



Government of India

R F D

(Results-Framework Document)
for

Ministry of Panchayati Raj

(2013-2014)

Section 1: Vision, Mission, Objectives and Functions

Vision

Strengthening of democracy at grassroot level to attain decentralised & participatory local self-government through Panchayati Raj Institutions (PRIs).

Mission

Empowerment, enablement and accountability of PRIs to ensure inclusive development with social justice, and effective and efficient delivery of public services.

Objectives

- 1 Empowerment - (i) Progressive devolution of Functions, Funds and Functionaries (3Fs) upon the Panchayati Raj Institutions (PRIs).
- 2 Empowerment - (ii) Increasing participation of women/other disadvantaged groups in decision making through Gram Sabha and various Panchayat Committees.
- 3 Empowerment - (iii) Implementation of Panchayat Extension to Scheduled Areas Act (PESA), 1996.
- 4 Enablement - (i) Developing Strong Panchayati Raj system through building the organization capacity of PRIs and the professional capacity of Elected Representatives and Official functionaries so that they can perform their mandated roles effectively.
- 5 Enablement - (ii) Institutionalizing and using integrated decentralized participatory planning through the PRIs and DPCs for convergence of plethora of schemes and pooling of diverse resources for better outcomes.
- 6 Enablement - (iii) Mitigating regional backwardness through PRIs under Backward Regions Grant Fund (BRGF) programme.
- 7 Accountability - (i) Supporting Panchayats to achieve transparency and accountability in their functioning.
- 8 Accountability - (i) Strengthen Gram Sabhas to function effectively as the basic forum of people's participation.

Functions

- 1 All matters relating to Panchayati Raj (PR) and PRIs,
- 2 DPCs and Integrated Decentralized Participatory Planning.
- 3 Implementation of Panchayat Extension to Scheduled Area (PESA) Act, 1996.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
						28/02/2014	15/03/2014	20/03/2014	25/03/2014	31/03/2014
[1] Empowerment - (i) Progressive devolution of Functions, Funds and Functionaries (3Fs) upon the Panchayati Raj Institutions (PRIs).	10.00	[1.1] Incentivizing States for Devolution.	[1.1.1] Ranking States/ UTs as per the extent of Devolution.	Date	5.00					
			[1.1.2] States which have shown increase in devolution.	No. of States	5.00	15	10	8	4	2
			[2.1.1] To follow up the amendment to Article 243D of the Constitution.	Notices sent to Parliament	1.00	2	1	0	0	0
[2] Empowerment - (ii) Increasing participation of women/other disadvantaged groups in decision making through Gram Sabha and various Panchayat Committees.	3.00	[2.2] Support States in preparing a framework to make Gram Sabhas and Panchayat inclusive and enhance participation of women, SCs & STs	[2.2.1] Development of a strategy in consultation with States to enhance participation of Women, SCs and STs in Gram Sabha and Panchayats.	No. of State preparin g framework	2.00	12	10	8	6	4
			[3.1.1] State laws to become PESA compliant.	Number of States	7.00	9	7	5	3	2
			[3.2.1] Finalization of recommendations by the Harmonization Committee.	Number of Ministries	5.00	5	4	3	2	1
[3] Empowerment - (iii) Implementation of Panchayat Extension to Scheduled Areas Act (PESA), 1996.	15.00	[3.3] To pursue the concerned Union Ministries i.e. (i) Environment & Forests,	[3.3.1] Number of Ministries that have amended their subject laws.	Number of Ministries	3.00	5	4	3	2	1

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[4] Enablement - (i) Developing Strong Panchayati Raj system through building the organization capacity of PRIs and the professional capacity of Elected Representatives and Official functionaries so that they can perform their mandated roles effectively.	22.00	(ii) Tribal Affairs, (iii) Rural Development, (iv) Coal and (v) Mines, to examine the recommendations and amend the Subject Law to make them PESA Compliant.								
		[4.1] Pursue States in preparation of need based plan under RGPSA.	[4.1.1] Need based perspective and annual plan prepared by the States.	No. of States (Plans received)	4.00	15	12	10	8	6
		[4.2] Approval of State Proposals under RGPSA Scheme.	[4.2.1] State proposals sanctioned.	No. of States	4.00	15	12	10	8	6
		[4.3] Capacity Building of functionaries and Elected Representatives (ERs) of PRIs through Training	[4.3.1] Capacity Building Programmes to be organized for training of ERs & Panchayat functionaries.	No. of ERs & Funct. (in lakhs)	4.00	10	8	7	6	5
		[4.4] Pursue States for adopting distance mode training in capacity building programme for enhancing the coverage of training.	[4.4.1] Adoption of distance training methods in training programmes by the States.	No. of States	2.00	10	8	6	5	4
		[4.5] Provide Infrastructure support to GPs through Construction of Panchayat Ghars (PGs).	[4.5.1] Sanctions issued for Constructing Panchayat Ghars (PGs) where it is	No. of Panchayat at Ghars	4.00	800	600	400	300	200

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
			not available							
		[4.6] Strengthening the Capacities of SIRDs, Training Institutions at different levels.	[4.6.1] Sanction of State Panchayat Resource Centres (SPRCs).	No. of SPRCs	2.00	15	12	10	8	6
			[4.6.2] Sanction of District Panchayat Resource Centres (DPRCs) (new or strengthen)	No. of DPRCs	2.00	20	15	12	10	7
[5] Enablement - (ii) Institutionalizing and using integrated decentralized participatory planning through the PRIs and DPOs for convergence of plethora of schemes and pooling of diverse resources for better outcomes.	10.00	[5.1] Pursue the States to prepare decentralized participatory plans in non-BRGF districts.	[5.1.1] Number of District Plans prepared in Non-BRGF District.	No. of District Plans	5.00	50	40	30	20	10
		[5.2] Pursue the States to decentralized participatory plans in BRGF districts.	[5.2.1] Number of District Plans prepared in BRGF District.	No. of District Plans	5.00	270	250	240	210	170
		[6.1] Expeditiously process the eligible plan proposals under BRGF.	[6.1.1] Complete the processing within 15 days of receipt of proposals complete in all respects from the States.	No. of Days	5.00	15	18	21	24	25
[6] Enablement - (iii) Mitigating regional backwardness through PRIs under Backward Regions Grant Fund (BRGF) programme.	10.00	[6.2] Publish the sanction orders on MoPR portal for public view after receipt of payment advice.	[6.2.1] Within 2 Days.	No. of Days	3.00	2	4	6	8	10

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
[7] Accountability - (i) Supporting Panchayats to achieve transparency and accountability in their functioning.	10.00	[6.3] Fully transfer the Budget allocation to States/ Districts.	[6.3.1] Utilization of Budget Allocation.	Percentage of Allocation	2.00	100	90	80	70	60
		[7.1] Transparency and accountability through e-enablement by adoption of applications developed under Panchayat Enterprises Suits (PES) by States/ UTs.	[7.1.1] Adoption by States/UTs.	Number of States/UTs	5.00	25	22	20	15	10
		[7.2] Transparency and accountability through incentivization under PEAIS.	[7.2.1] Selection of Panchayats for Award.	No. of States that select Panch.	5.00	20	18	15	12	10
[8] Accountability - (i) Strengthen Gram Sabhas to function effectively as the basic forum of people's participation.	5.00	[8.1] Pursue with States/UTs to issue guidelines for Gram Sabha meetings.	[8.1.1] States/UTs to issue instructions for effective Gram Sabha meeting.	Number of States/UTs	5.00	15	12	10	8	6
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	2.0	05/03/2014	06/03/2014	07/03/2014	08/03/2014	11/03/2014
		Timely submission of Results for 2012-13	On-time submission	Date	1.0	01/05/2013	02/05/2013	03/05/2013	06/05/2013	07/05/2013
* Transparency/Service delivery Ministry/Department	3.00	Independent Audit of implementation of Citizens' Charter (CCC)	% of implementation	%	2.0	100	95	90	85	80
		Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	1.0	100	95	90	85	80

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
* Administrative Reforms	6.00	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	1.0	100	95	90	85	80
		Implement ISO 9001 as per the approved action plan	% of implementation	%	2.0	100	95	90	85	80
		Implement Innovation Action Plan (IAP)	% of milestones achieved	%	2.0	100	95	90	85	80
		Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	1.0	27/01/2014	28/01/2014	29/01/2014	30/01/2014	31/01/2014
* Improving Internal Efficiency/Responsiveness.	2.00	Update departmental strategy to align with 12th Plan priorities	Timely updation of the strategy	Date	2.0	10/09/2013	17/09/2013	24/09/2013	01/10/2013	08/10/2013
* Ensuring compliance to the Financial Accountability Framework	1.00	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG, during the year.	%	0.25	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC, during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	0.25	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament	Percentage of outstanding ATRs disposed off during the year.	%	0.25	100	90	80	70	60

* Mandatory Objective(s)

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		before 31.3.2012	year.							

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[1] Empowerment - (i) Progressive devolution of Functions, Funds and Functionaries (3Fs) upon the Panchayati Raj Institutions (PRIs).	[1.1] Incentivizing States for Devolution.	[1.1.1] Ranking States/ UTs as per the extent of Devolution.	Date	28/02/2012	28/02/2013	28/02/2014	28/02/2015	28/02/2016
		[1.1.2] States which have shown increase in devolution.	No. of States	--	--	15	20	25
[2] Empowerment - (ii) Increasing participation of women/other disadvantaged groups in decision making through Gram Sabha and various Panchayat Committees.	[2.1] To increase the reservation of women from 33% to 50% in PRIs.	[2.1.1] To follow up the amendment to Article 243D of the Constitution.	Notices sent to Parliament	--	--	2	--	--
	[2.2] Support States in preparing a framework to make Gram Sabhas and Panchayat inclusive and enhance participation of women, SCs & STs	[2.2.1] Development of a strategy in consultation with States to enhance participation of Women, SCs and STs in Gram Sabha and Panchayats.	No. of State preparing framework	--	--	12	--	--
[3] Empowerment - (iii) Implementation of Panchayat Extension to Scheduled Areas Act (PESA), 1996.	[3.1] Monitoring of States to become their Laws PESA compliant.	[3.1.1] State laws to become PESA compliant.	Number of States	9	9	9	9	9
	[3.2] To pursue the Ministry of Law & Justice to expedite the recommendations of the Harmonization Committee.	[3.2.1] Finalization of recommendations by the Harmonization Committee.	Number of Ministries	--	--	5	5	5

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[4] Enablement - (i) Developing Strong Panchayati Raj system through building the organization capacity of PRIs and the professional capacity of Elected Representatives and Official functionaries so that they can perform their mandated roles effectively.	[3.3] To pursue the concerned Union Ministries i.e. (i) Environment & Forests, (ii) Tribal Affairs, (iii) Rural Development, (iv) Coal and (v) Mines. to examine the recommendations and amend the Subject Law to make them PESA Compliant.	[3.3.1] Number of Ministries that have amended their subject laws.	Number of Ministries	--	--	5	5	5
	[4.1] Pursue States in preparation of need based plan under RGPSA.	[4.1.1] Need based perspective and annual plan prepared by the States.	No. of States (Plans received)	--	--	22	25	25
	[4.2] Approval of State Proposals under RGPSA Scheme.	[4.2.1] State proposals sanctioned.	No. of States	--	--	22	25	25
	[4.3] Capacity Building of functionaries and Elected Representatives (ERs) of PRIs through Training	[4.3.1] Capacity Building Programmes to be organized for training of ERs & Panchayat functionaries.	No. of ERs & Funct. (in lakhs)	10	6	10	10	12
	[4.4] Pursue States for adopting distance mode training in	[4.4.1] Adoption of distance training methods in training	No. of States	--	--	10	12	15

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[5] Enablement - (ii) Institutionalizing and using integrated decentralized participatory planning through the PRIs and DPCs for convergence of plethora of schemes and pooling of diverse resources for better outcomes.	capacity building programme for enhancing the coverage of training.	programmes by the States.						
	[4.5] Provide Infrastructure support to GPs through Construction of Panchayat Ghars (PGs).	[4.5.1] Sanctions issued for Constructing Panchayat Ghars (PGs) where it is not available	No. of Panchayat Ghars	940	1004	1000	800	800
	[4.6] Strengthening the Capacities of SIRDs, Training Institutions at different levels.	[4.6.1] Sanction of State Panchayat Resource Centres (SPRCs).	No. of SPRCs	--	--	15	15	15
		[4.6.2] Sanction of District Panchayat Resource Centres (DPRCs) (new or strengthen)	No. of DPRCs	--	--	20	25	30
	[5.1] Pursue the States to prepare decentralized participatory plans in non-BRGF districts.	[5.1.1] Number of District Plans prepared in Non-BRGF District.	No. of District Plans	--	--	50	50	50
[6] Enablement - (iii) Mitigating regional backwardness through PRIs under Backward	[5.2] Pursue the States to decentralized participatory plans in BRGF districts.	[5.2.1] Number of District Plans prepared in BRGF District.	No. of District Plans	250	270	270	270	270
	[6.1] Expediently process the eligible plan proposals under	[6.1.1] Complete the processing within 15 days of receipt of	No. of Days	12	12	15	15	15

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
Regions Grant Fund (BRGF) programme.	BRGF.	proposals complete in all respects from the States.						
	[6.2] Publish the sanction orders on MoPR portal for public view after receipt of payment advice.	[6.2.1] Within 2 Days.	No. of Days	2	2	2	2	2
	[6.3] Fully transfer the Budget allocation to States/ Districts.	[6.3.1] Utilization of Budget Allocation.	Percentage of Allocation	100	100	100	100	100
[7] Accountability - (i) Supporting Panchayats to achieve transparency and accountability in their functioning.	[7.1] Transparency and accountability through enablement by adoption of applications developed under Panchayat Enterprises Suits (PES) by States/ UTs.	[7.1.1] Adoption by States/UTs.	Number of States/UTs	17	22	25	30	31
	[7.2] Transparency and accountability through incentivization under PEAIS.	[7.2.1] Selection of Panchayats for Award.	No. of States that select Panch.	--	--	20	22	25
[8] Accountability - (i) Strengthen Gram Sabhas to function effectively as the basic forum of people's participation.	[8.1] Pursue with States/UTs to issue guidelines for Gram Sabha meetings.	[8.1.1] States/UTs to issue instructions for effective Gram Sabha meeting.	Number of States/UTs	--	--	15	20	25

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
* Efficient Functioning of the RFD System	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	--	--	06/03/2014	--	--
	Timely submission of Results for 2012-13	On-time submission	Date	--	--	02/05/2014	--	--
* Transparency/Service delivery Ministry/Department	Independent Audit of implementation of Citizens' Charter	% of implementation	%	--	--	95	--	--
	Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	--	--	95	--	--
* Administrative Reforms	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--
	Implement ISO 9001 as per the approved action plan	% of implementation	%	--	--	95	--	--
	Implement Innovation Action Plan (IAP)	% of milestones achieved	%	--	--	95	--	--
	Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	--	--	15/10/2013	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	--	--	90	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	--	--	90	--	--
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
1	[1.1.1] Ranking States/ UTs as per the extent of Devolution.	A study to prepare "Panchayat Strengthening Index (PSI)" will be assigned to an independent agency. The study will carry out an assessment of the measures taken by the State Governments to strengthen the Panchayati Raj Institutions (PRIs).	Constitution has mandated State Governments to devolve powers to Panchayati Raj Institutions including 29 matters listed in the Eleventh Schedule to enable them to function as institutions of self-government. Further, States are expected to build the capacities of their Panchayats.	Since the year 2005-06, States who have ranked high on Devolution Index (DI) have been awarded incentive based on study on DI assigned to an independent agency. The scope of DI has been expended to take who account all the activities to strengthen Panchayats to prepare a Panchayat Strengthening Index.	-
2	[3.1.1] State laws to become PESA compliant.	State Governments Initiatives are important to make State Laws PESA compliant.	Acts/Laws have to be made PESA Compliant by the States. MoPR will ascertain whether it is PESA compliant or not.	Number of States have made their Panchayati Raj Act and subject laws PESA compliant .	Amendment of relevant Acts/Rules/Regulations/Instruction has to be carried out by respective State Governments Laws.
3	[3.2.1] Finalization of recommendations by the Harmonization Committee.	Report of Harmonization Committee will make recommendation on the Union laws that need to be modified to become PESA compliant.	Amendment of laws can be initiated on recommendations of Harmonization Committee.	Finalization of Report by Department of Legal Affairs.	-
4	[3.3.1] Number of Ministries that have amended their subject laws.	Role of Union Ministries is important to make Union laws PESA compliant.	Acts/laws have to be made PESA Compliant by the Union Ministries. MoPR will ascertain whether it is PESA compliant or not.	Number of Union Ministries who have made their subject laws PESA compliant.	The administrative jurisdiction on the other Union Act/Law pertains to the respective Ministries.

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

SI.No	Success indicator	Description	Definition	Measurement	General Comments
5	[4.1.1] Need based perspective and annual plan prepared by the States.	Since, the status of Panchayats varies across States, States need to undertake different activities to strengthen their Panchayati Raj systems with reference to their specific requirements and context, so that State can bring about needed changes to strengthen its PR system. States are expected to prepare plans to systematically strengthen their Panchayati Raj system.	A plan under RGPSA will detail out the goals, strategies, activities and budget under the scheme, along with progress to be made on devolution and accountability.	Number of plans prepared.	-
6	[4.2.1] State proposals sanctioned.	Plans will be sanctioned by the Central Executive Committee, RGPSA.	-	Number of States	-
7	[4.3.1] Capacity Building Programmes to be organized for training of ERs & Panchayat functionaries.	The CB needs of ERs / functionaries of PRIs are needed as they are expected to plan, take decisions and administer the Panchayats.	-	Number of States.	-
8	[4.4.1] Adoption of distance training methods in training programmes by the States.	Training to be provided to the ERs through Distance Mode such as Satellite based training.	Training through Distance mode.	Number of ERs trained.	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
9	[4.5.1] Sanctions issued for Constructing Panchayat Ghars (PGs) where it is not available	PRIs often lack basic office space, which is needed to enable them to function properly.	Panchayat Ghars and buildings where Gram Panchayat offices are held.	Number of Panchayat Ghars	-
10	[4.6.1] Sanction of State Panchayat Resource Centres (SPRCs).	Many states lack infrastructure for training which is main constraint in holding CB training program for Panchayats representative therefore this need to be address.	State Panchayat Resource Centres are centres to be established at SIRDs to guide the training programmes in the States.	Number of SPRCs.	-
11	[4.6.2] Sanction of District Panchayat Resource Centres (DPRCs) (new or strengthen)	Many states lack infrastructure for training which is main constraint in holding CB training program for Panchayats representative therefore this need to be address.	DPRCs are to be established at district level to manage the training programmes at the district level.	Number of DPRCs.	-
12	[5.1.1] Number of District Plans prepared in Non-BRGF District.	All the States are required to prepare Decentralized District Plan through District Planning Committees (DPCs) which consolidate the plans prepared by Gram Panchayats and municipalities.	Number of such Plans consolidated by DPCs etc.	Number of Plans	-
13	[5.2.1] Number of District Plans prepared in BRGF District.	DPCs are to consolidate and approve the plans prepared by local bodies into District Plan. In areas where Part-IX of the Constitution is not applicable, Planning and Implementation	Number of such Plans consolidated and approved by DPCs etc.	Number of Plans.	Under the Planning Commission guidelines of 25th August, 2006, the States are to prepare the State Plans on the basis of the decentralized district plans.

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
		Committees or equivalent bodies constituted for the purpose at the district level will consolidate and approve the District Plan.			MoPR monitors the preparation of District Plans in the 272 BRGF districts. This is for institutionalizing and using integrated decentralized participatory planning through the PRIs and DPCs for convergence of numbers of schemes and pooling of diverse resources for better outcomes.
14	[6.1.1] Complete the processing within 15 days of receipt of proposals complete in all respects from the States.	This is to expeditiously process the eligible grants.	Indicator will measure the number of days taken to process the releases on receipt of proposals complete in all respects.	No. of days.	-
15	[6.2.1] Within 2 Days.	Publishing within 2 days.	The sanction orders are published on the MoPR Portal in order to ensure transparency and quick communication to the implementing entities so that pro-active actions could be taken for quick transfer of funds and consequent expeditious implementation of the BRGF.	No. of days.	-

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
16	[6.3.1] Utilization of Budget Allocation.	BRGF funds are released to the State Governments as per their entitlement.	Fully transfer the Budget allocation to States/ Districts.	Percentage of Budget Allocation utilized.	-
17	[7.1.1] Adoption by States/UTs.	States are required to adopt the Panchayat Enterprise Suite (PES) applications to achieve the objectives of the project.	PES is umbrella software consisting of all 11 core common applications developed by MoPR for PRIs.	This indicator will measure the number of States that have adopted PES.	States that met their own applications with PES will meet the objective.
18	[7.2.1] Selection of Panchayats for Award.	Panchayats are given awards at the National level for performance after a systematic selection process on the basis of the State recommendations.	Best performing Panchayats are awarded under the scheme called PEAS.	Number of States that send recommendations.	-
19	[8.1.1] States/UTs to issue instructions for effective Gram Sabha meeting.	Gram Sabha is key to the inclusive self-governance and transparent & accountable functioning of the Gram Panchayats.	-	Number of Panchayats that follow this process.	-

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
Central Government		Ministry	Ministry of Tribal Affairs	[3.3.1] Number of Ministries that have amended their subject laws.	These Union Ministries are the nodal agencies for making amendment in the existing Union Laws make them PESA compliant.	These are the nodal Ministries.	From all the concerned Ministries.	PESA Act would remain unimplemented if Union Laws are not made PESA compliant.
			Ministry of Mines	[3.3.1] Number of Ministries that have amended their subject laws.				
			Ministry of Environment and Forests	[3.3.1] Number of Ministries that have amended their subject laws.				
			Ministry of Rural Development	[3.3.1] Number of Ministries that have amended their subject laws.				
			Ministry of Coal	[3.3.1] Number of Ministries that have amended their subject laws.				
			Ministry of Law and Justice	[3.3.1] Number of Ministries that have amended their subject laws.				
			Ministry of Communication and Information	[7.1.1] Adoption by States/UTs.	(i) DeitY should ensure that NIC/NICSI roll out	The project is being implemented through NIC and NICSI, which are within	(i) The GIS application should be developed on time. (ii) Operational issues	States will be reluctant to adopt the PES application(s) in their

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
			Ministry of Communication and Information	[7.1.1] Adoption by States/UTs.	GIS Applications in time. (ii) NIC should continuously accommodate the requirements (including mapping) of State Govts in these applications. (iii) NICS/NIC to depute their faculty to States on-demand. NIC should continuously accommodate the requirements (including mapping) of State Govts in these applications. • NICS/NIC to depute their faculty to States on-demand.	DeTY. The States will adopt the PES applications only if their requirements are met by these applications.	raised by States will need to address in a timely manner by NIC.	basic requirements would not be met.

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
1 Progressive devolution of Functions, Funds and Functionaries (3Fs) upon the Panchayati Raj Institutions (PRIs).	State Governments, central Ministries/ Departments dealing with social sectors and Panchayati Raj institutions.	Number of States where incremental devolution of powers to PRIs has taken place.	Number of States	13	13	13	13	13
2 Enhancing reservation for women in PRIs from 33% to 50%.	State Governments and Parliament of India.	Notices sent for introduction of bill to Parliament.	Number of Notices		2			
3 Enhanced compliance under PESA (Panchayat (Extension to Scheduled Areas) Act, 1996]	State Governments/Ministry of Tribal Affairs/ Ministry of Forest & Environment/ Department of Land Resources (Ministry of Rural Development)/ Law & Justice/Ministry of Coal/ Ministry of Mines.	PESA compliant laws in the States.	Percentage		25	50	75	100
4 Developing Strong Panchayati Raj system through building the organization capacity of PRIs and the professional capacity of Elected Representatives and official functionaries so that they can perform their mandated roles effectively.	All State Governments	Pursue States in preparation of need based plan under RGPSA	Number of Plan received			10	15	15

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
		State proposal sanctioned	Number of States			10	15	15
		Capacity Building Programmes to be organized	Participants Trained	10	6	10	10	12
		To pursue the State Governments for Constructing Panchayat Ghars where it is not available	No. of Panchayat sanctione	940	1004	1000	800	900
		Establishment of State Panchayat Resource Centres etc.	Number of States			10	10	10
5 Improved accountability and transparency in all aspects of Panchayats functioning through e-enablement and incentivization.	Ministry of Communications and Information Technology	Adoption of applications under PES by States/UTs	Number of States/UTs			25	30	31
		Selection of Panchayats for Award	Date			28/02/2014	28/02/2015	28/02/2016
6 Strengthen Gram Sabhas to function effectively as the basic forum of people's participation.	State Governments	State to issue instructions for effective Gram Sabha meeting	Number of States			15	20	25
7 Institutionalizing and using integrated decentralized participatory planning	All State Governments and Panchayati Raj Institutions.	Number of District Plans prepared in Non-BRGF Districts.	No. of District Plans	-	-	50	100	150

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
through the PRIs and DPCs for convergence of plethora of schemes and pooling of diverse resources for better outcomes.								
8 Mitigating regional backwardness through PRIs under BRGF.	Central Ministries, All State Governments and District Planning Committees	Number of District Plans prepared in BRGF Districts.	No. of District Plans	250	250	270	272	272
		Release of funds for dovetailing with other schemes to the implementing agencies for creation of required infrastructure at the Panchayat level.	Utilization of funds (in %)	100	100	100	100	100
		Development of a backwardness index to identify backward districts				TBD		