



Government of India

R F D

(Results-Framework Document)
for

Ministry of Housing and Urban Poverty
Alleviation

(2013-2014)

Section 1: Vision, Mission, Objectives and Functions

Vision

An equitable, inclusive and sustainable civic sensitive growth of towns and cities free from slums, which provides adequate affordable housing, means of productive employment, dignity and a decent quality of life to all inhabitants, including the poor.

Mission

In coordination and cooperation with State Governments, Urban Local Bodies and other related Ministries; Provision of affordable housing for all and the creation of conditions that facilitate a continuous addition of adequate serviced land and housing to meet the identified need; To envisage a 'Slum-free India' with inclusive and equitable cities in which every citizen has access to basic civic and social services and decent shelter. It aims to achieve this vision by encouraging States/Union Territories to tackle the problem of slums in a definitive manner, by a multi-pronged approach focusing on (i) bringing all existing slums, notified or non-notified within the formal system and enabling them to avail of the same level of basic amenities as the rest of the town (ii) redressing the failures of the formal system that lie behind the creation of slums; and (iii) tackling the shortages of urban land and housing that keep shelter out of reach of the urban poor and force them to resort to extra-legal solutions in a bid to retain their source of livelihood and employment. To reduce poverty and vulnerability of the urban poor households by enabling them to access gainful self-employment and skilled wage employment opportunities, resulting in an appreciable improvement in their livelihoods on a sustainable basis, through building strong grassroots level institutions of the poor.

Objectives

- 1 Promotion of Affordable Housing.
- 2 Improvement of Urban Livelihoods
- 3 Formulation of Policy for ensuring legal entitlement to Slum Dwellers
- 4 Slum free City programme for inclusive urban growth
- 5 Improving affordability and accessibility of institutional finance by providing cheaper credit to EWS/LIG
- 6 Providing shelters to urban homeless people
- 7 Conversion of all reported dry latrines under Integrated Low Cost Sanitation Scheme (ILCS)
- 8 Reducing urban poverty by facilitating Skill Training & Generation of Employment to urban poor.
- 9 Supporting support projects/ schemes for development of the North-Eastern Region including Sikkim.
- 10 Slum Redevelopment
- 11 Implementation of RAY and AHIP Scheme for inclusive urban growth
- 12 National Urban Housing & Habitat Policy (NUHHP):2007

Section 1: Vision, Mission, Objectives and Functions

- 13 To support the programme for Advocacy and Capacity Building for reducing urban poverty
- 14 To support the programme for Advocacy and Capacity Building for reducing urban poverty.
- 15 Training for and support to the establishment of micro-enterprises by the urban poor – self and group;
- 16 Ensure availability and access of the urban homeless population to permanent 24-hour shelters including the basic infrastructural facilities like water supply, sanitation, safety and security;
- 17 Building capacity of the urban poor, their institutions and the machinery involved in the implementation of livelihoods development and poverty alleviation programmes
- 18 Building skills to enable access to growing market-based job opportunities offered by emerging urban economies;
- 19 To address livelihood concerns of the urban street vendors by facilitating access to suitable spaces, institutional credit, social security and skills to the urban street vendors.
- 20 Enhancing and expanding existing livelihoods options of the urban poor;

Functions

- 1 Formulation of housing policy and programme (except rural housing which is assigned to the Department of Rural Development), review of the implementation of the Plan Schemes, collection and dissemination of data on housing, building materials and techniques, general measures for reduction of building costs and nodal responsibility for National Housing Policy.
- 2 Human settlements including the UN HABITAT and International Cooperation and Technical Assistance in the field of Housing and Human settlements.
- 3 Urban Development including Slum Clearance Schemes and the Jhuggi and Jhopri Removal Schemes, International Cooperation and technical assistance in the field of Housing and Human Settlements.
- 4 National Cooperative Housing Federation.
- 5 Implementation of the specific programmes of Urban Employment and Urban Poverty Alleviation including other programmes evolved from time to time.
- 6 All matters relating to the Housing and Urban Development Corporation (HUDCO) other than those relating to Urban Infrastructure.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[1] Promotion of Affordable Housing.	7.00	[1.1] Preparation of Affordable Housing Policy	[1.1.1] Submission of Cabinet Note on 'Affordable Housing Policy'.	Date	3.00	31/01/2014	15/02/2014	28/02/2014	15/03/2014	31/03/2014
		[1.2] Finalisation of Real Estate (Regulation & Development) Bill.	[1.2.1] Obtaining decision of the Cabinet	Date	2.00	30/06/2013	31/07/2013	31/08/2013	30/09/2013	31/10/2013
		[1.3] Streamlining plan approval in real estate sector by introducing single window mechanism for plan approvals.	[1.3.1] Finalisation and circulation of guidelines	Date	2.00	31/10/2013	30/11/2013	31/12/2013	31/01/2014	28/02/2014
[2] Improvement of Urban Livelihoods	6.00	[2.1] Central Law relating to Street Vending.	[2.1.1] Introduction of amended Bill in Parliament	Date	2.00	30/09/2013	31/10/2013	30/11/2013	31/12/2013	31/01/2014
		[2.2] Approval of National Urban Livelihood Mission (NULM).	[2.2.1] Completion of process for Cabinet Approval	Date	2.00	30/06/2013	31/07/2013	31/08/2013	30/09/2013	31/10/2013
			[2.2.2] Launch of National Urban Livelihood Mission (NULM)	Date	2.00	31/08/2013	30/09/2013	31/10/2013	30/11/2013	31/12/2013
[3] Formulation of Policy for ensuring legal entitlement to Slum Dwellers	6.00	[3.1] Completion of process for seeking Cabinet approval for model legislation for States.	[3.1.1] Obtaining Cabinet decision on Policy	Date	2.00	30/09/2013	31/10/2013	30/11/2013	31/12/2013	31/01/2014
		[3.2] Completion of process for seeking Cabinet approval for slums on Central Govt. Land	[3.2.1] Obtaining Cabinet decision on Policy.	Date	2.00	30/09/2013	31/10/2013	30/11/2013	31/12/2013	31/01/2014
		[3.3] Completion of process for seeking Cabinet approval for Union	[3.3.1] Obtaining Cabinet decision on draft legislation.	Date	2.00	31/01/2014	15/02/2014	01/03/2014	15/03/2014	31/03/2014

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[4] Slum free City programme for inclusive urban growth	11.00	Territories Property Rights to Slum Dwellers' Bill								
		[4.1] Launch of RAY/ JNNURM - II.	[4.1.1] Obtaining decision of the Cabinet	Date	5.00	31/07/2013	15/08/2013	31/08/2013	15/09/2013	30/09/2013
		[4.2] Finalization of guidelines and Toolkits for the Scheme.	[4.2.1] Circulation of the Guidelines & Toolkits to all the States post launch of RAY	Date	4.00	31/08/2013	30/09/2013	31/10/2013	30/11/2013	31/12/2013
		[4.3] Redesigning Affordable Housing in partnership (AHIP) Scheme.	[4.3.1] Formulation of Revised AHP Guidelines	Date	1.00	30/09/2013	31/10/2013	30/11/2013	15/12/2013	31/12/2013
[5] Improving affordability and accessibility of institutional finance by providing cheaper credit to EWS/LIG	4.00	[4.4] Formulation of Slum-free City Plans.	[4.4.1] Number of Slum free City/State Plan of Actions approved	Number	1.00	25	15	11	8	5
		[5.1] Scheme sanction, preparation of guidelines and signing of MOUs with Banks for Rajiv Rinn Yojana (RRY) for sanction of housing loans.	[5.1.1] Obtaining Scheme sanction and development of guidelines	Date	2.00	30/09/2013	31/10/2013	30/11/2013	31/12/2013	31/01/2014
			[5.1.2] No. of units sanctioned by Banks for housing loans during the financial year	Number	2.00	100000	80000	60000	40000	20000
[6] Providing shelters to urban homeless people	4.00	[6.1] Construction of Shelters for Urban Homeless in the country through the scheme of 'Shelters for Urban Homeless (SUH)'.	[6.1.1] No. of shelters / proposals sanctioned	Number	4.00	200	150	100	50	25

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[7] Conversion of all reported dry latrines under Integrated Low Cost Sanitation Scheme (ILCS)	2.00	[7.1] Pursuing, obtaining and approving proposals from States for conversion of dry latrines and construction of new latrines.	[7.1.1] Number of units to be completed.	Number	2.00	50000	40000	30000	20000	10000
[8] Reducing urban poverty by facilitating Skill Training & Generation of Employment to urban poor.	15.00	[8.1] Finalization and circulation of Operational Guidelines under NULM.	[8.1.1] Circulation of Operational Guidelines under NULM to all the States/UTs	Date	5.00	31/12/2013	31/01/2014	20/02/2014	10/03/2014	25/03/2014
		[8.2] Imparting of skill training to the urban poor.	[8.2.1] Number of Persons imparted skill training	Number	4.00	400000	350000	325000	300000	275000
			[8.2.2] Placement of Skill trained persons	Number	2.00	120000	105000	97500	90000	82500
		[8.3] Imparting of skill training to Minority urban poor.	[8.3.1] Number of Persons imparted skill training	Number	1.00	60000	52500	48750	45000	41250
		[8.4] Assistance for gainful self-employment.	[8.4.1] Number of Persons assisted	Number	2.00	80000	75000	65000	55000	45000
[9] Supporting support projects/ schemes for development of the North-Eastern Region including Sikkim.	2.00	[8.5] Assistance for gainful self-employment for Minority urban poor.	[8.5.1] Number of Persons assisted	Number	1.00	12000	11250	9750	8250	6750
		[9.1] Dedicated funding support to projects/ schemes for development of the North-Eastern Region including Sikkim.	[9.1.1] Percentage of total Budgetary support of Ministry spent on development the North-Eastern Region including Sikkim	Percent	2.00	10	7	5	3	2

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[10] Slum Redevelopment	9.00	[10.1] Monitoring Completion of Houses under BSUP & IHSDP. [10.2] Compliance of Third Party Inspection Reports and Monitoring visits by Monitoring / Central TPIM Agencies.	[10.1.1] No. of Houses Completed during the year	Number	5.00	100000	90000	80000	70000	60000
			[10.2.1] No. of such compliances	Number	4.00	600	550	500	450	400
[11] Implementation of RAY and AHIP Scheme for inclusive urban growth	5.00	[11.1] Sanction of projects under RAY [11.2] Sanction of Projects under Affordable Housing in Partnership (AHIP) scheme.	[11.1.1] Number of Dwellings Units (DUs) sanctioned under RAY.	number	4.00	150000	125000	100000	75000	50000
			[11.2.1] Number of Projects sanctioned.	number	1.00	10	8	6	4	2
[12] National Urban Housing & Habitat Policy (NUHHP):2007	2.00	[12.1] To advocate Government of India policies enshrined in NUHHP for adoption by States.	[12.1.1] A national level consultation with State Governments to encourage them to adopt Government of India policies enshrined in NUHHP	Date	2.00	31/08/2013	30/09/2013	31/10/2013	30/11/2013	31/12/2013
[13] To support the programme for Advocacy and Capacity Building for reducing urban poverty	7.00	[13.1] National Urban Livelihoods Mission (NULM) – Scheme Rollout.	[13.1.1] Number of officials trained at State/City level through Capacity Building Programmes to be conducted in National/Regional/State/ULB level	Number	5.00	1700	1400	1100	800	500

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[14] To support the programme for Advocacy and Capacity Building for reducing urban poverty.	5.00	[13.2] Evaluation of the Skill Training for Employment Promotion amongst the Urban Poor (STEP-UP) component under SJSRY.	[13.2.1] Undertaking an evaluation of the skill training programme under SJSRY	Date	2.00	28/02/2014	05/03/2014	15/03/2014	25/03/2014	31/03/2014
		[14.1] RAY –Roll Out.	[14.1.1] Number of officials trained at State & City level through Capacity Building Programmes to be conducted in National/Regional/State/ ULB level	Number	3.00	1600	1500	1400	1300	1200
		[14.2] Evaluation of Training Programmes conducted under RAY.	[14.2.1] 75% of Participants find the Programmes useful and effective	Number	2.00	1200	1120	1060	960	800
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	2.0	05/03/2014	06/03/2014	07/03/2014	08/03/2014	11/03/2014
* Transparency/Service delivery Ministry/Department	3.00	Timely submission of Results for 2012-13	On-time submission	Date	1.0	01/05/2013	02/05/2013	03/05/2013	06/05/2013	07/05/2013
		Independent Audit of Implementation of Citizens' Charter (CCC)	% of implementation	%	2.0	100	95	90	85	80
		Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	1.0	100	95	90	85	80
* Administrative Reforms	6.00	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	1.0	100	95	90	85	80
* Mandatory Objective(s)										

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
* Improving Internal Efficiency/Responsiveness.										
		Implement ISO 9001 as per the approved action plan	% of implementation	%	2.0	100	95	90	85	80
		Implement Innovation Action Plan (IAP)	% of milestones achieved	%	2.0	100	95	90	85	80
		Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	1.0	27/01/2014	28/01/2014	29/01/2014	30/01/2014	31/01/2014
* Ensuring compliance to the Financial Accountability Framework	2.00	Update departmental strategy to align with 12th Plan priorities	Timely updation of the strategy	Date	2.0	10/09/2013	17/09/2013	24/09/2013	01/10/2013	08/10/2013
		Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG, during the year.	%	0.25	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC, during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	0.25	100	90	80	70	60
* Mandatory Objective(s)		Early disposal of pending ATRs on PAC Reports presented to Parliament	Percentage of outstanding ATRs disposed off during the year.	%	0.25	100	90	80	70	60

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%

year.

before 31.3.2012

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[1] Promotion of Affordable Housing.	[1.1] Preparation of Affordable Housing Policy	[1.1.1] Submission of Cabinet Note on 'Affordable Housing Policy'.	Date	--	--	15/02/2014	--	--
	[1.2] Finalisation of Real Estate (Regulation & Development) Bill.	[1.2.1] Obtaining decision of the Cabinet	Date	--	--	31/07/2013	--	--
	[1.3] Streamlining plan approval in real estate sector by introducing single window mechanism for plan approvals.	[1.3.1] Finalisation and circulation of guidelines	Date	--	--	30/11/2013	--	--
[2] Improvement of Urban Livelihoods	[2.1] Central Law relating to Street Vending.	[2.1.1] Introduction of amended Bill in Parliament	Date	--	--	31/10/2013	--	--
	[2.2] Approval of National Urban Livelihood Mission (NULM).	[2.2.1] Completion of process for Cabinet Approval	Date	--	--	31/07/2013	--	--
[3] Formulation of Policy for ensuring legal entitlement to Slum Dwellers		[2.2.2] Launch of National Urban Livelihood Mission (NULM)	Date	--	--	30/09/2013	--	--
	[3.1] Completion of process for seeking Cabinet approval for model legislation for States.	[3.1.1] Obtaining Cabinet decision on Policy	Date	--	--	31/10/2013	--	--
	[3.2] Completion of process for seeking Cabinet approval for slums on Central Govt. Land	[3.2.1] Obtaining Cabinet decision on Policy.	Date	--	--	31/10/2013	--	--
	[3.3] Completion of process for seeking Cabinet	[3.3.1] Obtaining Cabinet decision on draft	Date	--	--	15/02/2014	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[4] Slum free City programme for inclusive urban growth	approval for Union Territories Property Rights to Slum Dwellers' Bill	legislation.						
	[4.1] Launch of RAY/ JNNURM - II.	[4.1.1] Obtaining decision of the Cabinet	Date	--	--	31/07/2013	--	--
	[4.2] Finalization of guidelines and Toolkits for the Scheme.	[4.2.1] Circulation of the Guidelines & Toolkits to all the States post launch of RAY	Date	--	--	30/09/2013	--	--
	[4.3] Redesigning Affordable Housing in partnership (AHP) Scheme.	[4.3.1] Formulation of Revised AHP Guidelines	Date	--	--	30/09/2013	--	--
	[4.4] Formulation of Slum-free City Plans.	[4.4.1] Number of Slum free City/State Plan of Actions approved	Number	--	--	15	--	--
[5] Improving affordability and accessibility of institutional finance by providing cheaper credit to EWS/LIG	[5.1] Scheme sanction, preparation of guidelines and signing of MOUs with Banks for Rajiv Rinn Yojana (RRY) for sanction of housing loans.	[5.1.1] Obtaining Scheme sanction and development of guidelines	Date	--	--	31/10/2013	--	--
		[5.1.2] No. of units sanctioned by Banks for housing loans during the financial year	Number	--	--	80000	--	--
[6] Providing shelters to urban homeless people	[6.1] Construction of Shelters for Urban Homeless in the	[6.1.1] No. of shelters / proposals sanctioned	Number	--	--	150	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	country through the scheme of 'Shelters for Urban Homeless (SUH)'. [7.1] Pursuing, obtaining and approving proposals from States for conversion of dry latrines and construction of new latrines.	[7.1.1] Number of units to be completed.	Number	--	--	40000	--	--
[7] Conversion of all reported dry latrines under Integrated Low Cost Sanitation Scheme (ILCS)								
[8] Reducing urban poverty by facilitating Skill Training & Generation of Employment to urban poor.	[8.1] Finalization and circulation of Operational Guidelines under NULM.	[8.1.1] Circulation of Operational Guidelines under NULM to all the States/UTs	Date	--	--	31/01/2014	--	--
	[8.2] Imparting of skill training to the urban poor.	[8.2.1] Number of Persons imparted skill training	Number	363670	275000	350000	400000	450000
		[8.2.2] Placement of Skill trained persons	Number	--	100000	105000	150000	180000
	[8.3] Imparting of skill training to Minority urban poor.	[8.3.1] Number of Persons imparted skill training	Number	52606	45000	52500	75000	90000
	[8.4] Assistance for gainful self-employment.	[8.4.1] Number of Persons assisted	Number	80775	70000	75000	80000	85000
[9] Supporting support projects/ schemes for development of the North-Eastern Region	[8.5] Assistance for gainful self-employment for Minority urban poor.	[8.5.1] Number of Persons assisted	Number	13173	10500	11250	12000	12750
	[9.1] Dedicated funding support to projects/ schemes for	[9.1.1] Percentage of total Budgetary support of Ministry spent on	Percent	9	10	7	9	10

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
including Sikkim.	development of the North-Eastern Region including Sikkim.	development the North-Eastern Region including Sikkim						
[10] Slum Redevelopment	[10.1]Monitoring Completion of Houses under BSUP & IHSDP.	[10.1.1] No. of Houses Completed during the year	Number	153160	200391	42986	90000	--
	[10.2]Compliance of Third Party Inspection Reports and Monitoring visits by Monitoring / Central TPIM Agencies.	[10.2.1] No. of such compliances	Number	599	671	709	550	--
[11] Implementation of RAY and AHIP Scheme for inclusive urban growth	[11.1]Sanction of projects under RAY	[11.1.1] Number of Dwellings Units (DUs) sanctioned under RAY.	number	--	--	125000	--	--
	[11.2]Sanction of Projects under Affordable Housing in Partnership (AHIP) scheme.	[11.2.1] Number of Projects sanctioned.	number	--	--	8	--	--
[12] National Urban Housing & Habitat Policy (NUHHP):2007	[12.1]To advocate Government of India policies enshrined in NUHHP for adoption by States.	[12.1.1] A national level consultation with State Governments to encourage them to adopt Government of India policies enshrined in NUHHP	Date	--	--	30/09/2013	--	--
[13] To support the programme for Advocacy and Capacity Building for reducing urban poverty	[13.1]National Urban Livelihoods Mission (NULM) – Scheme Rollout.	[13.1.1] Number of officials trained at State/City level through Capacity Building	Number	--	--	1400	2000	2500

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[14] To support the programme for Advocacy and Capacity Building for reducing urban poverty.		Programmes to be conducted in National/Regional/State/ULB level						
	[13.2] Evaluation of the Skill Training for Employment Promotion amongst the Urban Poor (STEP-UP) component under SJSRY.	[13.2.1] Undertaking an evaluation of the skill training programme under SJSRY	Date	--	--	05/03/2014	--	--
	[14.1] RAY – Roll Out.	[14.1.1] Number of officials trained at State & City level through Capacity Building Programmes to be conducted in National/Regional/State/ULB level	Number	1283	1464	1500	--	--
	[14.2] Evaluation of Training Programmes conducted under RAY.	[14.2.1] 75% of Participants find the Programmes useful and effective	Number	2	--	1120	1200	1300
* Efficient Functioning of the RFD System	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	--	--	06/03/2014	--	--
	Timely submission of Results for 2012-13	On-time submission	Date	--	--	02/05/2013	--	--
* Transparency/Service delivery Ministry/Department	Independent Audit of implementation of Citizens' Charter	% of implementation	%	--	--	95	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	--	--	95	--	--
* Administrative Reforms	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--
	Implement ISO 9001 as per the approved action plan	% of implementation	%	--	--	95	--	--
	Implement Innovation Action Plan (IAP)	% of milestones achieved	%	--	--	95	--	--
	Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	--	--	15/10/2013	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG, during the year.	%	--	--	90	--	--
	Timely submission of ATRs to the PAC Sect. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC, during the year.	%	--	--	90	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before	Percentage of outstanding ATNs disposed off during the year.	%	--	--	90	--	--
* Mandatory Objective(s)								

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	31.3.2012.							
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRs disposed off during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 4: Acronym

Sl.No	Acronym	Description
1	AHTF	Affordable Housing Task Force
2	BMTPC	Building Material and Technology Promotion Council
3	BSUP	Basic Services to Urban Poor
4	CCEA	Cabinet Committee on Economic Affairs
5	EFC	Economic Finance Committee
6	EWS	Economically Weaker Sections

Section 4:
Acronym

Sl.No	Acronym	Description
7	HUDCO	Housing and Urban Development Corporation
8	HUPA	Housing & Urban Poverty Alleviation
9	IHSDP	Integrated Housing and Slum Development Programme
10	ILCS	Integrated Low Cost Sanitation Scheme
11	ISHUP	Interest Subsidy Scheme for Housing the Urban Poor
12	LIG	Low Income Groups

Section 4: Acronym

Sl.No	Acronym	Description
13	NPV	Net Present Value
14	NUHHP	National Urban Housing & Habitat Policy
15	PSUs	Public Sector Undertakings
16	RRY	Rajiv Rinn Yojana
17	SAPREP	Streamlining Approval Procedures in Real Estate Projects
18	SUH	Shelters for Urban Homeless

Section 4:
Acronym

Sl.No	Acronym	Description
19	SUHHP	State Urban Housing and Habitat Policy
20	TPIM	Third Party Inspection and Monitoring
21	ULBs	Urban Local Bodies

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
1	[1.1.1] Submission of Cabinet Note on 'Affordable Housing Policy'.	NUJHP: 2007 has been adopted with the motto 'Affordable Housing for All'. The Affordable Housing Task Force has provided certain implementable recommendations in this direction and the same is proposed to be formulated as a draft Affordable Housing Policy for guidance.		For Promotion of Affordable Housing in the country, following success indicators have been fixed: This Ministry has to prepare Affordable Housing Policy and to submit Cabinet Note on 'Affordable Housing Policy' by 15.2.2014.	
2	[1.2.1] Obtaining decision of the Cabinet	With a view to bring transparency, fair play and quick dispute redressal mechanism, the said Bill has been drafted and is likely to be introduced in the Parliament during the year.		The Ministry of Housing & Urban Poverty Alleviation has to obtain decision of the Cabinet on the said Bill by 31.7.2013.	
3	[1.3.1] Finalisation and circulation of guidelines	Based on recommendations of Committee on Streamlining Approval Procedures in Real Estate Projects (SAPREP) and with a view to foster affordable housing, it is essential to promote efficient and transparent plan approval procedures in real estate projects. The same is proposed for advocacy with the States.		(iii) The Ministry of HUPA has recently in January, 2013 received the draft recommendations of SAPREP Committee. After final report of the SAPREP Committee is received, the Ministry has to finalize and circulate the guidelines to all stakeholders by 31.11.2013.	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
4	[2.1.1] Introduction of amended Bill in Parliament	The "Street Vendors (Protection of Livelihood and Regulation of Street Vending) Bill, 2012" which was introduced in the Lok Sabha on 6th September, 2012. The Hon'ble Speaker of Lok Sabha had referred the Bill to the Standing Committee on Urban Development for examination. The committee has tabled its report in the Parliament on 13-03-2013. The Bill will give national recognition to the contribution of street vendors and to ensure uniformity in the legal framework for street vending across States.	Street vendors constitute an integral part of our urban economy. Street vending is not only a source of self-employment to the poor in cities and towns but also a means to provide 'affordable' as well as 'convenient' services to a majority of the urban population, especially the common man. Despite the wide proliferation of the trades included in street vending, and its increasing acceptability in the social and economic fabric, there are very few proactive policy measures taken by States and Urban Local Bodies to protect the street vendors from the undue harassment from various quarters.	Examination of report of Standing committee on Urban Development on the bill & introduction of amendments to the bill in Parliament.	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
5	[2.2.1] Completion of process for Cabinet Approval	EFC has approved the proposal for NULM. The process for Cabinet approval for NULM has been initiated.	The existing SJSRY will be up-scaled into NULM for imparting large scale skill up-gradation, enabling entrepreneurship development and providing wage employment and self-employment opportunities.	Completion of process for Cabinet Approval of NULM by 30-06-2013.	
6	[2.2.2] Launch of National Urban Livelihood Mission (NULM)	M/o HUPA has envisaged to launch a 'National Urban Livelihoods Mission (NULM)'. This will replace the existing SJSRY from the beginning of the 12th Five Year Plan NULM would be target-oriented with specific focus on the primary issues pertaining to urban poverty such as skill up-gradation, entrepreneurship development and employment creation through wage employment and self-employment opportunities opened up by the emerging markets in urban areas.	The existing SJSRY will be up-scaled into NULM for imparting large scale skill up-gradation, enabling entrepreneurship development and providing wage employment and self-employment opportunities.	NULM is proposed to be launched by 31-08-2013.	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
7	[3.1.1] Obtaining Cabinet decision on Policy	Formulation of policy for ensuring legal entitlement to slum dwellers by the State Governments is central to the implementation of RAY. A series of consultations with States and other stakeholders has been held during the last year to evolve a draft Model enactment that can be utilised as a framework by the State Governments.	Model Act submitted for Cabinet Approval	Cabinet Approval.	
8	[3.2.1] Obtaining Cabinet decision on Policy.	Devising strategy for slums on central govt. land will also be the key focus for this year's activity.	Draft Model Legislation to tackle slums on Central Govt. Land submitted for Cabinet Approval	Cabinet Approval	
9	[3.3.1] Obtaining Cabinet decision on draft legislation.	Formulation of a Parliamentary Bill, for ensuring legal entitlement to slum dwellers in Union Territories, is central to the implementation of RAY. The Model Law, being finalized, for ensuring legal entitlement to slum dwellers by the State Governments, can be utilised as a framework for drafting the proposed Central Bill.	Draft Model Legislation to tackle slums on Central Govt. Land in UTs submitted for Cabinet Approval	Cabinet Approval	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
10	[4.1.1] Obtaining decision of the Cabinet	Implementation phase of RAY and JNNURM II has been proposed with extended coverage of cities for the duration of 10 years (12th & 13th FYP).	The EFC note on Rajiv Awas Yojana is under inter-ministerial consultation. Launch of RAY would be contingent upon receiving approval of EFC and Cabinet Committee approvals.	EFC Approval of RAY / JNNURM II; and Cabinet Approval of RAY/ JNNURM II.	
11	[4.2.1] Circulation of the Guidelines & Toolkits to all the States post launch of RAY	Guidelines to be issued in accordance with the contours of APPROVED SCHEME OF RAY / JNNURM II: 1. GIS-MIS Guidelines 2. Community Participation Guidelines 3. Guidelines for preparation of SFCPoAs 4. Toolkit for DPR Preparation 5. Toolkit for project Management 6. Social Audit Guidelines	A set of guidelines will be issued to all the States/Cities for supporting them TO undertake various activities under RAY	Finalization and Circulation of Guidelines to States	
12	[4.3.1] Formulation of Revised AHP Guidelines	The basic aim of the AHP Scheme is to incentivise land assembly and increase stock of affordable housing and provide the option of rental housing and dormitories for new migrants to reduce the alarming affordable housing deficit. It is expected that the twin constraints for affordable housing i.e. unavailability of land and	A Task Force (TF) was set up by MoHUPA for developing transparent qualified criteria and a separate set of guidelines for affordable housing in PPP projects aimed at developing recommendations to create an enabling framework for increased	Formulation of AH Guidelines	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
12	[4.3.1] Formulation of Revised AHP Guidelines	unaffordability of the cost of a constructed house for the EWS/LIG segment, would be addressed through this scheme.	private sector participation in affordable housing. The Task Force has also reviewed the existing scheme of AHP and identified key reasons which had led to slow off-take of the scheme during the 11th FYP. Accordingly, the scheme of AH is proposed to be revised and forms a part of the RAY EFC Note which is under Inter-ministerial consultation. Revised guidelines of the Scheme to be formulated.	Formulation of AH Guidelines	
13	[4.4.1] Number of Slum free City/State Plan of Actions approved	The Plan of Action (PoA) for 'Slum-free State' would need to be in two Parts – Part- I for Slum Redevelopment of all existing slums, notified or non-notified, on lands belonging to State/Central Government, Urban Local Bodies, public undertakings of State/Central Government, any other public agency and private land within the selected RAY cities; prepared in accordance with the guidelines issued already; and Part-II for	The Slum-free City Plans of Action are to be prepared for the entire city including all the slums within that city delineating the vision of the city and the state for inclusive urbanization, including its broad approach, the perspective plan, phasing of implementation and timelines envisaged. It is imperative that the focus,	Number of SFCPoAs prepared.	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
13	[4.4.1] Number of Slum free City/State Plan of Actions approved	Containment of Future Slums, delineating the development of affordable housing for the urban poor and revision to existing urban policy and programmes for prevention of slums.	here, be on the quality of plans submitted.	Number of SFCPoAs prepared.	
14	[5.1.1] Obtaining Scheme sanction and development of guidelines	Based on announcement made by Hon'ble Prime Minister on 15th August, 2012, this Ministry has worked out revised scheme namely 'Rajiv Rinn Yojana (RRY)'. The case is under consideration with Ministry of Finance at EFC stage.		The Ministry of HUPA is in the process of getting necessary approvals for continuation of ISHUP scheme in the 12th Five Year Plan as 'Rajiv Rinn Yojana (RRY)'. In light of the above, success indicators for the above scheme for 2013-14 has been derived on the basis of the following: - Ministry has to get the above scheme sanctioned and approved by the competent authority and then to develop guidelines on RRY by 31.10.2013.	
15	[5.1.2] No. of units sanctioned by Banks for housing loans during the financial year			The progress of RRY scheme lies on the pace of Banks/HFCs in sanction and disbursement of subsidized loans to beneficiaries. Thus the	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
15	[5.1.2] No. of units sanctioned by Banks for housing loans during the financial year			second success indicator has been fixed for sanction of housing loans to at least 80,000 beneficiaries by Banks/HFCs during the financial year.	
16	[6.1.1] No. of shelters / proposals sanctioned	Along with the proposed 'National Urban Livelihood Mission (NULM)', the scheme of 'Shelters for Urban Homeless (SUH)' has been conceived in order to provide 24x7 shelters with necessary basic infrastructure facilities to the urban homeless.		The SUH programme has now been decided to be launched under National Urban Livelihood Mission (NULM) and meeting of EFC has held. States will have to identify land for construction of shelters and submit their DPRs under the above programme. Hence the second success indicator has been fixed for sanction of all proposals of State Governments for construction of at least 150 shelters during the financial year.	
17	[7.1.1] Number of units to be completed.	Based on the Census 2011 report which pointed to existence of 2.08 lakh dry latrines in urban areas, the scheme of Integrated Low Cost Sanitation (ILCS) has		The scheme of ILCS was to be completed by 2012. However, the provisional figures released by Census of India, 2011 highlighted	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
17	[7.1.1] Number of units to be completed.	been extended upto 31st March, 2014 with enhanced subsidies		that there are 7,94,390 latrines serviced by humans out of which 2,08,323 are located in Urban areas. In view of the provisional figures on latrines serviced by humans released by the Census of India 2011, it is now been decided to extend the Integrated Low Cost Sanitation Scheme with revised features and cost estimates in the 12th Plan to cover all existing dry latrines which are serviced by human beings. Now the success indicator under this scheme for 2013-14 has now been proposed for completion of at least 40,000 sanctioned units by 31st March, 2014.	
18	[8.1.1] Circulation of Operational Guidelines under NULM to all the States/UTs	Preparation of 4 Operational Guidelines on Self – employment Programme (SEP), Social Mobilization and Institutional Development (SM&ID), Capacity Building and Training (CB&T), Employment through Skills		The 4 Operational Guidelines i.e. Self – employment Programme (SEP), Social Mobilization and Institutional Development (SM&ID), Capacity Building and	

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
18	[8.1.1] Circulation of Operational Guidelines under NULM to all the States/UTs	Training and Placement (EST&P).		Training (CB&T), Employment through Skills Training and Placement (EST&P) is proposed be circulated to the States/ UTs latest by 31-12-2013.	
19	[8.2.1] Number of Persons imparted skill training	Skill Training of urban poor is one of the major component of SJSRYP/ Proposed NULM.	Skill Training focuses on providing assistance for skill development / up-grading of the urban poor to enhance their capacity for self-employment or better salaried employment.	The number of Persons who have been imparted skill training.	
20	[8.2.2] Placement of Skill trained persons	Skill Training of urban poor is one of the major component of SJSRYP/ Proposed NULM.	Skill Training focuses on providing assistance for skill development / up-grading of the urban poor to enhance their capacity for self-employment or better salaried employment.	The number of Skill trained persons placed.	
21	[8.4.1] Number of Persons assisted	Gainful Self-Employment is one of the component of SJSRYP/ Proposed NULM.	Gainful Self-Employment focuses on assistance to individuals/groups of urban poor for setting up self-employment ventures/ micro-enterprises, suited to their skills, training.	The Number of Persons assisted for gainful self-employment	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success Indicator	Description	Definition	Measurement	General Comments
21	[8.4.1] Number of Persons assisted	Gainful Self-Employment is one of the component of SJSRY/ Proposed NULM.	aptitude and local conditions.	The Number of Persons assisted for gainful self-employment	
22	[9.1.1] Percentage of total Budgetary support of Ministry spent on development the North-Eastern Region including Sikkim	The objective is to ensure speedy development in the North Eastern Region including Sikkim by dedicated budgetary support for speedy development in the Region. The expenditure for N-E States during the Year 2012-13 is Rs. 42.97 Crore i.e. 82.09 %.	The Scheme of 10% Lumpsum Provision for the benefit of North Eastern Region, including Sikkim has been operational in the Ministry of Housing & Urban Poverty Alleviation (HUPA) from the year 2001-2002. It is implemented as per guidelines of Non-Lapsable Central Pool of Resources (NLCPR). Grant-in-Aid under the scheme is released for projects benefiting the urban poor and low income groups. Community market, Slum re-development, multipurpose resource centres, community sanitation works, etc. are some of the areas which are covered under the	Percentage of total Budgetary support of Ministry spent on development the North-Eastern Region including Sikkim	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
22	[9.1.1] Percentage of total Budgetary support of Ministry spent on development the North-Eastern Region including Sikkim	The objective is to ensure speedy development in the North Eastern Region including Sikkim by dedicated budgetary support for speedy development in the Region. The expenditure for N-E States during the Year 2012-13 is Rs. 42.97 Crore i.e. 82.09 %.	scheme.	Percentage of total Budgetary support of Ministry spent on development the North-Eastern Region including Sikkim	
23	[10.1.1] No. of Houses Completed during the year	The duration of JNNURM was upto 31.3.2012 which has been extended by 2 years upto the end of financial year 2013-14 for completion of projects sanctioned upto March 2012 and implementation of 3 pro-poor reforms under BSUP and IHSDP components of JNNURM.	A Mission target of 15 lacs houses was fixed based on the funds allocation. No annual target was fixed. The focus in the year 2005-06 to 2008-09 was on sanction of as many houses as possible so that these may be completed by the year 2012. Focus has shifted to monitoring the progress of already sanctioned projects.	- Number of houses completed vs. sanctioned; - Number of houses at various stages of completion; and - Number of houses occupied by the beneficiaries reported through Progress reports by States/UTs	
24	[10.2.1] No. of such compliances	The focus during the extended period of the Mission (2012-13 to 2013-14) is on the review of projects, quality of project through TPIM Reports and monitoring for successful completion of these projects. The projects are also monitored	The States have engaged TPIM agencies which independently monitor the BSUP/IHSDP projects. Further some projects also selected on sample basis for which a provision of Central TPIM	- Number of TPIM reports submitted for BSUP/IHSDP projects - Number of visits undertaken in the projects - No. of TPIM reports analysed by the TPIM Cells	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
24	[10.2.1] No. of such compliances	through an effective e-tool IPoMS.	exists. These TPIM Agencies submit TPIM reports for the projects at various stages viz. pre-construction stage, construction stage and the post-construction stage. Further the TPIM reports are analysed by the TPIM Cells to facilitate the CSMC/CSC to consider the projects for release of 2nd and subsequent installments.	• Number of TPIM reports submitted for BSUP/IHSDP projects • Number of visits undertaken in the projects by the TPIM Cells	
25	[14.1.1] Number of Dwellings Units (DUs) sanctioned under RAY.	ACA shall be available for provision of basic civic and social infrastructure and amenities and of housing, including rental housing, - and transit housing for in-situ Re-development -in slums, including O&M of assets created under this scheme.	The EFC Note on RAY proposes construction of 1 million houses during the 12th Five Year Plan.	DUs sanctioned under RAY	
26	[14.2.1] Number of Projects sanctioned.	The preventive strategy of RAY envisages creation of affordable housing stock for the prevention of creation of future slums.	A Committee has been constituted to suggest measures for catalyzing private participation in creation of affordable housing stock. The Affordable Housing in Partnership Scheme is	No. of projects sanctioned	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
26	[14.2.1] Number of Projects sanctioned.	The preventive strategy of RAY envisages creation of affordable housing stock for the prevention of creation of future slums.	also dovetailed with RAY. The Task force has now finalized its report and submitted to the Ministry. Keeping in view the recommendations of Task Force amendments in the Affordable Housing in partnership scheme guidelines will be made.	No. of projects sanctioned	
27	[15.1.1] A national level consultation with State Governments to encourage them to adopt Government of India policies enshrined in NUHHP	The NUHHP was launched by the Ministry of HUPA in 2007 which aims at promoting sustainable development of habitat in the country with a view to ensuring equitable supply of land shelter and services at affordable prices to all sections of the society.		NUHHP: 2007 advocates that State Governments/UTs should prepare their own State Housing Policies and State level Action plans. NUHHP further advocates that Central Government should encourage State Governments/UTs by holding meetings with them to prepare their own SUHHP. Therefore a national level consultation with State Governments has been proposed to be organised by 30th September, 2013 to encourage them to adopt Government of India policies enshrined in	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
27	[15.1.1] A national level consultation with State Governments to encourage them to adopt Government of India policies enshrined in NUHHP	The NUHHP was launched by the Ministry of HUPA in 2007 which aims at promoting sustainable development of habitat in the country with a view to ensuring equitable supply of land shelter and services at affordable prices to all sections of the society.		NUHHP.	
28	[16.1.1] Number of officials trained at State/City level through Capacity Building Programmes to be conducted in National/Regional/State/ULB level	Training & Capacity Building would help to transform the role of the M/o HUPA and State Agencies in charge of urban poverty alleviation into providers of high quality technical assistance in the fields of livelihoods promotion and poverty alleviation.	Training & Capacity Building of the functionaries of States/ ULBs in the field is essential for successful implementation of the scheme.	The Number of officials trained at State & City level through Capacity Building Programmes to be conducted in National/Regional/State/ ULB level	
29	[16.2.1] Undertaking an evaluation of the skill training programme under SUSRY	To Evaluate the Skill Training for Employment Promotion amongst the Urban Poor (STEP-UP) component under SUSRY.	Skill Training focuses on providing assistance for skill development / up-grading of the urban poor to enhance their capacity for self-employment or better salaried employment. Undertaking an independent evaluation would help in assessing the effectiveness of the programme.	Undertaking an evaluation of the skill training programme under SUSRY.	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
30	[17.1.1] Number of officials trained at State & City level through Capacity Building Programmes to be conducted in National/Regional/State/ ULB level	Lack of Capacity at the State/City level has been identified as one of the bottleneck for successful implementation of schemes. Hence, RAY / JNNURM II scheme envisages capacity building activities for States / ULBs	A series of hand holding activities have been envisaged to ensure roll out of the scheme.	No. of Officials trained.	
31	[17.2.1] 75% of Participants find the Programmes useful and effective	Capacity building programmes are relevant if only they are considered meaningful and useful by the participants who can implement learning on the ground. Hence, evaluation of training programmes will be able to demonstrate effectiveness of the programmes from learners perspectives.	Evaluation of training Programmes to ensure relevance of capacity building initiatives	No. of participants finding programmes useful and effective	

**Section 5 :
Specific Performance Requirements from other Departments**

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
Central Government		Ministry	Ministry of Housing and Urban Poverty Alleviation	[1.1.1] Submission of Cabinet Note on 'Affordable Housing Policy'.	Facilitation of Cabinet Decision	Without their support, the Bill cannot be approved by the Cabinet.		Shortfall and delay in achievement of targets.
			Ministry of Law and Justice	[1.2.1] Obtaining decision of the Cabinet	Inputs for finalisation	To make the guidelines widely acceptable, comments of all concerned would be essential.	Full support is needed.	
			All Organization	[1.3.1] Finalisation and circulation of guidelines	Comments/ suggestions from departments for framing of Cabinet Note and assent from the Department of Legal Affairs	Cabinet notes are circulated for comments of Central Govt. Ministries including Planning Commission to incorporate their comments/suggestions. Assent from Ministry of Legal Affairs would be essential as stipulated	Concurrence of the Ministries to the Scheme	According property rights to slum dwellers is central to the scheme. A model Act finalised in consultation with various Ministries would help the States/UTs to formulate the Act more effectively. Obtaining Cabinet decision on Draft Model Legislations would thus be crucial for success of RAY
				[3.1.1] Obtaining Cabinet decision on Policy	Comments/ suggestions from departments for framing of Cabinet Note and assent from the Department of Legal Affairs			Draft Model Legislations will be applicable to UT as well, if requirement is not met.
				[3.3.1] Obtaining Cabinet decision on draft legislation.	Comments/ suggestions from departments for	RAY is a scheme which will be vest implemented if convergence of various		Implementation phase of RAY and JNNURM II has been proposed with
				[4.1.1] Obtaining decision of the Cabinet				

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
				[4.1.1] Obtaining decision of the Cabinet	framing of EFC and Cabinet Note	ministries are ensured. EFC Notes and Cabinet's notes are circulated to Central Govt. Ministries including Planning Commission to incorporate their comments/suggestions		extended coverage of cities for the duration of 10 years (12th & 13th FYP). Obtaining cabinet approval will be important to launch the implementation phase of RAY.
				[5.1.1] Obtaining Scheme sanction and development of guidelines	1) Ministry of Finance needs to accord necessary scheme approvals before the Ministry can approach CCEA for approval and Department of Financial Services will have to issue suitable instructions to banks/Financial Institutions. 2) State Governments need to build awareness among beneficiaries and mobilise applications. 3) Banks and Housing Finance Companies (HFCs) need to sanction loans on priority and ensure quick	This Ministry needs critical inputs from all concerned stakeholders for release of interest subsidy to Banks/HFCs.	Full support is needed.	Shortfall and delay in achievement of targets.

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
				[5.1.1] Obtaining Scheme sanction and development of guidelines	disbursal. 4)Central Nodal Agencies viz. Housing and Urban Development Corporation (HUDCO) and National Housing Bank (NHB) to sign Memorandum of Understandings (MOUs) with Banks and ensure smooth flow of funds.	This Ministry needs critical inputs from all concerned stakeholders for release of interest subsidy to Banks/HFCs.	Full support is needed.	Shortfall and delay in achievement of targets.
				[5.1.2] No. of units sanctioned by Banks for housing loans during the financial year				
		others		[3.2.1] Obtaining Cabinet decision on Policy.	Comments/ suggestions from departments for framing of Cabinet Note and assent from the Department of Legal Affairs	Cabinet notes are circulated for comments of Central Govt. Ministries including Planning Commission to incorporate their comments/suggestions. Assent from Ministry of Legal Affairs would be essential as stipulated	Concurrence of the Ministries to the Scheme	Devising strategy for slums on central govt. land will be crucial for achieving objective of RAY
				[14.2.1] Number of Projects sanctioned.	State/UT Govt.s. Housing Boards need to prepare projects in	The number of affordable units including dormitories and rental housing sanctioned will depend on	No. of DPRs submitted by the State/UT Govt. UCs submitted for previous installments	Affordable housing in Partnership scheme is dovetailed with RAY in order to incentivise

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
State Government	All States			[14.2.1] Number of Projects sanctioned.	partnership conforming to AHP Guidelines and submit for sanction of CSMC	the number projects posed by the State/UT Govt.s in partnership conforming to AHP guidelines	released, if any.	land assembly and increase affordable housing stock, as part of the slum containment strategy. If adequate projects are not posed by State/UT Govts desired outcomes will not be met.
		Public Sector units (PSUs)	N/A	[4.4.1] Number of Slum free City/State Plan of Actions approved	States/UTs and ULBs need to prepare Slum free City/State Plan of Actions conforming the overall vision of RAY and guidelines issued by MoHUPA and submit the same for approval to the Planning Sub-committee under CSMC	The Slum-free City Plan of Actions are to be prepared for the entire city including all the slums within that city delineating the vision of the city and the state for inclusive urbanization, including its broad approach, the perspective plan, phasing of implementation and timelines envisaged. The focus would be on quality control of the plans submitted and approved	Plans submitted by State/UT govt. for all Cities included under RAY	States are being assisted to draw up their Slum-free Plans of Action to proceed towards the goal of Slum-free Cities/States in a systematic and time bound manner under RAY. Success of implementation of RAY will be dependent on the no. of plans approved for States/UTs.
		N/A	All Organization	[7.1.1] Number of units to be completed.	1) State Governments need to complete already sanctioned projects (if any) and provide 100% UCs. 2) Conduct survey of dry latrines	The Ministry of HUPA needs full support from all State Governments for according sanctions for conversion of all dry latrines.	Full support is needed.	Shortfall and delay in achievement of targets.

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
		N/A	All Organization	[7.1.1] Number of units to be completed.	Identified by Census 2011 and prepare DPRs for the same and approach Central Government for sanction after obtaining necessary State level approvals.	The Ministry of HUPA needs full support from all State Governments for according sanctions for conversion of all dry latrines.	Full support is needed.	Shortfall and delay in achievement of targets.
				[6.1.1] No. of shelters / proposals sanctioned	States need to identify suitable land for construction of shelters and prepare DPRs for sanction by Central Government.	This Ministry needs full support from State Governments to provide shelters to urban homeless.		
		others		[8.2.1] Number of Persons imparted skill training	The scheme of SJSRP/Proposed NULM is Implemented through the State/UT Government. The State Government need to utilize the funds in accordance with the guidelines of the scheme and ensure timely release the matching state share and achieve the targets under	The release of funds will depend on submission of utilization of funds released, release of due State share and achievement of physical targets.	4 Lakh people targeted to impart skill training 1.20 Lakh skill imparted persons to get placement 80,000 people to be assisted for gainful self - employment	Shortfall in achievement of target

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
		others		[8.2.1] Number of Persons imparted skill training [8.2.2] Placement of Skill trained persons [8.4.1] Number of Persons assisted [10.1.1] No. of Houses Completed during the year	the scheme. The completion of DUs is dependent upon release of ACA for the projects in time by the Ministry of Finance (for States) and by the Ministry of Home Affairs (for UTs). Further the reports submitted by States/UTs/ULBs are monitored and compiled at the Central level.	The release of funds will depend on submission of utilization of funds released, release of due State share and achievement of physical targets. The reports about completion of DUs which is dependent upon release of ACA and monitoring of physical progress and compilation of data at the central level.	4 Lakh people targeted to impart skill training 1.20 Lakh skill imparted persons to get placement 80,000 people to be assisted for gainful self - employment Submission of Physical Progress reports periodically by the States/UTs	Shortfall in achievement of target Set targets will not be achieved.

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
1 Reduction in housing shortage in urban areas	State Governments/ Urban Local Bodies / Private sector developers and Banks	Percentage reduction in urban housing shortage during the year	Percent			5%		
2 Sanction of new affordable housing units under RAY and RRY								
3 Making urban areas of the country dry latrine free through sanctions under ILCS		Sanctioning conversion of all reported dry latrines under ILCS by 31st March 2014	Percent			100		
4 Reduction in urban poverty	State governments, Planning Commission and Central Ministries dealing with social sector	Reduction in number of urban poor families	number	220000	300000	400000	500000	600000
5 Economic Empowerment of the Urban Poor	State Governments, Planning Commission and Central Ministries dealing with social sector	Number of urban poor imparted skill training and placed / assisted for self-employment	number	363670	275000	350000	400000	450000
6 Slum free India with inclusive and equitable cities	Central land owning Ministries, Planning Commission, Department of Expenditure, NHB, HUDCO, State Govts, ULBs, Financiers	10% reduction in housing shortage over 12th Five Year Plan period	percent				1	3