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(Results-Framework Document)

for

Ministry of Urban Development

(2012-2013)

Section 1: Vision, Mission, Objectives and Functions

Vision

To create economically vibrant, inclusive, efficient and sustainable urban habitats

Mission

To help promote cities as engines of economic growth through improvement in the quality of urban life by facilitating creation of quality urban infrastructure, with assured service levels and efficient governance

Objectives

- 1 Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017
- 2 Creating enabling policy environment for efficient and inclusive urban governance
- 3 Facilitate implementation of Urban Sector Reforms under Jawaharlal Nehru National Urban Renewal Mission
- 4 Capacity building of key stakeholders including elected representatives for efficient urban management
- 5 To facilitate planned development of the National Capital Region

Functions

- 1 Coordination of multilateral / bilateral assistance for projects related to urban development
- 2 Construction and maintenance of Central government residential and official Buildings through Central Public Works Department (CPWD)
- 3 Management of land and built-up properties of Government of India through Land and Development Office (L&DO;) and Directorate of Estates
- 4 Administration of urban infrastructure and governance component of Jawaharlal Nehru National Urban Renewal Mission, Scheme for Development of Infrastructure in Satellite towns, North-Eastern Region Urban Development Programme, Metros etc., for creation of basic urban infrastructure
- 5 Facilitate Implementation of Urban Sector Reforms through various schemes and Policy initiatives
- 6 Preparation of Plans for the development of the National Capital Region and coordinate and monitor its implementation
- 7 Administration of Delhi Development Authority (DDA), National Building Construction Corporation (NBCC)
- 8 Facilitate access to Institutional finance for urban infrastructure in States in National Capital Region through National Capital Regional Planning Board (NCRPB).
- 9 Technical assistance through Town and Country Planning Organization (TCPO), Central Public Health & Environmental Engineering Organization (CPHEEO) and National Institute of Urban Affairs (NIUA), Regional Centres for Urban Studies and Environment and Centres of Excellence

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017	36.00	[1.1] Sanction of water supply projects	[1.1.1] No. of projects sanctioned	Number	3.00	1	--	--	--	--
		[1.2] Completion of water supply projects	[1.2.1] No. of projects completed	Number	3.00	139	125	111	97	84
			[1.2.2] capacity augmented	Mld.	2.00	1200	1080	960	840	720
		[1.3] Sanction of solid waste management projects	[1.3.1] No. of projects sanctioned	Number	3.00	1	--	--	--	--
		[1.4] Completion of solid waste management projects	[1.4.1] No. of towns covered	Number	3.00	21	19	17	15	13
		[1.5] Sanction of Sewerage/ septage Projects	[1.5.1] No. of projects sanctioned	Number	4.00	1	--	--	--	--
		[1.6] Completion of Sewerage/ septage Projects	[1.6.1] No. of projects completed	Number	3.00	47	42	38	33	28
			[1.6.2] Capacity created	Mld.	3.00	1500	1350	1200	1050	900
		[1.7] Delivery of buses	[1.7.1] Total number of buses delivered	Number	2.00	1000	900	800	700	600
		[1.8] Sanction of metro rail projects	[1.8.1] No. of projects sanctioned	Number	3.00	1	1	0	0	0
[2] Creating enabling policy environment for efficient and inclusive urban governance	20.00	[1.9] Implementation and monitoring of Metro Rail Projects	[1.9.1] No. of Kilometers of new metro rail lines constructed	Number	3.00	14.5	13	11	10	8
		[1.10] Finalize the contours of JNNURM - II	[1.10.1] Submission of Cabinet Note	Date	4.00	01/02/2013	08/02/2013	15/02/2013	22/02/2013	28/02/2013
		[2.1] Awareness campaign under NUSP	[2.1.1] Number of Class 1 cities in which awareness campaign undertaken	Number	4.00	85	76	68	60	51
		[2.2] Benchmarking services levels of basic services in cities	[2.2.1] Number of cities for which benchmarking	Number	4.00	1500	1350	1200	1050	900

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent 100%	Very Good 90%	Good 80%	Fair 70%	Poor 60%
			has been done							
		[2.3] Finalization of City sanitation Plans	[2.3.1] Number of new Cities covered	Number	4.00	100	90	80	70	60
		[2.4] Legislations	[2.4.1] Introduction of Women's reservation bill	Date	2.00	31/07/2012	28/09/2012	31/12/2012	28/02/2013	29/03/2013
		[2.5] Policy	[2.5.1] Issue of Policy advisories	Number	2.00	5	4	3	2	1
		[2.6] Facilitation regarding implementation of Urban Transport related policy measures	[2.6.1] Number of policy measures implemented	Number	4.00	10	9	8	7	6
[3] Facilitate implementation of Urban Sector Reforms under Jawaharlal Nehru National Urban Renewal Mission	10.00	[3.1] Implementation of reforms identified under the mission and other schemes	[3.1.1] % of reforms implemented against target in identified cities	%	10.00	80	72	64	56	48
[4] Capacity building of key stakeholders including elected representatives for efficient urban management	15.00	[4.1] Conduct of training programmes	[4.1.1] No. of people trained	Number	7.00	2700	2430	2160	1890	1620
		[4.2] Workshop for Capacity building in Urban Transport	[4.2.1] No. of Workshops/ Training Programmes organized	Number	5.00	4	3	2	1	0
		[4.3] Compilation of different land assembly models for dissemination to the States	[4.3.1] Date of the completion of the task	Date	3.00	14/12/2012	31/12/2012	31/01/2013	28/02/2013	29/03/2013
[5] To facilitate planned development of the National Capital Region	4.00	[5.1] Facilitation of Review of Master Plan of Delhi : 2021	[5.1.1] Number of Review meetings held	Number	1.00	4	3	2	1	0
		[5.2] To facilitate the process of Review of the Regional plan 2021 of NCRPB	[5.2.1] Number of Review meetings held	Number	1.00	4	3	2	1	0
		[5.3] Sanction of infrastructure projects by NCRPB	[5.3.1] No. of new infrastructure projects	Number	2.00	8	7	6	5	4

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
			sanctioned by NCRPB			100%	90%	80%	70%	60%
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft for Approval	On-time submission	Date	2.0	05/03/2012	06/03/2012	07/03/2012	08/03/2012	09/03/2012
		Timely submission of Results	On- time submission	Date	1.0	01/05/2012	03/05/2012	04/05/2012	05/05/2012	06/05/2012
* Administrative Reforms	6.00	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	2.0	100	95	90	85	80
		Implement ISO 9001 as per the approved action plan	Area of operations covered	%	2.0	100	95	90	85	80
		Identify, design and implement major innovations	Implementation of identified innovations	Date	2.0	05/03/2013	06/03/2013	07/03/2013	08/03/2013	09/03/2013
* Improving Internal Efficiency / responsiveness / service delivery of Ministry / Department	4.00	Implementation of Sevottam	Independent Audit of Implementation of Citizen's Charter	%	2.0	100	95	90	85	80
			Independent Audit of implementation of public grievance redressal system	%	2.0	100	95	90	85	80
		Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	0.5	100	90	80	70	60
* Ensuring compliance to the Financial Accountability Framework	2.00	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	0.5	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before	Percentage of outstanding ATNs disposed off during the year.	%	0.5	100	90	80	70	60

* Mandatory Objective(s)

Section 2:
Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value			
						Excellent 100%	Very Good 90%	Good 80%	Poor 60%
		31.3.2012.							
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	0.5	100	90	80	60

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
[1] Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017	[1.1] Sanction of water supply projects	[1.1.1] No. of projects sanctioned	Number	20	2	0	1	1
	[1.2] Completion of water supply projects	[1.2.1] No. of projects completed	Number	119	25	125	86	--
		[1.2.2] capacity augmented	Mld.	2300	1000	1080	1200	--
	[1.3] Sanction of solid waste management projects	[1.3.1] No. of projects sanctioned	Number	4	1	0	1	--
	[1.4] Completion of solid waste management projects	[1.4.1] No. of towns covered	Number	16	8	19	8	--
	[1.5] Sanction of Sewerage/ septage Projects	[1.5.1] No. of projects sanctioned	Number	18	2	0	1	--
	[1.6] Completion of Sewerage/ septage Projects	[1.6.1] No. of projects completed	Number	23	20	42	29	--
	[1.7] Delivery of buses	[1.6.2] Capacity created	Mld.	2500	1000	1350	1500	--
		[1.7.1] Total number of buses delivered	Number	2500	1200	900	0	0
	[1.8] Sanction of metro rail projects	[1.8.1] No. of projects sanctioned	Number	2	2	1	1	1
[2] Creating enabling policy environment for efficient and inclusive urban governance	[1.9] Implementation and monitoring of Metro Rail Projects	[1.9.1] No. of Kilometers of new metro rail lines constructed	Number	13	2	14.5	42.59	52.335
	[1.10] Finalize the contours of JNNURM - II	[1.10.1] Submission of Cabinet Note	Date	--	--	08/02/2013	--	--
	[2.1] Awareness campaign under NUSP	[2.1.1] Number of Class 1 cities in which awareness campaign	Number	400	360	76	85	85

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
		undertaken						
	[2.2] Benchmarking services levels of basic services in cities	[2.2.1] Number of cities for which benchmarking has been done	Number	50	900	1350	1700	1700
	[2.3] Finalization of City sanitation Plans	[2.3.1] Number of new Cities covered	Number	100	90	90	24	0
	[2.4] Legislations	[2.4.1] Introduction of Women's reservation bill	Date	6	0	28/09/2012	--	--
	[2.5] Policy	[2.5.1] Issue of Policy advisories	Number	6	4	4	5	5
	[2.6] Facilitation regarding implementation of Urban Transport related policy measures	[2.6.1] Number of policy measures implemented	Number	34	12	9	10	10
[3] Facilitate implementation of Urban Sector Reforms under Jawaharal Nehru National Urban Renewal Mission	[3.1] Implementation of reforms identified under the mission and other schemes	[3.1.1] % of reforms implemented against target in identified cities	%	75	66	72	100	--
[4] Capacity building of key stakeholders including elected representatives for efficient urban management	[4.1] Conduct of training programmes	[4.1.1] No. of people trained	Number	5500	6000	2430	2700	2700
	[4.2] Workshop for Capacity building in Urban Transport	[4.2.1] No. of Workshops/ Training Programmes organized	Number	0	3	3	4	4
	[4.3] Compilation of different land assembly models for dissemination to the States	[4.3.1] Date of the completion of the task	Date	--	--	31/12/2012	--	--
	[5.1] Facilitation of Review of Master Plan of	[5.1.1] Number of Review meetings held	Number	--	--	3	--	--
[5] To facilitate planned development of the National								

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
Capital Region	Delhi : 2021							
	[5.2] To facilitate the process of Review of the Regional plan 2021 of NCRPB	[5.2.1] Number of Review meetings held	Number	--	--	3	--	--
	[5.3] Sanction of Infrastructure projects by NCRPB	[5.3.1] No. of new infrastructure projects sanctioned by NCRPB	Number	4	12	7	8	7
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date	05/03/2010	07/03/2011	06/03/2012	--	--
	Timely submission of Results	On- time submission	Date	02/05/2011	01/05/2012	03/05/2012	--	--
* Administrative Reforms	Implement mitigating strategies for reducing potential risk of corruption	% of implementation	%	--	--	95	--	--
	Implement ISO 9001 as per the approved action plan	Area of operations covered	%	--	--	95	--	--
	Identify, design and implement major innovations	Implementation of identified innovations	Date	--	--	06/03/2013	--	--
* Improving Internal Efficiency / responsiveness / service delivery of Ministry / Department	Implementation of Sevottam	Independent Audit of Implementation of Citizen's Charter	%	--	--	95	--	--
		Independent Audit of implementation of public grievance redressal system	%	--	--	95	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNs on Audit paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by	%	--	--	90	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
		CAG during the year.						
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRS submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	--	--	90	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2012.	Percentage of outstanding ATNs disposed off during the year.	%	--	--	90	--	--
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2012	Percentage of outstanding ATRS disposed off during the year.	%	--	--	90	--	--

* Mandatory Objective(s)

Section 4:

Description and Definition of Success Indicators and Proposed Measurement Methodology

(Refer 1 section 2:- It is not possible for the Ministry to specify the service level targeted for achievement by 2017 because service delivery is not directly controlled by Ministry; measurement of service levels is very new concept and so it is difficult to predict what service level can be targeted for achievement by 2017.

(Refer 2.6 Section 2) In Urban transport related policy measures implementation of one policy measure by one town constitutes one item. e.g if a town frames a parking policy it counts as one item, if it also implements the policy regarding UMTA then it counts as 2 items.

(Refer 3.1 Section 2) Implementation of Reforms: The success indicator has been defined as the ratio of the total number of reforms (cumulative) achieved since the inception of the mission against the total number of reforms (cumulative) since inception of the mission targeted. Numerator (No. of reforms implemented so far) and the denominator (No. of reforms required to be implemented during the mission period). Hence, as an absolute number, the numbers of reforms likely to be achieved during the Mission Period upto March 2012 is about 60% of the total targeted reforms achievements. Hence, the left over reform of 40% of the targeted reforms are to be completed in the next two years i.e. in 2012-13 and 2013-14 subject to approval of Cabinet Committee on Infrastructure (CCI). The Completion of reforms is dependant upon various functions, including increased capacity of local bodies, approval of Legislations etc. The Union Cabinet on 1/10/2010, had stipulated withholding 10% of ACA (in UIG) and release of funds on satisfactory implementation of reforms.

(Refer 4.1 Section 2) Capacity Building: Success indicator has been defined the total number of persons trained, since it will not be possible to devise a method for measurement of efficiency of training.

Explanatory note for target values

(Refer 1.1, 1.3,1.5 Section 2) Since successor scheme of JNNURM for 12th Plan has not yet approved, fresh sanctions are not being taken up in a major way Hence the target values are lower compared to 2011-12.

(Refer 1.7 Section 2). The No. of buses to be delivered is lower because only residuary deliveries are to be completed this year

Section 5: Specific Performance Requirements from other Departments

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Respective Administrative Ministries/ Deptts.	Sanction of projects/ Release of Funds	Expeditious releases	Projects cannot progress if funds are not given on time	Fully Dependent	Progress may be hampered
State Government including its State Level Nodal Agency	Sanction of projects	Forwarding of properly prepared DPR with requisite approvals/Documents	Without cooperation of State Governments, sanction, implementation and monitoring of projects and reforms not possible	-do-	Implementation of the Scheme or Reforms not possible
	Completion of projects	Efficient project management and monitoring	-Do-	Fully Dependent	-Do-
	% of reforms implemented	Timely action to implement the reforms	-Do-	Fully dependent	-Do-
GOI Planning Commission	Sanction of projects	Funding and approval of successor scheme of JNNURM and its allocation	To provide funds to the States for continuing urban infrastructure projects	Fully dependent	Sanction cannot be accorded by CSMC to new projects
GOI M/o Finance	Sanction of projects and completion of projects	Funding and approval of successor scheme of JNNURM and its allocation Timely release of funds	The objectives of urban development cannot be met in absence of funds.	Fully dependent	Implementation of schemes may be delayed for want of funds
Urban Local Bodies/ other implementing agencies	Completion of project	Timely and proper action for pre-project preparatory work, obtaining necessary clearances and smooth implementation of projects	For efficient execution and timely completion of the schemes.	Fully dependent	Quality and timely implementation cannot be ensured
	% of reforms implemented	Timely action to implement the reforms	Implementation of reforms is a condition for implementation of the schemes	Fully dependent	Implementation of relevant Projects/reforms not possible

Section 6:
Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
1 Improvement in economic productivity of cities	Planning Commission/ Ministry of Finance / State Government and Urban Local Bodies	TBD	TBD		TBD	TBD	TBD	TBD
2 Improvement in Social inclusiveness/basic	State Government and Urban Local Bodies	TBD	TBD		TBD	TBD	TBD	TBD
3 Improvement in urban environment	Ministry of Urban Development , State Government and Urban Local Bodies	TBD	TBD		TBD	TBD	TBD	TBD

