.1] Construction of sustainability structures.	[4.1.1] Number of sustainability structures constructed	No	35860	28919	20000	20000	20000
	[4.2] Handing over of rural piped water supply schemes to panchayats.	[4.2.1] Number of piped water supply schemes handed over to Panchayats	No	41091	113175	15000	15000	15000

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[5] Provide enabling environment for Panchayati Raj Institutions, Gram Panchayats/Village Water & Sanitation Committees, other local communities, Self Help Groups and other groups to manage their own drinking water sources and systems, and sanitation in their villages	[4.3] Training of VWSC and PRI members in managing drinking water schemes.	[4.3.1] Number of VWSC and PRI members trained	No	142161	456077	200000	160000	170000
	[5.1] As per table for Water Supply Programme (Table 2)	[5.1.1] Aggregate Score as per Table 2	Score	90	95	96	96	96
	[5.2] As per Table for Sanitation Programme (Table 3)	[5.2.1] Aggregate Score as per Table 3	Score	100	89	96	96	96
[6] Provide access to information through online reporting mechanism with information placed in public domain to bring in transparency and informed decision making process.	[6.1] Online Data entry for Annual Plan Targets (All components) by 31st May 2013. Percentage of States entering data as per schedule.	[6.1.1] To be done by 31st May 2013	%	100	100	80	80	80
	[6.2] RWS - Regular update of progress by 17th of each month. Percentage of Districts entering data as per schedule.	[6.2.1] To be done by 17th of following month	%	100	80	80	80	80
	[6.3] Sanitation - Regular update of progress by 17th of each month.	[6.3.1] To be done by 17th of following month	%	100	80	80	80	80

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[7] Enable rural communities to monitor and keep surveillance on their drinking water sources;	Percentage of Districts entering data as per schedule.							
	[7.1] Training of Village level persons for water quality testing.	[7.1.1] Number of persons trained	No	123239	422882	100000	100000	100000
	[7.2] Number of water samples tested for quality at district and sub divisional levels.	[7.2.1] Number of quality tests done	No in Lakhs	1309838	2145214	1000000	1100000	1200000
	[7.3] No of Habitations where atleast one source is tested	[7.3.1] No of Habitations where source testing is done through laboratory testing	No in Lakh	--	--	4	5	6
	[7.4] Number of water samples tested for quality at Panchayat / village levels	[7.4.1] Number of quality tests done	No in Lakhs	941537	2919188	1500000	1700000	1900000
	[7.5] Number of sub-divisional laboratories set up.	[7.5.1] Number of labs set up	No	200	201	200	250	250
* Efficient Functioning of the RFD System	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	07/03/2011	05/03/2012	06/03/2014	--	--
	Timely submission of Results for 2012-13	On-time submission	Date	01/05/2012	01/05/2013	02/05/2013	--	--
* Transparency/Service delivery Ministry/Department	Independent Audit of implementation of Citizens'	% of implementation	%	--	--	95	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
* Administrative Reforms	/Clients' Charter (CCC)							
	Independent Audit of Implementation of Public Grievance Redressal System	% of implementation	%	--	--	95	--	--
	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--
	Implement ISO 9001 as per the approved action plan	% of implementation	%	--	--	95	--	--
	Implement Innovation Action Plan (IAP)	% of milestones achieved	%	--	--	95	--	--
* Ensuring compliance to the Financial Accountability Framework	Identification of core and non-core activities of the Ministry/Department as per 2nd AFC recommendations	Timely submission	Date	--	--	15/10/2013	--	--
	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG .during the year.	%	--	--	90	--	--
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	--	--	90	--	--
	Early disposal of pending ATNs on Audit Paras of	Percentage of outstanding ATNs disposed off during	%	--	--	90	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
* Mandatory Objective(s)	C&AG Reports presented to Parliament before 31.3.2012.	the year.						
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRs disposed off during the year.	%	--	--	90	--	--

Section 4:
Acronym

Sl.No	Acronym	Description
1	FTK	Field Test Kits
2	HRD	Human Resource Development
3	IAP	Integrated Action Plan
4	IEC	Information Education Communication
5	IMIS	Integrated Management Information System
6	NBA	Nirmal Bharat Abhiyan

Section 4:
Acronym

Sl.No	Acronym	Description
7	NGP	Nirmal Gram Puraskar
8	NRDWP	National Rural Drinking Water Programme
9	O and M	Operation & amp; Maintenance
10	PC	Partially Covered
11	PRI	Panchayat Raj Institutions
12	PWS	Piped Water Supply

Section 4:
Acronym

Sl.No	Acronym	Description
13	QA	Quality Affected
14	R.O	Reverse Osmosis
15	RWS	Rural Water Supply
16	SHG	Self Help Groups
17	VWSC	Village Water and Sanitation Committee

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
1	[1.1.1] Time frame for funds released 40% - 30 Sept, 67% - 31 Dec, 85 % - 28 Feb, 99% - 31 Mar		This indicator will measure the time frame for release of funds pertaining to NRDWP by the Ministry of Drinking Water and Sanitation to the states under the scheme of the Ministry.	Monitoring the target dates for the indicator.	The target dates are as prescribed by Ministry of Finance.
2	[1.2.1] Number of partially covered habitations with adequate safe water supply	null	This indicator will measure the actual numbers of Partially covered (habitations where infrastructure is not working to full capacity and thus providing less water) provided with public infrastructure to deliver safe drinking water. The target values are expected to show a declining trend as more sustainability measures are taken up.	Online Reports from the States/ UTs/ districts.	null
3	[1.3.1] Number of Quality-affected habitations covered with adequate safe water supply		This indicator will measure the actual numbers of quality affected (chemically contaminated sources) habitations provided with public infrastructure to	Online Reports from the States/ UTs/ districts.	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
4	[1.4.1] Rural population covered with piped water supply		This indicator will measure the actual population who have been provided with safe drinking water through piped water supply. The target values are expected to show a increasing trend as more States take up piped water supply schemes.	Online Reports from the States/ UTs/ districts.	
5	[1.5.1] Rural Habitations covered with Piped Water Supply		This indicator measures the number of rural habitations which have been covered with Piped Drinking Water Supply.	Online Reports from the States/ UTs/ districts.	
6	[1.6.1] Provision of individual pipe connections		This indicator measures the number of households in habitations provided with individual household tap connections	Online reporting from the States on IMIS	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
7	[1.7.1] No of habitations covered in IAP districts with Solar based piped water supply		This indicator measures the number of habitations covered with Solar based Piped water supply in habitations covered under the project approved under the NRDWP and National Clean Energy Fund	Online reporting from the States on IMIS	
8	[2.1.1] Number of toilets constructed		Households with toilets will be included for computing this indicator.	Online Reports from the States/ UTs/ districts.	
9	[2.2.1] No of Sanitary complex constructed		Number of Complex constructed will be included for computing this indication	Selection process for Nirmal Gram leading to identification of Nirmal Panchayaths through online reports from the States.	
10	[2.3.1] No of GPs attaining Nirmal Status	null	Gram Panchayats with all households having sanitation facilities will be included for computing this indicator	Nirmal Grams will be identified on the basis of online reports submitted by the State	null

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
11	[2.4.1] Time frame for funds released 40% -30 Sept, 67% - 31st Dec, 85% - 28 Feb, 99% - 31 Mar		This indicator will measure the time frame for release of funds pertaining to NBA by the Ministry of Drinking Water and Sanitation to the states under the scheme of the Ministry.	Monitoring the target dates for the indicator.	The target dates are as prescribed by Ministry of Finance.
12	[3.1.1] Number of schools units covered		This indicator will measure the number of toilet units constructed in anganwadis. These targets have been identified in the approved projects. As these are implemented, the balances will keep reducing; hence the trend values show a declining trend.	Online Reports from the States/ UTs/ districts.	
13	[3.2.1] Number of Anganwadis covered		This indicator will measure the number of toilet units constructed in anganwadis. These targets have been identified in the approved projects. As these are implemented, the balances will keep reducing; hence the trend values show a declining trend.	Online Reports from the States/ UTs/ districts.	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
14	[3.3.1] Number of schools covered		This indicator will measure the number of schools provided with water supply for drinking, use in toilets, hand washing etc. Due to coverage of existing schools the indicator shows a declining trend.	Online Reports from the States/ UTs/ districts.	
15	[4.1.1] Number of sustainability structures constructed		This indicator will measure the number of sustainability structures constructed.	Online Reports from the States/ UTs/ districts.	
16	[4.2.1] Number of piped water supply schemes handed over to Panchayats		This indicator will measure the number of rural piped water supply schemes handed over to Panchayats empowering them in the management of sources and schemes to ensure sustainability.	Online Reports from the States/ UTs/ districts.	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
17	[4.3.1] Number of VWSC and PRI members trained		This indicator will measure the enhancement of the capabilities of the VWSC and PRI members in managing drinking water supply schemes.	Online Reports from the States/ UTs/ districts.	
18	[5.1.1] Aggregate Score as per Table 2		This indicator is the consolidation of activities carried out by the Ministry in Human Resource Development, Information Education, Communication, Research and Development, Monitoring and Evaluation at the Ministry level to improve the quality of NRDWP programme implementation.	Records kept at the divisions of the NRDWP division of the Ministry.	
19	[5.2.1] Aggregate Score as per Table 3		This indicator is the consolidation of activities carried out by the Ministry in Human Resource Development, Information Education, Communication, Research and Development, Monitoring and Evaluation at the	Records kept at the divisions of the NBA division of the Ministry.	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
20	[6.1.1] To be done by 31st May 2013		The indicator measures the date of online data entry by States of Annual Plan targets habitation and scheme wise.	Monitoring the % of States entering data on all components by targeted date in the IMIS	
21	[6.2.1] To be done by 17th of following month		The indicator measures the date of data entry of progress by districts.	Monitoring the % of Districts entering monthly report by targeted date in the IMIS.	
22	[6.3.1] To be done by 17th of following month		This indicator measures the concurrent monthly progress reporting from States regarding Physical and Financial achievement on or before the 17th of every month.	IMIS Data Entry	
23	[7.1.1] Number of persons trained		This indicator measures the number of people from villages, Gram Panchayats trained to use field test kits for testing the water quality of local sources. Five persons from each of the about	Online Reports from the States/ UTs/ districts.	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
23	[7.1.1] Number of persons trained		2.5 lakh Panchayats in the country are to be trained. Training started in 2006-07. As reports of more persons from more panchayats getting training are entered by States on IMIS this indicator will first show an increasing trend and thereafter a declining trend till five persons in all panchayats throughout the country are trained.	Online Reports from the States/ UTs/ districts.	
24	[7.2.1] Number of quality tests done		This indicator measures the number of water samples tested by the district / sub-divisional laboratories and at the village/ Gram Panchayats through field test Kits.	Online Reports from the States/ UTs/ districts.	
25	[7.3.1] No of Habitations where source testing is done through laboratory testing		This indicator the number of habitations where at least one drinking water source is tested in water quality testing laboratories.	Online Reports from the States/ UTs/ districts.	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
26	[7.4.1] Number of quality tests done		This indicator measures the number of water samples tested by the district / sub-divisional laboratories and at the village/ Gram Panchayats through field test Kits.	Online Reports from the States/ UTs/ districts.	
27	[7.5.1] Number of labs set up		This indicator measures the number of sub-divisional/block/sub-district level laboratories set up by the States.	Online Reports from the States/ UTs/ districts.	

**Section 5 :
Specific Performance Requirements from other Departments**

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
Central Government		Departments	Department of Land Resources	[1.2.1] Number of partially covered habitations with adequate safe water supply	Coverage of habitations with drinking water supply: Effective implementation of Integrated Watershed Management Programme	To help in water conservation and recharge, thereby preventing slippage of covered	100	Due to declining water table, covered habitations will keep slipping back
				[1.3.1] Number of Quality-affected habitations covered with adequate safe water supply				
			Department of Agriculture and Cooperation	[1.2.1] Number of partially covered habitations with adequate safe water supply	Coverage of habitations with drinking water supply: Effective implementation of agricultural water productivity measures like optimizing fertilizer use, reducing over irrigation, crop stress management, increased drip and sprinkler irrigation, etc.	To reduce over exploitation of and leaching of chemicals into drinking water sources		Water availability for drinking water purposes will keep decreasing leading to slipping back of covered habitations. Quality affected sources would keep increasing.
				[1.3.1] Number of Quality-affected habitations covered with adequate safe				

**Section 5 :
Specific Performance Requirements from other Departments**

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
		Ministry		water supply [1.4.1] Rural population covered with piped water supply				
			Department of Expenditure	Aggregate Score as per Table 3	Cabinet Notes, EFC Notes, SFC Notes : Comments should be given in prescribed timeline	To finalise Cabinet/EFC/ SFC Notes		Note for Cabinet/EFC/SFC cannot be sent
			Ministry of Water Resources	[1.2.1] Number of partially covered habitations with adequate safe water supply	Effective implementation of programmes for water storage, conservation and recharge -Technical assistance and training in activities for sustainability of sources	To ensure availability and sustainability of ground and surface water resources for providing drinking water, thereby enabling coverage and preventing sippage of covered habitations		Due to declining availability of ground and surface water resources, coverage of habitations and sustaining water supply becomes difficult.
				[1.3.1] Number of Quality-affected habitations covered with adequate safe water supply				
			Ministry of Finance	[1.7.1] No of habitations covered in IAP districts with Solar based piped water supply	Release of funds from National Clean Energy Fund	For implementation of the project and achieving coverage		Habitations will not be covered with solar pump based piped water supply

**Section 5 :
Specific Performance Requirements from other Departments**

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
			Ministry of Rural Development	Aggregate Score as per Table 2 Aggregate Score as per Table 3	Review meetings, Workshops/seminars organized: Posting of persons against vacant posts	MoRD and DoPT are cadre controlling authority		Performance gets affected

Section 6: Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
1 Improved access to safe and sustainable drinking water	State Governments Ministry of Water Resources; CGWB; Dept. of Rural Development(DoRD); Planning Commission; Department of Expenditure Department of Land Resources; Ministry Of Health; Ministry of Environment & Forests; Ministry of Agriculture	Rural Population having access to safe and adequate drinking water (Percentage of habitations as per IMIS)	%	73	74	76	78	80
		Rural population with piped water supply (As per IMIS)	%	38	41.75	45.75	50	54
		Rural habitations with drinking water sources free of chemical contamination	%	94.3	96.8	97	98.5	99
		Govt. rural schools with drinking water supply	%	96	98	100	100	100
2 Improved sustainability of drinking water	Ministry of Water Resources, Department of Rural Development, Dept. of Land Resources, Ministry of Environment & Forests	"Number of sustainability structures benefiting drinking water sources constructed"	No	35860	20000	20000	20000	20000
3 Enabling all rural household to achieve total sanitation	State Govt. Dept., Dept of Rural Development(DoRD), Planning Commission, State Govt. Dept., Min of Human Resource Development, State Govt. Dept., Min of Women and Child Development	Percentage sanitation coverage against project objectives in rural areas.	%	62.25	69.25	74.25	81.97	89.93
		Percentage sanitation coverage in Government Schools in Rural areas against project objectives identified	%	83.80	89.01	94.83	100.00	

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
		Percentage sanitation coverage in Government Anganwadis in Rural areas against project objectives identified	%	75.57	76.93	82.54	100.00	
		Nirmal Gram Panchayats Practicing Total Sanitation	%	11.15	19.11	27.07	35.04	43.00

(Tables 2 & 3 referred to in objective 5 of the RFD of the M/o Drinking Water & Sanitation)

Section 2 Table 1 : Objective 5 - provide enabling support and environment for PRIs/ local communities to manage their own drinking water sources and systems, and sanitation in their villages (Drinking Water)

	Column 1	Column 3		Column 4	Column 5				
	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
					Excellent	Very Good	Good	Fair	Poor
1	Preparation of EFC Note for World Bank Project (PWS Scheme for Low Income States)	Finalisation of EFC Note	Date	1	100% May 15	90% Jun 15	80% Jul 15	70% Aug 15	60% Sep 15
2	IEC activities	Utilization of funds	%	0.5	99	90	80	70	60
		Preparation of Communication Strategy/Media Plan	Date	1	Sept 15	Oct 1	Oct 15	Nov 1	Nov 15
		Start of Awareness campaign on safe water on radio	Date	1.0	Sept 15	Oct 1	Oct 15	Nov 1	Nov 15
		Start of Awareness campaign on safe water on TV	Date	1.0	Sept 15	Oct 1	Oct 15	Nov1	Nov 15
3	HRD activities	Utilization of funds	%	0.5	98	90	80	70	60
		Training programmes organized by Key Resource Centres for HRD activity	No.	2	75	65	60	50	45
		Training Need Assessment workshops for sector professionals in States	No. of states	1	5	4	3	2	1
		International Training Programme initiated	No. of training programmes	1	1	1	-	-	-
		National / Regional level Workshops / Seminars	No.	2.5	5	4	3	2	1

Column 1 Action	Column 3		Column 4	Column 5					
	Success Indicator	Unit	Weight	Target / Criteria Value					
				Excellent	Very Good	Good	Fair	Poor	
				100%	90%	80%	70%	60%	
4	Research & Development	organized							
		State level Workshops / Seminars organized	No.	1	5	4	3	2	1
		Projects approved	No.	1	5	4	3	2	1
5	Monitoring and Evaluation	Evaluation Studies initiated on Water Quality, Swajaldhara, R. O. Plants	No	1	2	0	0	0	0
		Review visits to States	No of visits by Secy and JS	1	20	18	16	14	12
6	Documentation	Review Meetings with States	No.	2	7	6	5	4	3
		Best Practices in O&M, Sustainability Manual, Updated O&M Manual, and other Departmental Manuals/Documents	No.	2	2	1	0	0	0

Table 2: Objective 5 - Provide enabling support and environment for PRIs/ local communities to manage their own drinking water sources and systems, and sanitation in their villages (Sanitation)

	Column 1	Column 3		Column 4	Column 5				
	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
					Excellent	Very Good	Good	Fair	Poor
					100%	90%	80%	70%	60%
1	IEC activities	Preparation of Communication Strategy/Media Plan	Date	5	Sept. 15 th	Sept. 30 th .	Oct.15 th .	Oct 31 st	Nov 15 th
2	HRD activities	Utilization of funds	%	2	99	90	80	70	60
		Workshops / Seminars organized at national/regional level	Nos	4	5	4	3	2	1
		Workshops / Seminars organized for the States	Nos	3	3	2	1	0	0
3	Documentation	Publication of Case Studies/ Best Practice/policy issues on rural Sanitation	Nos	1	1	-	-	-	0
4	Research & Development	Projects approved on innovation in rural sanitation/ Projects approved on Low cost Sanitation Technologies	Nos	1	2	1	0	0	0
5	Monitoring and Evaluation	Initiate Study	Nos	1.5	1	-	-	-	0
		Initiate review of NBA guidelines	Month	0.5	Sept 15 th	Sept 30 th	Oct 15 th	Oct 31 st	Nov15 th
		Review Meetings to assess the progress in rural sanitation and take corrective measures	Nos	0.5	5	4	3	2	1
		Review in States	Review in States by Secy and JS	1.5	6	5	4	3	2