



Government of India

R F D

(Results-Framework Document)
for

Ministry of Micro, Small and Medium
Enterprises

(2013-2014)

Section 1: Vision, Mission, Objectives and Functions

Vision

Sustainable development of globally competitive Micro, Small and Medium Enterprises as an engine of growth for the Indian Economy.

Mission

Promote growth and development of Micro, Small and Medium Enterprises, including Khadi, Village and Coir industries, so as to achieve a cumulative growth of 50% in the number of registered enterprises and to enhance contribution to GDP to 10% by 2016-17.

Objectives

- 1 Growth and development of existing MSMEs
- 2 Creation of new enterprises
- 3 Enhancing manufacturing base
- 4 Skill and entrepreneurship development for MSMEs
- 5 Growth and development of Khadi, Village and Coir industries
- 6 Improving performance of PSU and Responsibility Centres

Functions

- 1 Facilitation of credit flow to MSMEs
- 2 Improving competitiveness of MSMEs
- 3 Improve manufacturing base through upgradation of technology
- 4 Promotion of MSMEs through cluster based approach
- 5 Marketing support to MSMEs
- 6 Skill development and entrepreneurship development training
- 7 Creation of new Micro Enterprises through Prime Minister's Employment Generation Program (PMEGP)
- 8 Growth and development of Khadi and Village Industries (KVI) sector
- 9 Growth and development of Coir Industry

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] Growth and development of existing MSMEs	43.00	[1.1] Facilitation of credit flow to MSMEs	[1.1.1] Credit provided with guarantee under Credit Guarantee Scheme	Rs. in crore	4.00	17500	16500	16000	15500	15000
			[1.1.2] No. of MSE units awarded Performance & Credit rating	Number of units	4.00	20000	18000	16000	14000	12000
		[1.2] Improving competitiveness of MSMEs	[1.2.1] Term loan facilitated for technological up-gradation through CLCSS	Number of units	4.00	5000	4500	4000	3500	3000
			[1.2.2] Enhancing competitiveness of MSMEs with Lean Manufacturing techniques	Number of new units	3.00	1300	1250	1200	1150	1100
		[1.3] Promotion of MSMEs through cluster based approach	[1.3.1] Implementation of Integrated Programme in a cluster	Number of clusters	1.00	05	04	03	02	01
			[1.3.2] CFCs commissioned under MSE- CDP	Number of new clusters	3.00	12	10	09	08	07
			[1.3.3] SFURTI Clusters which have registered increase in production during the year	Number of clusters	2.00	50	45	40	35	30

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
			[1.3.4] Identification of potential clusters for development under SFURTI	Number of clusters	1.00	51	46	41	36	31
			[1.3.5] Increasing earnings of village industry artisans in clusters over the previous year	%	1.00	10	09	08	07	06
			[1.4.1] Quality improvement through QMS/QTT	Number of new units	2.00	100	90	80	70	60
			[1.4.2] Introducing new product/ process designs in MSEs	Number of new units	3.00	80	70	60	50	40
			[1.4.3] Increasing energy efficiency in MSMEs	Number of new units	2.00	125	115	110	100	90
			[1.4.4] ICT adoption in MSME clusters	Number of new units	2.00	55	50	45	40	35
			[1.4.5] Incubation of new business ideas	Number of ideas	2.00	90	80	70	60	50
			[1.4.6] Preparation of DPRs for 15 New Tool Rooms	Date	1.00	31/12/2013	15/01/2014	31/01/2014	15/02/2014	28/02/2014
			[1.5.1] Entrepreneurs provided support for participation in International Fairs/ Exhibitions	Number of participants	2.00	1000	950	900	850	800
		[1.5] Marketing support to MSMEs								

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
			[1.5.2] Number of Domestic Fairs & Exhibitions organized/ co-sponsored	Number of fairs	1.00	650	600	550	500	450
			[1.5.3] Design Information & Monitoring System for implementation of procurement policy	Date	0.00	01/12/2013	15/12/2013	31/12/2013	01/01/2014	15/01/2014
			[1.5.4] No of National vendor development programmes to be conducted to sensitize both vendors and public sector about procurement policy	Number of programmes	1.00	50	45	40	35	30
			[1.6.1] Implementation of recommendations of the study conducted on Testing Centres	Date	1.00	28/02/2014	15/03/2014	22/03/2014	26/03/2014	31/03/2014
		[1.7] Evaluation Study of MSME Tool Rooms	[1.7.1] Implementation of recommendations of the Evaluation Study of Tool Rooms	Date	1.00	28/02/2014	15/03/2014	22/03/2014	26/03/2014	31/03/2014
		[1.8] Evaluation and restructuring of existing delivery mechanism	[1.8.1] Implementation of recommendations of the study on MSME-DIs for reorganizing and restructuring	Date	1.00	28/02/2014	15/03/2014	22/03/2014	26/03/2014	31/03/2014

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[2] Creation of new enterprises	19.00	[2-1] Creation of new micro Enterprises through Prime Minister's Employment Generation Program (PMEGP)	[1.8.2] Obtaining approval of the Competent Authority of all Schemes of the ARI Sector on the basis of evaluation	Date	1.00	01/11/2013	15/12/2013	31/12/2013	15/01/2014	31/01/2014
			[2.1.1] New units sanctioned in 2013-14	Number of units	7.00	60000	54000	48000	42000	36000
			[2.1.2] New units sanctioned in 2013-14 in NER	Number of units	2.00	6000	5400	4800	4200	3600
			[2.1.3] Physical verification of the PMEGP units in which subsidy has been transferred to beneficiary accounts during 2009-10 or earlier	%	2.00	100	90	80	70	60
			[2.1.4] PMEGP units in which subsidy is transferred to beneficiary's account during 2013-14	Number of Units	1.00	56000	50400	44800	39200	33600
			[2.1.5] PMEGP units where women entrepreneurs assisted during 2013-14	Number of Units	1.00	14000	12600	11200	9800	8400

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
			[2.1.6] Employment generated by units assisted during the year 2013-14	lakh persons	3.00	3.71	3.34	2.97	2.60	2.21
			[2.2.1] Udyamis registered under the scheme	Number of Udyamis	2.00	7500	7300	7100	6900	6700
			[2.2.2] Formulation of a revamped RGUMY Scheme	Date	1.00	01/09/2013	15/09/2013	01/10/2013	15/10/2013	31/10/2013
[3] Enhancing manufacturing base	3.00	[3.1] Enhance manufacturing base through upgradation of technology and infrastructure	[3.1.1] New Units set up under Infrastructural Development Programme	Number of new units	2.00	200	150	100	80	70
			[3.1.2] New/upgraded Industrial Estates made functional	Number of new clusters	1.00	05	04	03	02	01
[4] Skill and entrepreneurship development for MSMEs	5.00	[4.1] SDP / EDP training	[4.1.1] Number of persons trained	In Lakh	2.00	4.50	4.25	4.00	3.75	3.50
			[4.1.2] No. of women trained out of the above	In lakh	1.00	0.68	0.64	0.60	0.56	0.52
			[4.1.3] Number of persons trained in NER and difficult areas	In Lakh	1.00	0.50	0.45	0.40	0.35	0.30

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[5] Growth and development of Khadi, Village and Coir industries	13.00	[5.1] Growth and development of KVI sector	[4.1.4] No. of women trained in NER and difficult areas out of the above	In lakh	1.00	0.08	0.07	0.06	0.05	0.04
			[5.1.1] Gross sales of khadi	Rs. in crore	3.00	1100	990	880	770	660
			[5.1.2] Annual gross sale of khadi as against production value of khadi	%	2.00	140	125	110	100	80
			[5.1.3] Increase in village industries production	%	1.00	11	10	09	08	07
		[5.2] Growth and development of coir industry	[5.2.1] Coir units assisted under REMOT	Number of new units	1.00	900	810	720	630	540
			[5.2.2] Continuation of REMOT scheme	Date	1.00	01/08/2013	15/08/2013	01/09/2013	15/09/2013	01/10/2013
			[5.2.3] Export of Coir and Coir products	Rs in crore	2.00	1000	900	800	700	600
			[5.2.4] Development/popularisation of versatile coir processing equipments	Number	1.00	05	04	03	02	01
			[5.2.5] Demonstration of new technology through R&D intervention	Numbers	1.00	230	210	180	160	140

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
		[5.3] Development of innovative technology applications in rural industrial sector by MGIRI	[5.3.1] Support to incubatees	Number	1.00	55	50	45	40	35
[6] Improving performance of PSU and Responsibility Centres	2.00	[6.1] Improving performance of NSIC and preparation of Subsidiary RFDs of Responsibility Centres	[6.1.1] Number of MSME units supported by NSIC under its various schemes	Number of Units in lakhs	1.00	1.02	0.99	0.97	0.95	0.92
			[6.1.2] Signing of subsidiary RFDs with Responsibility Centres by 31.5.2013	Number of Respons ibility Centres	1.00	18	17	16	15	14
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	2.0	05/03/2014	06/03/2014	07/03/2014	08/03/2014	11/03/2014
		Timely submission of Results for 2012-13	On-time submission	Date	1.0	01/05/2013	02/05/2013	03/05/2013	06/05/2013	07/05/2013
* Transparency/Service delivery Ministry/Department	3.00	Independent Audit of implementation of Citizens'/Clients' Charter (CCC)	% of implementation	%	2.0	100	95	90	85	80
		Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	1.0	100	95	90	85	80
* Administrative Reforms	6.00	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	1.0	100	95	90	85	80
		Implement ISO 9001 as per the approved action plan	% of implementation	%	2.0	100	95	90	85	80
		Implement Innovation Action Plan (IAP)	% of milestones achieved	%	2.0	100	95	90	85	80

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
		Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	1.0	27/01/2014	28/01/2014	29/01/2014	30/01/2014	31/01/2014
* Improving Internal Efficiency/Responsiveness.	2.00	Update departmental strategy to align with 12th Plan priorities	Timely updation of the strategy	Date	2.0	10/09/2013	17/09/2013	24/09/2013	01/10/2013	08/10/2013
* Ensuring compliance to the Financial Accountability Framework	1.00	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG .during the year.	%	0.25	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	0.25	100	90	80	70	60
* Mandatory Objective(s)		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	0.25	100	90	80	70	60

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[1] Growth and development of existing MSMEs	[1.1] Facilitation of credit flow to MSMEs	[1.1.1] Credit provided with guarantee under Credit Guarantee Scheme	Rs. in crore	13784	16062.50	16500	17000	17500
		[1.1.2] No. of MSE units awarded Performance & Credit rating	Number of units	13300	19100	18000	19000	20000
	[1.2] Improving competitiveness of MSMEs	[1.2.1] Term loan facilitated for technological up-gradation through CLCSS	Number of units	2288	2550	4500	4800	5000
		[1.2.2] Enhancing competitiveness of MSMEs with Lean Manufacturing techniques	Number of new units	623	897	1250	1300	1350
	[1.3] Promotion of MSMEs through cluster based approach	[1.3.1] Implementation of Integrated Programme in a cluster	Number of clusters	--	03	04	05	06
		[1.3.2] CFCs commissioned under MSE- CDP	Number of new clusters	8	5	10	12	14
		[1.3.3] SFURTI Clusters which have registered increase in production during the year	Number of clusters	75	58	45	50	55
		[1.3.4] Identification of potential clusters for development under SFURTI	Number of clusters	--	--	46	48	50

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
		[1.3.5] Increasing earnings of village industry artisans in clusters over the previous year	%	--	--	09	10	11
		[1.4.1] Quality improvement through QMS/QTT	Number of new units	113	25	90	100	110
		[1.4.2] Introducing new product/ process designs in MSEs	Number of new units	62	34	70	80	90
		[1.4.3] Increasing energy efficiency in MSMEs	Number of new units	113	113	115	120	125
		[1.4.4] ICT adoption in MSME clusters	Number of new units	60	0	50	55	60
		[1.4.5] Incubation of new business ideas	Number of ideas	49	46	80	90	100
	[1.5] Marketing support to MSMEs	[1.4.6] Preparation of DPRs for 15 New Tool Rooms	Date	--	--	15/01/2014	--	--
		[1.5.1] Entrepreneurs provided support for participation in International Fairs/ Exhibitions	Number of participants	1733	3218	950	1000	1050
		[1.5.2] Number of Domestic Fairs & Exhibitions organized/ co-sponsored	Number of fairs	532	940	600	650	700

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
		[1.5.3] Design Information & Monitoring System for implementation of procurement policy	Date	--	31/12/2012	15/12/2013	--	--
		[1.5.4] No. of National vendor development programmes to be conducted to sensitize both vendors and public sector about procurement policy	Number of programmes	--	--	45	50	55
	[1.6] National Testing Centre	[1.6.1] Implementation of recommendations of the study conducted on Testing Centres	Date	--	--	15/03/2014	--	--
	[1.7] Evaluation Study of MSME Tool Rooms	[1.7.1] Implementation of recommendations of the Evaluation Study of Tool Rooms	Date	--	--	15/03/2014	--	--
	[1.8] Evaluation and restructuring of existing delivery mechanism	[1.8.1] Implementation of recommendations of the study on MSME-DIs for reorganizing and restructuring	Date	--	--	15/03/2014	--	--
		[1.8.2] Obtaining approval of the Competent Authority of all Schemes of the ARI Sector on basis of evaluation	Date	--	--	15/12/2013	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[2] Creation of new enterprises	[2.1] Creation of new micro Enterprises through Prime Minister's Employment Generation Program (PMEGP)	[2.1.1] New units sanctioned in 2013-14	Number of units	--	--	54000	--	--
		[2.1.2] New units sanctioned in 2013-14 in NER	Number of units	5327	3672	5400	5700	6000
		[2.1.3] Physical verification of the PMEGP units in which subsidy has been transferred to beneficiary accounts during 2009-10 or earlier	%	70	0	90	95	100
		[2.1.4] PMEGP units in which subsidy is transferred to beneficiary's account during 2013-14	Number of Units	--	--	50400	--	--
		[2.1.5] PMEGP units where women entrepreneurs assisted during 2013-14	Number of Units	--	--	12600	--	--
		[2.1.6] Employment generated by units assisted during the year 2013-14	lakh persons	4.96	1.72	3.34	3.60	4.00

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
		[2.2] Handholding support to first generation entrepreneurs under Rajiv Gandhi Udyami Mitra Yojana (RGUMY)	Number of Udyamis	6939	11635	7300	7500	7700
		[2.2.1] Udyamis registered under the scheme						
		[2.2.2] Formulation of a revamped RGUMY Scheme	Date	--	--	15/09/2013	--	--
		[3.1.1] New Units set up under Infrastructural Development Programme	Number of new units	450	110	150	175	200
[3] Enhancing manufacturing base	[3.1] Enhance manufacturing base through upgradation of technology and infrastructure	[3.1.2] New/upgraded Industrial Estates made functional	Number of new clusters	--	03	04	05	06
[4] Skill and entrepreneurship development for MSMEs	[4.1] SDP / EDP training	[4.1.1] Number of persons trained	In Lakh	3.66	5.23	4.25	4.50	4.75
		[4.1.2] No. of women trained out of the above	In lakh	--	--	0.64	--	--
		[4.1.3] Number of persons trained in NER and difficult areas	In Lakh	0.42	0.42	0.45	0.50	0.55
		[4.1.4] No. of women trained in NER and difficult areas out of the above	In lakh	--	--	0.07	--	--
[5] Growth and development of Khadi, Village and Coir industries	[5.1] Growth and development of KVI sector	[5.1.1] Gross sales of khadi	Rs. in crore	974.57	1021	990	950	965

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	[5.2] Growth and development of coir industry	[5.1.2] Annual gross sale of khadi as against production value of khadi	%	139	139	125	130	135
		[5.1.3] Increase in village industries production	%	--	--	10	11	12
		[5.2.1] Coir units assisted under REMOT	Number of new units	510	934	810	850	900
		[5.2.2] Continuation of REMOT scheme	Date	--	--	15/08/2013	--	--
		[5.2.3] Export of Coir and Coir products	Rs in crore	771	1025	900	950	1000
	[5.3] Development of innovative technology applications in rural industrial sector by MGIRI	[5.2.4] Development/popularisation of versatile coir processing equipments	Number	--	5	4	5	6
		[5.2.5] Demonstration of new technology through R&D intervention	Numbers	--	485	210	230	250
		[5.3.1] Support to incubatees	Number	65	59	50	55	60
		[6.1.1] Number of MSME units supported by NSIC under its various schemes	Number of Units in lakhs	--	--	0.99	--	--
		[6.1] Improving performance of NSIC and preparation of Subsidiary RFDs of Responsibility Centres						
[6] Improving performance of PSU and Responsibility Centres								

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
		[6.1.2] Signing of subsidiary RFDs with Responsibility Centres by 31.5.2013	Number of Responsibility Centres	--	--	17	--	--
* Efficient Functioning of the RFD System	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	--	--	06/03/2014	--	--
	Timely submission of Results for 2012-13	On-time submission	Date	--	--	02/05/2013	--	--
* Transparency/Service delivery Ministry/Department	Independent Audit of implementation of Citizens' Charter	% of implementation	%	--	--	95	--	--
	Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	--	--	95	--	--
* Administrative Reforms	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--
	Implement ISO 9001 as per the approved action plan	% of implementation	%	--	--	95	--	--
	Implement Innovation Action Plan (IAP)	% of milestones achieved	%	--	--	95	--	--
	Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	--	--	15/10/2013	--	--
* Ensuring compliance to the Financial Accountability	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date	%	--	--	90	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
Framework		(4 months) from date of presentation of Report to Parliament by CAG .during the year.						
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	--	--	90	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	--	--	90	--	--
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 4: Acronym

Sl.No	Acronym	Description
1	ADB	Asian Development Bank
2	CCEA	Cabinet Committee on Economic Affairs
3	CFC	Common Facility Centres
4	CGTMSE	Credit Guarantee Trust Fund for Micro and Small Enterprises
5	CLCSS	Credit Linked Capital Subsidy Scheme
6	DIC	District Industries Centre
7	EDP	Entrepreneurship Development Programme
8	ESDP	Entrepreneurship-cum-Skill Development Programme
9	GM	General Manager

Section 4: Acronym

Sl.No	Acronym	Description
10	ICT	Information and Communication Technology
11	IPFC	Intellectual Property Facilitation Centre
12	IPR	Intellectual Property Rights
13	KRDP	Khadi Reforms and Development Programme
14	KVI	Khadi and Village Industries
15	KVIC	Khadi and Village Industries Commission
16	MGIRI	Mahatma Gandhi Institute for Rural Industrialization
17	MSE	Micro and Small Enterprises
18	MSE-CDP	Micro and Small Enterprises – Cluster Development Programme

Section 4: Acronym

Sl.No	Acronym	Description
19	MSME	Micro, Small and Medium Enterprises
20	MSME-DI	Micro, Small and Medium Enterprises- Development Institute
21	NMCP	National Manufacturing Competitiveness Programme
22	NSIC	National Small Industries Corporation
23	PMEGP	Prime Minister's Employment Generation Programme
24	QMS	Quality Management System
25	QTT	Quality Technology Tools
26	R and D	Research and Development
27	REGP	Rural Employment Generation Programme

Section 4:
Acronym

Sl.No	Acronym	Description
28	REMOT	Rejuvenation, Modernisation and Technology Upgradation of the Coir Industry
29	RGUMY	Rajiv Gandhi Udyami Mitra Yojana
30	SDP	Skill Development Programme
31	SFURTI	Scheme of Fund for Regeneration of Traditional Industries

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
1	[1.1.1] Credit provided with guarantee under Credit Guarantee Scheme	This is for facilitation of credit flow to MSMEs. Loans are covered under Credit Guarantee Scheme	Amount of loan cover under Credit Guarantee Scheme	Success will be measured by the amount of loan cover under Credit Guarantee Scheme	The scheme is implemented by DC(MSME)
2	[1.1.2] No. of MSE units awarded Performance & Credit rating	Receipt of credit rating certificate from the empanelled Rating agency.	No. of MSE units awarded Performance & Credit Rating	Success will be measured by receipt of credit rating certificate from the empanelled Rating agency.	The scheme is implemented through NSIC.
3	[1.2.1] Term loan facilitated for technological up-gradation through CLCSS	Improving competitiveness of MSMEs. Subsidy is disbursed to MSMEs through banks after availing term loan	Disbursement of subsidy to MSMEs through banks after availing term loan	Success will be measured by Number of MSE units that have been disbursed subsidy.	The scheme is implemented by DC (MSME)
4	[1.2.2] Enhancing competitiveness of MSMEs with Lean Manufacturing techniques	Increase in productivity of MSMEs after one year.	Increase in productivity of MSMEs after one year.	Success will be measured by number of new units that have registered increase in productivity after one year.	The Scheme is implemented by DC, MSME
5	[1.3.1] Implementation of Integrated Programme in a cluster	Number of clusters where at least 3 NMCP programmes are implemented	Number of clusters where at least 3 NMCP programmes are implemented	Success will be measured by the number of clusters where at least 3 NMCP programmes are implemented	The Scheme is implemented by DC, MSME

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
6	[1.3.2] CFCs commissioned under MSE- CDP	Complete Common Facility Centres in all respect and dedicated to entrepreneurs.	Complete Common Facility Centres in all respect and dedicated to entrepreneurs	Success will be measured by the number of new clusters in which Common Facility Centres have been completed in all respect and dedicated to entrepreneurs	This is implemented by DC, MSME
7	[1.3.3] SFURTI Clusters which have registered increase in production during the year	KVI & Coir clusters are developed under the Scheme. It is expected that production in these clusters as a result of these interventions.	The number of clusters which have registered an increase in value of production during the year	Success will be measured by the number of clusters which have registered an increase in value of production during the year	The Scheme is implemented by KVIC and Coir Board as nodal agencies.
8	[1.3.4] Identification of potential clusters for development under SFURTI	Potential KVI and Coir clusters will be identified by KVIC & Coir Board and will be approved by the Steering Committee of the Ministry.	Potential clusters are to be identified by KVIC & Coir Board for the development under SFURTI	Success will be measured by the number of potential clusters identified for the development under SFURTI	The scheme will be revamped for implementation during 12th Plan period.
9	[1.3.5] Increasing earnings of village industry artisans in clusters over the previous year	Interventions under SFURTI are expected to enhance the earnings of the village industry artisans in clusters.	Percentage increase in average earning (over the previous year) of Village Industry artisans in clusters already developed under SFURTI	Success will be measured by the percentage increase in average earning (over the previous year) of Village Industry artisans in clusters already developed under SFURTI	Clusters are developed under SFURTI by KVIC & Coir Board
10	[1.4.1] Quality improvement through QMS/QTT	Increase in quality of processes/ products of MSMEs	Increase in quality of processes/ products of MSMEs	Number of new units	The Scheme is being implemented by O/o DC (MSME)

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
11	[1.4.2] Introducing new product/ process designs in MSEs	Increase in sales of new products or improved products.	Increase in sales of new products or improved products.	Number of new units	The Scheme is being implemented by O/o DC (MSME)
12	[1.4.3] Increasing energy efficiency in MSMEs	Savings in energy cost to MSMEs.	Savings in energy cost to MSMEs	Number of new units	The Scheme is being implemented by O/o DC (MSME)
13	[1.4.4] ICT adoption in MSME clusters	ICT adoption by units for efficiency and competitiveness.	ICT adoption by units for efficiency and competitiveness	Number of new units	The Scheme is being implemented by O/o DC (MSME)
14	[1.4.5] Incubation of new business ideas	New business ideas facilitated for commercialization	New business ideas facilitated for commercialization	Number of new ideas	The Scheme is being implemented by O/o DC (MSME)
15	[1.5.1] Entrepreneurs provided support for participation in International Fairs/ Exhibitions	Participation by Entrepreneurs/ units in international trade fairs/exhibitions	Participation by Entrepreneurs/ units in international trade fairs/exhibitions	Number of entrepreneurs	The Scheme is being implemented by O/o DC (MSME)

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
16	[1.5.2] Number of Domestic Fairs & Exhibitions organized/ co-sponsored	Organization or co-sponsoring of domestic trade fairs and exhibitions for MSMEs	Organization or co-sponsoring of domestic trade fairs and exhibitions for MSMEs	Number of domestic fairs/exhibitions	The Scheme is being implemented by O/o DC (MSME)
17	[1.5.3] Design Information & Monitoring System for implementation of procurement policy	Putting in place a system for monitoring implementation of procurement policy	Putting in place a system for monitoring implementation of procurement policy	Preparing a road map for implementation/monitoring	The Scheme is being implemented by O/o DC (MSME)
18	[2.1.1] New units sanctioned in 2013-14	Banks sanction cases recommended under PMEGP by District level Task Force for extending credit.	New units are sanctioned by banks after being recommended by District level Task Force.	Success will be measured by the new units for which loan is sanctioned by banks after being recommended by District level Task Force.	PMEGP is implemented by KV/C State Offices, State KVI Boards and DICs
19	[2.1.2] New units sanctioned in 2013-14 in NER	New units are sanctioned by banks on the basis of recommendations of District level Task Force.	New units are sanctioned loans by banks after being recommended by District level Task Force.	Success will be measured by the new units for which loan is sanctioned by banks after being recommended by District level Task Force.	PMEGP is implemented by KV/C State Offices, State KVI Boards and DICs
20	[2.1.3] Physical verification of the PMEGP units in which subsidy has been transferred to beneficiary accounts during 2009-10 or earlier	Physical verification of 3 year old cases are carried out by KV/C through outsourced agencies.	Percentage of units in which subsidy has been transferred to beneficiary accounts under PMEGP during 2009-10 or earlier that have been physically verified	Success will be measured by the percentage of units (in which subsidy has been transferred to beneficiary accounts under PMEGP during 2009-10 or earlier) that have been physically verified	PMEGP is implemented by KV/C State Offices, State KVI Boards and DICs.

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
21	[2.1.4] PME GP units in which subsidy is transferred to beneficiary's account during 2013-14	After the recommendation of the District Level Task Force, Banks sanction loans against the units. Beneficiary undergo EDP training and first disbursement is made by Banks after which subsidy claims are sent to Nodal branches. Noda Branches then settle the claims and the amount is transferred to financing branch and kept as TDR in Beneficiary's account.	Number of units in which subsidy has been transferred to beneficiary accounts under PME GP during 2013-14	Success will be measured by the number of units (in which subsidy has been transferred to beneficiary accounts under PME GP during 2013-14)	The Scheme is implemented by KVIC as Nodal agency.
22	[2.1.5] PME GP units where women entrepreneurs assisted during 2013-14	Women entrepreneurs enjoy benefits under special category under PME GP with higher subsidy and lower beneficiary contribution as compared to general category.	Number of units in which subsidy has been transferred to beneficiary accounts under PME GP during 2013-14	Success will be measured by the number of units (in which subsidy has been transferred to beneficiary accounts under PME GP during 2013-14)	The Scheme is implemented by KVIC, State KVI Boards and DICs. KVIC is the national level nodal agency
23	[2.1.6] Employment generated by units assisted during the year 2013-14	PME GP creates employment in the non-farm sector by providing assistance to un-employed youth and artisans to set up micro enterprises.	Number of employment opportunities created in units against which margin money subsidy had been transferred to beneficiaries accounts during the year	Success will be measured by the number of employment opportunities created in units against which margin money subsidy had been transferred to beneficiaries accounts during the year	The Scheme is implemented by KVIC as Nodal agency

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
24	[2.2.1] Udyamis registered under the scheme	The prospective Udyamis get registered for handholding by Udyami Mitras. For this purpose the Udyami Mitras are provided handholding charges at prescribed rates.	Registration of Udyamis by Udyami Mitras.	Number of Udyamis registered by Udyami Mitras for providing handholding support	The Scheme would facilitate creation of new enterprises
25	[3.1.1] New Units set up under Infrastructural Development Programme	New MSMEs set up by entrepreneurs which have started production.	New MSMEs set up by entrepreneurs which have started production.	Number of new units	The Scheme is being implemented by O/o DC (MSME)
26	[3.1.2] New/upgraded Industrial Estates made functional	New/upgraded clusters that have started functioning	New/upgraded clusters that have started functioning	Number of new clusters	The Scheme is being implemented by O/o DC (MSME)
27	[4.1.1] Number of persons trained	The three national EDIs and their PIs, Tool Rooms under O/o DC (MSME), NSIC, KVIC, Coir Board provide skill development and entrepreneur skill development training through out the country	Training through programmes in skill development and entrepreneur skill development	The number of persons targeted for training and actual successful completion of training by the trainees	The skill development and entrepreneur skill development training equip the trainees with skill/enhanced skill for self-employment as well as wage-employment

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
28	[4.1.3] Number of persons trained in NER and difficult areas	To equip the youth in the states of Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland, Tripura, Sikkim, J&K and Naxalite affected states through skill development and entrepreneur skill development programmes to enable them to become self-employed/wage-employed.	Training of youth in the North Eastern Region in skill development and entrepreneur skill development programmes	The number of persons successfully completed training in the states of Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland, Tripura, Sikkim, J&K and Naxalite affected states	The North Eastern Region is given special emphasis for training as many number of people as possible by providing requisite budgetary provisions
29	[5.1.1] Gross sales of khadi	Sale of Khadi during the financial year as reported by KVIC.	Gross sale of Khadi during the financial year as reported by KVIC.	Success will be measured by value of sale of Khadi during the financial year as reported by KVIC.	This will be based upon figures reported by KVIC
30	[5.1.2] Annual gross sale of khadi as against production value of khadi	This will inter-alia indicate the extent of value that has been added to Khadi production	Sale of khadi during the year expressed as a Percentage of production value of khadi during the financial year.	Success will be measured by value of gross sale of khadi during the year expressed as a Percentage of production value of khadi during the financial year.	This will be based upon figures supplied by KVIC
31	[5.1.3] Increase in village industries production	The Schemes and interventions through KVIC are expected to enhance the Village Industry production as compared to the previous year.	The percentage increase in Village Industry production as compared to the previous year.	success will be measured by the percentage increase in Village Industry production as compared to the previous year.	This will be on the basis of data compiled by KVIC

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
32	[5.2.1] Coir units assisted under REMOT	Tiny/household units are provided subsidy assistance under the scheme of Rejuvenation, Modernisation and Technology Upgradation(REMOT) of Coir Industry.	Units which have been sanctioned loans by banks under REMOT	Number of units which have been sanctioned loans by banks under REMOT	The scheme is implemented by Coir Board
33	[5.2.3] Export of Coir and Coir products	This will capture the progress on export of all coir products by coir Industry.	Export of all coir products by coir Industry.	Success will be measured by the value of Export of all coir products by coir Industry.	This will be on the basis of data compiled by Coir Board
34	[5.2.4] Development/popularisation of versatile coir processing equipments	Central Coir Research Institute is an R&D Institute under Coir Board who develop equipments	new versatile coir processing equipments that have been developed/popularized by Coir Board.	Success will be measured by the number of new versatile coir processing equipments that have been developed/popularized by Coir Board.	The technology developed by Central Coir Research Institute will be popularized by the Coir Board
35	[5.2.5] Demonstration of new technology through R&D intervention	Demonstrations of new technology/ products developed through R&D intervention.	successful demonstrations of new technology/ products developed through R&D intervention.	Success will be measured by the number of successful demonstrations of new technology/ products developed through R&D intervention.	This will be done by the Coir Board
36	[5.3.1] Support to incubatees	Incubatees are provided with technical support and handholding from MGIRI.	Incubatees that have been provided with technical support and handholding from MGIRI.	Success will be measured by the number of incubatees that have been provided with technical support and handholding from MGIRI.	MGIRI is a national level institute engaged in the task of accelerating the process of rural industrialisation

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
Central Government		Ministry	Ministry of Finance	[1.1.1] Credit provided with guarantee under Credit Guarantee Scheme	Credit is to be provided by the bank		To earmark 10% of net bank credit to Micro sector under priority sector without collateral security	The target would not be achieved
				[2.1.1] New units sanctioned in 2013-14	Directions to Banks to sanction loans to PMEGP applicants on priority	New Micro Enterprises cannot be created without sanction of loan from the Banks.	As per requirements of the PMEGP beneficiaries.	Targets would not be achieved
				[2.1.2] New units sanctioned in 2013-14 in NER				
				[5.2.1] Coir units assisted under REMOT	Directions to Banks to sanction loans to REMOT applicants on priority.	New Micro enterprises cannot be set up without sanction of loan from the Banks.	As per the requirement of REMOT beneficiaries.	
State Government	Assam	Public Sector units (PSU'S)	State Departments	[1.3.1] Implementation of Integrated Programme in a cluster	Provide necessary infrastructure support. With regard to CFCs active participation in project implementation and their upfront contribution.	Provision of infrastructural facilities like power, roads and water are the responsibility of the State Governments. Delay in upfront contribution and participation projects are delayed.	As per the requirement of clusters/CFCs	
				[1.3.2] CFCs commissioned under MSE- GDP [1.3.1] Implementation of Integrated Programme in a	Directions to District Collectors/DMs and State GM-DIC and State KVIBs for timely	Applications under PMEGP are to be gathered and processed by the GM-DIC/State KVIBs and	Full cooperation and support from the State Government including monitoring at senior	The Targets would not be achieved

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
			Others	cluster [1.3.2] OFCs commissioned under MSE- CDP [1.3.1] Implementation of Integrated Programme in a cluster	processing approval of Applications. Provide necessary infrastructure support. With regard to OFCs active participation in project implementation and their upfront contribution.	approved by Task Force under District Collector/DM Provision of infrastructural facilities like power, roads and water are the responsibility of the State Governments. Delay in upfront contribution and participation projects are delayed.	level As per the requirement of clusters/OFCs	The Targets would not be achieved
				[1.3.2] OFCs commissioned under MSE- CDP [2.1.1] New units sanctioned in 2013-14	Directions to District Collectors/Districts and State KVIBs for timely processing approval of Applications.	Applications under PMEGP are to be gathered and processed by the GM-DIC/State KVIBs and approved by Task Force under District Collector/DM	Full cooperation and support from the State Government including monitoring at senior level	The Targets would not be achieved
				[2.1.2] New units sanctioned in 2013-14 in NER				

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
1 Strengthening the entrepreneurial base in the country in micro enterprises sector	KVIC, State Industries Department, State KVI Boards and banks	Number of new entrepreneurs provided margin money subsidy to set up micro enterprises	Number	55135	20374	54000	60000	65000
2 The existing units would improve their competitiveness globally.	M/o Commerce, Export Promotion Councils	Increase in exports	%	15	15	15	15	15
3 Growth in Khadi and Village Industries production	KVIC and Khadi institutions	Estimated production figures (Value in Rs. crore)	Rs. in Crore	21852	18012	28695	31500	34500
4 Increasing production and export of Coir products	Coir Board, and Coir Exporters	Coir fibre production (quantity in lakh MT)	Lakh MT	5.32	6.10	6.71	6.71	7.00
5 Increasing production and export of Coir products	Coir Board, and Coir Exporters	Coir Exports	Rs. crore	1053	831	900	950	1000
6 Increasing earnings of Village Industry artisans in clusters	KVIC, State Industries Departments, State KVI Boards	Increase in wage earnings of Village Industries artisans w.r.t. base year 2008-09 (%)	%	40	45	50	55	60
7 Making available skilled manpower to the MSME Sector for increase in productivity and self wage employment	Three National level EDIs & their Plis, Tool Rooms of O/o DC, MSME, KVIC, Coir Board & NSIC	No. of persons trained	Number in Lakh	4.08	4.43	4.70	5.00	5.30

