



Government of India

RFD

(Results-Framework Document)
for

Ministry of Shipping

(2013-2014)

Section 1: Vision, Mission, Objectives and Functions

Vision

Ports that provide world class maritime services and promotion of rapid growth of shipping and shipbuilding industry as well as inland waterways transportation in the country.

Mission

Enhancing capacity and efficiency of major ports; increase India's share in global shipping; promoting and strengthening the Indian shipping and shipbuilding industry; ensure safety and environmental sustainability of maritime shipping and national waterways; achieve optimum utilization of inland waterways and coastal shipping potential in the country.

Objectives

- 1 Capacity Augmentation of Major Ports
- 2 Improve Efficiency of Ports
- 3 Improve implementation of Port Projects.
- 4 Maintain/ improve draught of channels for berthing of ships
- 5 Increase tonnage under Indian flag
- 6 Formulation of Policies in Maritime Sector.
- 7 Updating regulatory framework
- 8 Promotion of Inland Waterways Transportation.
- 9 Improve performance of PSUs/Autonomous Organizations
- 10 Promotion of Shipbuilding Industry
- 11 Improvement of navigational aids
- 12 Improving safety of maritime shipping
- 13 Mitigating pollution levels in line with International standards.
- 14 Increase in skilled manpower in Maritime Sector.
- 15 Promotion of Coastal Shipping

Functions

- 1 Maritime shipping and navigation; provision of education and training for the mercantile marine.

Section 1: Vision, Mission, Objectives and Functions

- 2 Lighthouses and lightships.
- 3 Administration of the Indian Ports Act, 1908, (15 of 1908) and the Major Port Trusts Act, 1963 (38 of 1963) and ports declared as major ports.
- 4 Inland waterways and traffic thereon.
- 5 Organisation and maintenance of mainland islands and inter-island shipping services.
- 6 Legislation relating to shipping and navigation on inland waterways as regards mechanically propelled vessels and the carriage of passengers and goods on inland waterways.
- 7 Formulation of the privatization policy in the infrastructure areas of ports, shipping and inland waterways.
- 8 Legislation relating to and coordination of the development of minor and major ports.
- 9 The Development of township of Gandhidham.
- 10 Prevention and control of pollution arising from ships, shipwrecks and abandoned ships in the sea, including the port areas;
- 11 Administration of the Dock Workers (Regulation of Employment) Act, 1948 (9 of 1948) and the Schemes framed thereunder other than the Dock Workers (Safety, Health and Welfare) Scheme, 1961.
- 12 Enactment and administration of legislation related to prevention, control and combating of pollution arising from ships; and
- 13 Ship-building and ship-repair industry.
- 14 Floating craft industry.
- 15 Monitoring and combating of oil pollution in the port areas.
- 16 Shipping and navigation including carriage of passengers and goods on inland waterways declared by Parliament by law to be national waterways as regards mechanically propelled vessels, the rule of the road on such waterways.
- 17 Planning of Inland Water Transport.
- 18 To make shipping arrangements for and on behalf of the Government of India/Public Sector Undertakings/State Governments/State Government Public Sector Undertakings and autonomous bodies in respect of import of cargo on Free on Board/Free along Side and export on Cost and Freight/Cost Insurance and Freight basis.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
						31/10/2013	15/11/2013	30/11/2013	15/12/2013	31/12/2013
[1] Capacity Augmentation of Major Ports	13.00	[1.1] Setting up of two new major Ports.	[1.1.1] In-principle approval.	Date	5.00	31/10/2013	15/11/2013	30/11/2013	15/12/2013	31/12/2013
		[1.2] Construction of outer harbour at VOC Port, Tuticorin.	[1.2.1] Completion of Feasibility Report	Date	3.00	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014
		[1.3] Capacity Augmentation through PPP Projects.	[1.3.1] Final approval for PPP projects.	Nos	3.00	8	7	6	5	4
		[1.4] Capacity Augmentation through Non-PPP projects.	[1.4.1] Final approval for Non-PPP projects of ports.	Nos	2.00	06	05	04	03	02
[2] Improve Efficiency of Ports	8.00	[2.1] Reduced average turnaround time attributable to Port account	[2.1.1] No. of days	Days	2.00	2.48	2.51	2.57	2.59	2.61
		[2.2] Reduced average pre-berthing detention time attributable to Port account.	[2.2.1] No. of hours	Hours	2.00	9.50	9.55	11.63	11.66	11.70
		[2.3] Increase in Average Output per berth per day	[2.3.1] Achievement by end of financial year	Tonnes	2.00	11700	11600	11400	11200	11000
		[2.4] Average no. of moves per crane hour for container handling	[2.4.1] Achievement by end of financial year	Nos	2.00	24.00	23.90	23.50	23.20	23.00
[3] Improve implementation of Port Projects.	10.00	[3.1] Increase in total capacity in Major Ports including capacity addition during the year	[3.1.1] Total capacity achieved by the end of financial year	MMT	4.00	800	790	770	760	750
		[3.2] Dredging of channels	[3.2.1] Projects completed during the fin. Year	Nos	2.00	03	02	01	0	0
		[3.3] Independent port user satisfaction survey.	[3.3.1] Completion of survey.	Date	2.00	01/02/2014	15/02/2014	28/02/2014	15/03/2014	31/03/2014

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
						31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014
[4] Maintain/ improve draught of channels for berthing of ships	3.00	[3.4] To expedite existing port connectivity projects.	[3.4.1] Medium term action plan (5 years)	Date	2.00					
		[4.1] Dredging of Channels of Major Ports by Dredging Corporation of India	[4.1.1] Quantity/ volume of dredging material by end of financial year	MCM	2.00	50	48	45	42	40
		[4.2] Deepening of channels upto 14 meters	[4.2.1] No. of ports coming upto 14 meters draft	Nos.	1.00	4	3	2	1	0
[5] Increase tonnage under Indian flag	4.00	[5.1] Increase in share of Indian ships to overall trade	[5.1.1] Achievement of % share by end of financial year	%	2.00	1.05	1.03	1.02	1.01	1.00
		[5.2] Increase in tonnage under Indian ships.	[5.2.1] Achievement of Indian tonnage by end of financial year	Million GT	2.00	11.00	10.80	10.70	10.60	10.50
[6] Formulation of Policies in Maritime Sector.	8.00	[6.1] Land Policy	[6.1.1] Submission of Note for Cabinet.	Date	3.00	31/08/2013	30/09/2013	31/10/2013	30/11/2013	31/12/2013
		[6.2] Amendments to MCA.	[6.2.1] Submission of Note for Cabinet.	Date	3.00	30/11/2013	31/12/2013	31/01/2014	28/02/2014	31/03/2014
		[6.3] Captive Policy.	[6.3.1] Submission of Note for Cabinet.	Date	2.00	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014
[7] Updating regulatory framework	6.00	[7.1] Review of TAMP guidelines.	[7.1.1] Finalisation of new guidelines.	Date	3.00	31/01/2014	15/02/2014	28/02/2014	15/03/2014	31/03/2014
		[7.2] Ratification of International conventions on Maritime Labour Convention and Hazardous & Noxious Substances.	[7.2.1] Submission of Note for Cabinet.	Nos.	3.00	02	01	0	0	0
[8] Promotion of Inland Waterways Transportation.	10.00	[8.1] Maintenance of 2.5 m Least Available Depth	[8.1.1] Maintenance of LAD	Days	1.00	330	315	300	285	270

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
		(LAD) in Hadlia-Farakka stretch of National Waterways-1								
		[8.2] Maintenance of 2.5 m Least Available Depth (LAD) in Dubri-Pandu stretch of National Waterways-2	[8.2.1] Maintenance of LAD	Days	0.80	330	315	300	285	270
		[8.3] Maintenance of 2 m Least Available Depth (LAD) in Champakara & Udyogmandal canals, Cherai-Alappuzha stretch of National Waterways-3	[8.3.1] Maintenance of LAD	Days	0.70	330	315	300	285	270
		[8.4] NTPC Coal movement between Bay of Bengal and Barh by IWT.	[8.4.1] Signing of tripartite agreement	Date	1.00	15/02/2014	01/03/2014	10/03/2014	20/03/2014	31/03/2014
		[8.5] Construction of IWT Terminal at GR Jetty - 2 Kolkata	[8.5.1] Percentage of work Completed	%	1.00	100	95	90	85	80
		[8.6] Construction of IWT terminal at Allapuzha on NW-3	[8.6.1] Percentage of work Completed	%	1.00	70	65	60	55	50
		[8.7] setting up of River Information Services (RIS) system in Sagar-Farakka Stretch of NW-1.	[8.7.1] Percentage of work Completed	%	1.00	30	25	20	15	10
		[8.8] Construction of RO-RO jetty at Dhubri on NW-2.	[8.8.1] Percentage of work Completed	%	1.00	10	8	6	4	2
		[8.9] Cargo transportation on National Waterways over 2012-13.	[8.9.1] Increase in cargo transportation	Billion Ton-km	1.00	1.50	1.37	1.28	1.22	1.15

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[9] Improve performance of PSUs/Autonomous Organizations		[8.10] Transportation of foodgrains to Tripura through Indo-Bangladesh protocol route via Ashugan).	[8.10.1] Commencement of transportation.	Date	0.50	15/02/2014	01/03/2014	10/03/2014	20/03/2014	31/03/2014
		[8.11] Integrated National Waterways Grid Connectivity.	[8.11.1] Finalisation of master plan.	Date	1.00	31/12/2013	31/01/2014	28/02/2014	15/03/2014	31/03/2014
		[9.1] Disinvestment of Cochin Shipyard Limited	[9.1.1] Submission of Cabinet Note	Date	1.00	15/02/2014	28/02/2014	10/03/2014	20/03/2014	31/03/2014
		[9.2] Delegation of enhanced financial powers to Ports	[9.2.1] Approval by competent authority	Date	1.00	31/01/2014	15/02/2014	28/02/2014	15/03/2014	31/03/2014
[10] Promotion of Shipbuilding Industry	3.00	[10.1] Release of subsidy to complete cases received upto December, 2013	[10.1.1] Expenditure w.r.t. RE.	%	3.00	85	80	70	65	60
[11] Improvement of navigational aids	6.00	[11.1] Establishment of National AIS Network Phase-II.	[11.1.1] Installation	Date	2.25	28/02/2014	05/03/2014	10/03/2014	20/03/2014	31/03/2014
		[11.2] Automation of Chennai, Kochi, Vishakhapatnam & Kolkata LH Districts.	[11.2.1] Installation	Date	2.25	30/09/2013	31/10/2013	30/11/2013	31/12/2013	31/01/2014
		[11.3] Establishment of Navtex Chain.	[11.3.1] Supply of equipment.	Date	1.50	28/02/2014	05/03/2014	10/03/2014	20/03/2014	31/03/2014
[12] Improving safety of maritime shipping	4.00	[12.1] Safety Of Life At Sea (SOLAS).	[12.1.1] Installation of Vessel Traffic System in major Ports	Nos.	2.00	3	2	1	0	0
			[12.1.2] Safety audits to be carried out by ports for handling of hazardous/ noxious cargoes.	Nos.	2.00	4	3	2	1	0

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value			
						Excellent 100%	Very Good 90%	Good 80%	Poor 60%
[13] Mitigating pollution levels in line with International standards.	2.00	[13.1] Ratification of International Convention for control and management of ships - Ballast Water and Sediments.	[13.1.1] Submission of Note for Cabinet.	Date	1.00	31/01/2014	15/02/2014	28/02/2014	31/03/2014
		[13.2] Accession to International Convention on Civil Liability for Bunker Oil Pollution Damage 2001	[13.2.1] Submission of Note for Cabinet.	Date	1.00	31/01/2014	15/02/2014	28/02/2014	31/03/2014
		[14.1] Strengthening of Indian Maritime University	[14.1.1] Utilisation of Plan expenditure	%	1.00	100	90	80	75
		[14.2] Increase in training berths in ships to facilitate Certificate of Competency (COC) for more officers.	[14.2.1] Issue of COC to entry level Engineers/Nautical officers after sea training	Nos.	1.00	6600	6500	6000	5700
[14] Increase in skilled manpower in Maritime Sector.	4.00	[14.3] Study to assess future skilled manpower requirements in the ports, shipping and inland waterways sector as well as shipbuilding, dredging and coastal shipping.	[14.3.1] Submission of study report	Date	1.00	31/01/2014	15/02/2014	28/02/2014	31/03/2014
		[14.4] Research support group to monitor, support and coordinate the activities of Maritime Training Institute.	[14.4.1] Formation of group.	Date	1.00	31/08/2013	30/09/2013	31/10/2013	31/12/2013
[15] Promotion of Coastal Shipping	2.00	[15.1] Formulation of Incentive scheme for promotion of coastal shipping.	[15.1.1] Finalisation of EFC Memo.	Date	1.00	31/01/2014	15/02/2014	28/02/2014	31/03/2014

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[15.2] Development of berths/jetties for coastal shipping	[15.2.1] Finalisation of EFC Memo for scheme of assistance.	Date	1.00	31/01/2014	15/02/2014	28/02/2014	15/03/2014	31/03/2014
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	2.0	05/03/2014	06/03/2014	07/03/2014	08/03/2014	11/03/2014
		Timely submission of Results for 2012-13	On-time submission	Date	1.0	01/05/2013	02/05/2013	03/05/2013	06/05/2013	07/05/2013
		Independent Audit of implementation of Citizens'/Clients' Charter (CCC)	% of implementation	%	2.0	100	95	90	85	80
* Transparency/Service delivery Ministry/Department	3.00	Independent Audit of implementation of Public Grievance Redressal System	% of implementation	%	1.0	100	95	90	85	80
		Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	1.0	100	95	90	85	80
		Implement ISO 9001 as per the approved action plan	% of implementation	%	2.0	100	95	90	85	80
		Implement Innovation Action Plan (IAP)	% of milestones achieved	%	2.0	100	95	90	85	80
		Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	1.0	27/01/2014	28/01/2014	29/01/2014	30/01/2014	31/01/2014
* Improving Internal Efficiency/Responsiveness.	2.00	Update departmental strategy to align with 12th Plan priorities	Timely update of the strategy	Date	2.0	10/09/2013	17/09/2013	24/09/2013	01/10/2013	08/10/2013
* Ensuring compliance to the Financial Accountability Framework	1.00	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of	%	0.25	100	90	80	70	60

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value			
						Excellent	Very Good	Good	Fair
						100%	90%	80%	70%
			Report to Parliament by CAG .during the year.						
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	0.25	100	90	80	70
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	0.25	100	90	80	70
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	0.25	100	90	80	70

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[1] Capacity Augmentation of Major Ports	[1.1] Setting up of two new major Ports.	[1.1.1] In-principle approval.	Date	--	--	15/11/2013	--	--
	[1.2] Construction of outer harbour at VOC Port, Tuticorin.	[1.2.1] Completion of Feasibility Report	Date	--	--	31/01/2014	--	--
	[1.3] Capacity Augmentation through PPP Projects.	[1.3.1] Final approval for PPP projects.	Nos	10	8	7	8	9
	[1.4] Capacity Augmentation through Non-PPP projects.	[1.4.1] Final approval for Non-PPP projects of ports.	Nos	2	9	5	5	6
	[2.1] Reduced average turnaround time attributable to Port account	[2.1.1] No. of days	Days	2.61	2.59	2.51	2.48	2.45
[2] Improve Efficiency of Ports	[2.2] Reduced average pre-berthing detention time attributable to Port account.	[2.2.1] No. of hours	Hours	11.13	12.86	9.55	9.50	9.45
	[2.3] Increase in Average Output per berth per day	[2.3.1] Achievement by end of financial year	Tonnes	11112	11749	11600	11700	11800
	[2.4] Average no. of moves per crane hour for container handling	[2.4.1] Achievement by end of financial year	Nos	23	24.05	23.90	24	24.5
	[3.1] Increase in total capacity in Major Ports including capacity addition during the year	[3.1.1] Total capacity achieved by the end of financial year	MMT	695	745	790	840	890
[3] Improve implementation of Port Projects.	[3.2] Dredging of channels	[3.2.1] Projects completed during the fin. Year	Nos	2	2	2	2	2

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	[3.3] Independent port user satisfaction survey.	[3.3.1] Completion of survey.	Date	--	--	15/02/2014	--	--
	[3.4] To expedite existing port connectivity projects.	[3.4.1] Medium term action plan (5 years)	Date	--	--	31/01/2014	--	--
[4] Maintain/ improve draught of channels for berthing of ships	[4.1] Dredging of Channels of Major Ports by Dredging Corporation of India	[4.1.1] Quantity/ volume of dredging material by end of financial year	MCM	40	55	48	50	52
	[4.2] Deepening of channels upto 14 meters	[4.2.1] No. of ports coming upto 14 meters draft	Nos.	--	--	3	3	3
[5] Increase tonnage under Indian flag	[5.1] Increase in share of Indian ships to overall trade	[5.1.1] Achievement of % share by end of financial year	%	1.30	1.0	1.03	1.04	1.05
	[5.2] Increase in tonnage under Indian ships.	[5.2.1] Achievement of Indian tonnage by end of financial year	Million GT	9.80	10.45	10.80	11.30	11.50
[6] Formulation of Policies in Maritime Sector.	[6.1] Land Policy	[6.1.1] Submission of Note for Cabinet.	Date	--	--	30/09/2013	--	--
	[6.2] Amendments to MCA.	[6.2.1] Submission of Note for Cabinet.	Date	--	--	31/12/2013	--	--
	[6.3] Captive Policy.	[6.3.1] Submission of Note for Cabinet.	Date	--	--	31/01/2014	--	--
[7] Updating regulatory framework	[7.1] Review of TAMP guidelines.	[7.1.1] Finalisation of new guidelines.	Date	--	--	15/02/2014	--	--
	[7.2] Ratification of International conventions on	[7.2.1] Submission of Note for Cabinet.	Nos.	03	02	01	02	02

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[8] Promotion of Inland Waterways Transportation.	Maritime Labour Convention and Hazardous & Noxious Substances.							
	[8.1] Maintenance of 2.5 m Least Available Depth (LAD) in Hadlia-Farakka stretch of National Waterways-1	[8.1.1] Maintenance of LAD	Days	320	315	315	315	315
	[8.2] Maintenance of 2.5 m Least Available Depth (LAD) in Dubri-Pandu stretch of National Waterways-2	[8.2.1] Maintenance of LAD	Days	320	270	315	315	315
	[8.3] Maintenance of 2 m Least Available Depth (LAD) in Champakara & Udyogmandal canals, Cherai-Alappuzha stretch of National Waterways-3	[8.3.1] Maintenance of LAD	Days	320	320	315	315	315
	[8.4] NTPC Coal movement between Bay of Bengal and Barh by IWT.	[8.4.1] Signing of tripartite agreement	Date	--	--	01/03/2014	--	--
	[8.5] Construction of IWT Terminal at GR Jetty - 2 Kolkata	[8.5.1] Percentage of work Completed	%	60	85	95	100	0
	[8.6] Construction of IWT terminal at Allapuzha on NW-3	[8.6.1] Percentage of work Completed	%	10	40	65	70	100
	[8.7] setting up of River Information Services	[8.7.1] Percentage of work Completed	%	--	--	25	70	100

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	(RIS) system in Sagar-Farakka Stretch of NW-1.							
	[8.8] Construction of RO-RO jetty at Dhubri on NW-2.	[8.8.1] Percentage of work Completed	%	--	--	8	50	100
	[8.9] Cargo transportation on National Waterways over 2012-13.	[8.9.1] Increase in cargo transportation	Billion Ton-km	1.13	1.24	1.37	1.50	1.65
	[8.10] Transportation of foodgrains to Tripura through Indo-Bangladesh protocol route via Ashuganj.	[8.10.1] Commencement of transportation.	Date	--	--	01/03/2014	--	--
	[8.11] Integrated National Waterways Grid Connectivity.	[8.11.1] Finalisation of master plan.	Date	--	--	31/01/2014	--	--
[9] Improve performance of PSUs/Autonomous Organizations	[9.1] Disinvestment of Cochin Shipyard Limited	[9.1.1] Submission of Cabinet Note	Date	--	--	28/02/2014	--	--
	[9.2] Delegation of enhanced financial powers to Ports	[9.2.1] Approval by competent authority	Date	--	--	15/02/2014	--	--
[10] Promotion of Shipbuilding Industry	[10.1] Release of subsidy to complete cases received upto December, 2013	[10.1.1] Expenditure w.r.t. RE.	%	75	80	80	85	85
[11] Improvement of navigational aids	[11.1] Establishment of National AIS Network Phase-II.	[11.1.1] Installation	Date	--	--	05/03/2014	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[12] Improving safety of maritime shipping	[11.2] Automation of Chennai, Kochi, Vishakhapatnam & Kolkata LH Districts.	[11.2.1] Installation	Date	--	--	31/10/2013	--	--
	[11.3] Establishment of Navtex Chain.	[11.3.1] Supply of equipment.	Date	--	--	05/03/2014	--	--
	[12.1] Safety Of Life At Sea (SOLAS).	[12.1.1] Installation of Vessel Traffic System in major Ports	Nos.	--	2	2	2	2
[13] Mitigating pollution levels in line with International standards.		[12.1.2] Safety audits to be carried out by ports for handling of hazardous/ noxious cargos.	Nos.	--	3	3	3	3
	[13.1] Ratification of International Convention for control and management of ships - Ballast Water and Sediments.	[13.1.1] Submission of Note for Cabinet.	Date	--	--	15/02/2014	--	--
	[13.2] Accession to International Convention on Civil Liability for Bunker Oil Pollution Damage 2001	[13.2.1] Submission of Note for Cabinet.	Date	--	--	15/02/2014	--	--
[14] Increase in skilled manpower in Maritime Sector.	[14.1] Strengthening of Indian Maritime University	[14.1.1] Utilisation of Plan expenditure	%	--	--	90	90	90
	[14.2] Increase in training berths in ships to facilitate Certificate of Competency (COC) for	[14.2.1] Issue of COC to entry level Engineers/Nautical officers after sea	Nos.	6591	3377	6500	7000	7500

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[15] Promotion of Coastal Shipping	more officers.	training						
	[14.3] Study to assess future skilled manpower requirements in the ports, shipping and inland waterways sector as well as shipbuilding, dredging and coastal shipping.	[14.3.1] Submission of study report	Date	--	--	15/02/2014	--	--
	[14.4] Research support group to monitor, support and coordinate the activities of Maritime Training Institute.	[14.4.1] Formation of group.	Date	--	--	30/09/2013	--	--
	[15.1] Formulation of Incentive scheme for promotion of coastal shipping.	[15.1.1] Finalisation of EFC Memo.	Date	--	--	15/02/2014	--	--
* Efficient Functioning of the RFD System	[15.2] Development of berths/jetties for coastal shipping	[15.2.1] Finalisation of EFC Memo for scheme of assistance.	Date	--	--	15/02/2014	--	--
	Timely submission of Draft RFD 2014-15 for Approval	On-time submission	Date	--	--	05/03/2014	--	--
	Timely submission of Results for 2012-13	On-time submission	Date	--	--	02/05/2014	--	--
* Transparency/Service delivery Ministry/Department	Independent Audit of implementation of Citizens' /Clients' Charter	% of implementation	%	--	--	95	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	Independent Audit of Implementation of Public Grievance Redressal System	% of implementation	%	--	--	95	--	--
* Administrative Reforms	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--
	Implement ISO 9001 as per the approved action plan	% of implementation	%	--	--	95	--	--
	Implement Innovation Action Plan (IAP)	% of milestones achieved	%	--	--	95	--	--
	Identification of core and non-core activities of the Ministry/Department as per 2nd ARC recommendations	Timely submission	Date	--	--	15/10/2013	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG .during the year.	%	--	--	90	--	--
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC .during the year.	%	--	--	90	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before	Percentage of outstanding ATNs disposed off during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	31.3.2012.							
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRs disposed off during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 4:
Acronym

SI.No	Acronym	Description
1	COC	Certificate of Competency
2	GBS	Government Budgetary Support.
3	LAD	Least Available Depth
4	MCA	Model Concession Agreement
5	NAVTEX	Navigational Text Messages.
6	PPP	Public Private Partnership
7	RIS	River Information Services
8	SOLAS	Safety of life at sea

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
1	[1.3.1] Final approval for PPP projects.	EFC – Expenditure Finance Committee of the Ministry which approves port development projects SFC – Standing Finance Committee which approves port development projects for submission to Committee of Secretaries consisting of Secretary, Ministry of Shipping and Secretary, Department of Economic Affairs PPPAC – Public Private Partnership Appraisal Committee which approves port development projects chaired by Secretary, Department of Economic Affairs CCI-Cabinet Committee on Infrastructure. Internal resources includes internal as well as Extra Budgetary Resources of the Ports - Non-PPP projects	PPP projects require appraisal and approval from different authorities depending on value.	Delegation of enhanced financial powers for port projects in the PPP mode: Upto Rs. 25 cr.- Secretary(Shipping) Rs.25-150 cr.- Minister(Shipping) Rs.150-500 cr.- Minister(Shipping) and Finance Minister. Beyond Rs.500 cr.- CCI.	
2	[1.4.1] Final approval for Non-PPP projects of ports.	EFC – Expenditure Finance Committee consisting of Committee of Secretaries consisting of Secretary, Ministry of Shipping, Secretary, Department of Expenditure and Planning Commission.	Non-PPP projects includes the project from Internal resources as well as Extra Budgetary Resources of the Ports.	Upto Rs. 25 cr.- Secretary(Shipping) Rs.25-150 cr.- Minister(Shipping) Rs.150-300 cr.- Minister(Shipping) and Finance Minister.	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
2	[1.4.1] Final approval for Non-PPP projects of ports.	SFC – Standing Finance Committee under Secretary (Shipping) approves port development projects .	Non-PPP projects includes the project from Internal resources as well as Extra Budgetary Resources of the Ports.	Beyond Rs.300 cr. - Cabinet /Cabinet Committees	
3	[3.1.1] Total capacity achieved by the end of financial year	Increase in total capacity in major ports including capacity addition during the year.	The capacity of each project is added after commissioning of each project.	Metric Million Tonnes Per Annum(MMTPA).	The projected Port capacity in the 12th Five Year Plan document is 1229.24 MT. But because of reduction in outlays subsequently, it is envisaged that the total capacity achieved would be around 1000 MT. Accordingly the figures have been realigned.
4	[6.1.1] Submission of Note for Cabinet.	The policy requires comments of Ministries of Finance, Commerce, Petroleum and Natural Gas, Coal and Steel, Economic Affairs and Power, Law, Home Affairs, Defence, D/o. Fertilizers and Planning Commission	Some new policies are proposed for development of maritime sector	Formulation of Policy.	
5	[11.1.1] Installation	The Automatic Identification System (AIS) network being set up along the entire Indian coast line will help in getting vessel information such as name, call, dimensions, vessel position, course and navigation status etc. It will serve as navigational aid	Promotion of AIS National Network is a safety requirement.	Installation of equipment	

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

SI.No	Success indicator	Description	Definition	Measurement	General Comments
5	[11.1.1] Installation	as well as for security of the coast.	Promotion of AIS National Network is a safety requirement.	Installation of equipment	
6	[11.3.1] Supply of equipment.	Navtex is an international automated direct printing service for promulgation of navigational and meteorological warnings and urgent information to ships. It has been developed to provide low cost, simple and automated means of receiving maritime safety information on board ships at sea in coastal waters. The system came in force from 01 August 1993 as a part of SOLAS requirement and is mandatory for all vessels above 300GT engaged in international voyage. NAVTEX fulfils an integral role in the Global Maritime Distress and Safety System (GMDSS) which has been developed by the International Maritime Organisation(IMO) and contributes to safety at sea	"NAVTEX"(NAVigational TEXT messages) is a system to deliver local weather reports and navigational warnings to ships operating in coastal areas.	Installation/ Establishment of Navtex stations in coastal areas.	

**Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology**

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
7	[[12.1.1] Installation of Vessel Traffic System in major Ports	A vessel traffic service (VTS) is a marine traffic monitoring system established by harbour or port authorities.	VTS is designed to improve the safety and efficiency of navigation, safety of life at sea and the protection of the marine environment.	Installation of equipment	

**Section 5 :
Specific Performance Requirements from other Departments**

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
Central Government		Ministry	Ministry of Defence	[1.3.1] Final approval for PPP projects. [1.1.1] In-principle approval. [6.1.1] Submission of Note for Cabinet.	Security clearances for PPP projects and comments on other policies.	For security of the Nation	As per number of bidders for the PPP projects and other policies	Cannot award the project and go ahead with other policies.
			Ministry of External Affairs	[6.5.1] Submission of Note for Cabinet. [1.3.1] Final approval for PPP projects.	Security clearances for PPP projects		As per number of bidders for the PPP projects	Cannot award the project
			Ministry of Law and Justice	[1.1.1] In-principle approval. [6.1.1] Submission of Note for Cabinet. [6.5.1] Submission of Note for Cabinet.	Comments of that Ministry on the Policy issues including vetting on legal angle.	As per general requirement.	In all policy issues	It can delay the policy decisions.

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
				Submission of Note for Cabinet. [13.1.1] Submission of Note for Cabinet. [13.2.1] Submission of Note for Cabinet.				
			Ministry of Planning	[1.3.1] Final approval for PPP projects. [1.4.1] Final approval for Non-PPP projects of ports. [1.1.1] In-principle approval. [6.1.1] Submission of Note for Cabinet.	SFC and EFC Proposal appraisal and inter-ministerial comments on other policies.	Being appraising authority for PPP/Non-PPP projects and other policies.	As per the number of proposals during the year.	Cannot award the Projects and go forward on other policies.
			Ministry of Home Affairs	[6.5.1] Submission of Note for Cabinet. [1.3.1] Final approval for PPP projects.	Security clearances for PPP projects	For security of the Nation	As per number of bidders for the PPP projects	Cannot award the project

Section 5 :
Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
			Ministry of Finance	[1.3.1] Final approval for PPP projects. [1.4.1] Final approval for Non-PPP projects of ports. [1.1.1] In-principle approval. [6.1.1] Submission of Note for Cabinet.	SFC and EFC Proposal appraisal and approval. The Ministry is also consulted on other policy issues.	Being appraisal and approving authority and responsible for other financial policy issues.	As per the number of proposals during the year.	Cannot award the Projects.
			Ministry of Environment and Forests	[1.3.1] Final approval for PPP projects.	Environmental clearance and comments on other policy issues.	As per general guidelines.	On all policy issues involving environmental clearance.	Delay in formulation of policy.

**Section 5 :
Specific Performance Requirements from other Departments**

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
				[1.4.1] Final approval for Non-PPP projects of ports. [1.1.1] In-principle approval. [6.5.1] Submission of Note for Cabinet.				

Section 6: Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
1 Capacity augmentation of major ports	All Major Ports, Ministry of Shipping, Ministry of Finance, Planning Commission, Ministry of Environment and Forest.	Total capacity achieved by end of the financial year (in MMT)	MMT	695	745	790	840	890
2 Improved Efficiency of Ports	All Major Ports, PPP / BOT operators, M/o. Railways, M/o. Roads Transport & Highways, NHAI, DCI, Indian Ports Association (IPA)	Increased handling of goods in major Ports Average Turnaround time attributable to Port account Average Pre-berthing detention time attributable to Port account. Increase in Average Output per berth per day Average no. of moves per crane hour for container handling	MT Days Hours Tonnes Nos.		450 2.59 12.86 11700 23	500 2.51 9.55 11600 23.90	550 2.48 9.50 11700 24	600 2.45 9.45 11800 24.5
3 Increase in Indian tonnage	DG Shipping, M/o Finance	Indian tonnage in million GT at end of Financial Year.	MGT	9.8	10.45	10.80	11.30	11.50
4 Enhancing competitiveness of the Indian Shipbuilding industry.	M/o Finance and DG(S)	Release of subsidy to shipyards	%	75	80	80	85	85
5 Promotion of IWT sector	Inland Waterways Authority of India	Increase in cargo transport.	Billion Ton-KM	1.13	1.24	1.37	1.50	1.65
6 Increase in availability of skilled manpower	DG Shipping and Indian Maritime University	Issue of CoC's to entry level Engineers/Nautical Officers	Nos.	6591	6500	6500	7000	7500

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
7 Coastal Shipping	DG(Shipping) and Major Ports.	Increased cargo moved under coastal shipping.	MT			10	15	20

